

Genetic basis of between-individual and within-individual variance of docility

Julien G.A. Martin^{*1}, Enrico Pirotta^{†2}, Matthew B. Petelle^{‡3}, and Daniel T. Blumstein^{§4,5}

¹Institute of Biological and Environmental Sciences, University of Aberdeen, Aberdeen, AB24 2TZ, UK

²School of Mathematics, Washington State University, 14204 Salmon Creek Avenue, Vancouver WA, 98686, USA

³Department of Zoology and Entomology, University of the Free State Qwaqwa, Phuthaditjhaba, South Africa

⁴Department of Ecology and Evolutionary Biology, University of California, Los Angeles, CA, USA

⁵The Rocky Mountain Biological Laboratory, Crested Butte, CO, USA.

Contribution

D.T.B. led the long-term study. M.P., D.T.B. and J.G.A.M. collected data. J.G.A.M. conceived the ideas for the paper and its structure. J.G.A.M. and E.P. designed and conducted the analyses. J.G.A.M. wrote the manuscript. All authors discussed the results and edited the manuscript.

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*Corresponding author. Email: julienmartin@abdn.ac.uk, Tel: +44 1224 272399

†Email: pirotta.enrico@gmail.com

‡Email: matthew.petelle@gmail.com

§Email: marmots@ucla.edu

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Abstract

Between-individual variation in phenotypes within a population is the basis of evolution. However, evolutionary and behavioural ecologists have mainly focused on estimating between-individual variance in mean trait and neglected variation in within-individual variance, or predictability of a trait. In fact, an important assumption of mixed-effects models used to estimate between-individual variance in mean traits is that within-individual residual variance (predictability) is identical across individuals. Individual heterogeneity in the predictability of behaviours is a potentially important effect but rarely estimated and accounted for. We used 11,389 measures of docility behaviour from 1,576 yellow-bellied marmots (*Marmota flaviventris*) to estimate between-individual variation in both mean docility and its predictability. We then implemented a double hierarchical animal model to decompose the variances of both mean trait and predictability into their environmental and genetic components. We found that individuals differed both in their docility and in their predictability of docility with a negative phenotypic covariance. We also found significant genetic variance for both mean docility and its predictability but no genetic covariance between the two. This analysis is one of the first to estimate the genetic basis of both mean trait and within-individual variance in a wild population. Our results indicate that equal within-individual variance should not be assumed. We demonstrate the evolutionary importance of the variation in the predictability of docility, and illustrate potential bias in models ignoring variation in predictability. We conclude that the variability in the predictability of a trait should not be ignored, and present a coherent approach for its quantification.

Key words

Mammals, quantitative genetics, heritability, within-individual variance, predictability, personality, double hierarchical generalized linear model, docility, *Marmota flaviventris*

INTRODUCTION

Phenotypic variance is a central concept in ecology and evolution since it is the material on which selection can act (Roff 2002). Phenotypic traits vary among species, among populations and among individuals within populations (Roff 2002). In addition, for traits expressed multiple times, the phenotype could vary even within an individual (Roff 2002). Until recently, within-individual variance has been mainly attributed to either environmental plasticity and explained by differences in the environment (Pigliucci 2005; Nussey *et al.* 2007), or measurement error and white noise (Pigliucci 2005; Westneat *et al.* 2014).

Within-individual variance not explained by the general environment is often assumed to be homogeneous across individuals. However, between-individual variation in within-individual variance could exist and be under selection (Westneat *et al.* 2014; Hill & Mulder 2010) and thus should not be ignored.

Existing studies of between-individual variation focus on decomposing the variance in the mean of a trait in its between-individual and within-individual (i.e. residual) components (Dingemanse & Dochtermann 2013; Kruuk 2004). In some cases, between-individual variation in environmental plasticity, or individual-by-environment interactions noted IxE, is also estimated (Fig. 1a; e.g. Dingemanse & Dochtermann 2013; Nussey *et al.* 2007). However, the residual within-individual variance is always assumed to be the same across individuals (e.g. Dingemanse & Dochtermann 2013; Wilson *et al.* 2009). Indeed, the main assumption of mixed-effects models, a statistical approach widely used to estimate between-individual variance both at the phenotypic and genetic level, is that the residual variance is identical across individuals (Pinheiro & Bates 2000; Dingemanse & Dochtermann 2013; Wilson *et al.* 2009). The residual within-individual variance could however differ among individuals at both genetic and phenotypic level. As an example, Fig. 1a illustrates between-individual variation in phenotypic plasticity, whereby the phenotype of three individuals has a different relationship with environmental conditions, i.e. IxE. Fig. 1b shows the residuals of the regression for each individual in Figure Fig. 1a, i.e. the residual within-individual variance. It is clear from Fig. 1b that the individuals also differ in the amount of variation in their residuals, e.g. circles are more spread apart than crosses, illustrating between-individual

variation in residual within-individual variance. This variance component could have both an environmental and a genetic basis.

The importance of between-individual variation in within-individual variance has been recognised in evolutionary ecology (Mulder *et al.* 2007) and behavioural ecology (Réale & Dingemanse 2009; Stamps *et al.* 2012; Westneat *et al.* 2013). In agriculture production, the level of variation in yield is of prime importance for growing, processing and consumption of foods, thus leading researchers to investigate the within-genotype variation in yield traits within standardized environments (Hill & Mulder 2010). In behavioural ecology, the within-individual variance of a trait is often the biggest component of variance (Westneat *et al.* 2014) suggesting that further investigation is required. Variation in within-individual variance of behaviours has been identified for song repertoire size in birds (Byers & Kroodsma 2009), anti-predatory behaviours (Stamps *et al.* 2012) and parental care (Westneat *et al.* 2013). Despite a rising awareness of the importance of variation in within-individual variance in both evolutionary and behavioural ecology (Réale & Dingemanse 2009; Hill & Mulder 2010; Stamps *et al.* 2012; Westneat *et al.* 2014), empirical studies remain limited.

One of the problems associated with the study of variation in within-individual variance is lexical. For traits measured repeatedly under the same environmental conditions, multiple terms (such as intra-individual variability, individual stability, relative specialisation, consistency, predictability or uniformity) have been used as synonyms to refer to within-individual or within-genotype variance (Réale & Dingemanse 2009; Stamps *et al.* 2012; Cleasby *et al.* 2015; Sae-Lim *et al.* 2015). Following, Cleasby *et al.* (2015), we use predictability to refer to within-individual variance in a trait measured repeatedly in the same environment.

An additional problem for the study of the variation in predictability of behaviours is that the few existing estimates used different, non-comparable approaches (Réale & Dingemanse 2009; Stamps *et al.* 2012; Westneat *et al.* 2013; Cleasby *et al.* 2015). Some authors have advocated the use of statistical models to predict an individual's expected behaviour for multiple observations. The standard deviation of the differences between the predictions and the observed behaviours (i.e. model residuals) for each individual then provides an estimate of

the variation within an individual (Stamps *et al.* 2012). This method however suffers from different statistical limitations including potential anticonservatism due to the large amount of uncertainty in the estimation of the residuals not accounted for in subsequent analyses of within-individual variation. Others suggested to use diversity indexes, such as richness, evenness or Shannon Wiener index, to estimate variation in behaviours for each individual (Ram & Gerstorf 2009). However, the method is limited to discrete behaviours and highly dependent on which diversity index is used. Cleasby *et al.* (2015) presented a method to adequately estimate variation in predictability of a trait using double hierarchical generalized linear models, DHGLM (Lee & Nelder 2006). DHGLM allow the concurrent estimation of between-individual differences in a trait, or variation in the mean, and in its predictability, while correcting for environmental effects (Cleasby *et al.* 2015). This type of model is an extension of a mixed-effects model including fixed and random effects on both the mean and the within-individual, i.e. residual variance, of a trait (Lee & Nelder 2006; Cleasby *et al.* 2015). In addition, this approach permits the estimation of comparable parameters of predictability among traits, environments and species (Hill & Mulder 2010; Cleasby *et al.* 2015).

DHGLMs can not only be used to estimate between-individual variance in predictability, but, paired with a quantitative genetic approach, the variance in predictability can also be decomposed into its additive genetic and environmental components (Rönnegård *et al.* 2010; Sae-Lim *et al.* 2015). Studies in animal breeding have estimated the additive genetic basis of within-genotype variance for multiple productivity-related traits such as litter size (Hill & Mulder 2010) or body weight (Sae-Lim *et al.* 2015). However, the degree to which genetic variation for the predictability of a trait might respond to selection and influence evolution of other traits remains unclear (but see Mulder *et al.* 2015). In a bivariate scenario, Mulder *et al.* (2015) showed that additive genetic variance in predictability of a linearly selected trait could lead to non-linear responses for correlated traits. The recent development of a theoretical framework (Hill & Mulder 2010; Westneat *et al.* 2014) and of suitable statistical methods (Lee & Nelder 2006; Cleasby *et al.* 2015; Mulder *et al.* 2015) offer a way to advance our understanding of these issues.

To date, the few published estimates of additive genetic variance of predictability are restricted to a captive animal breeding environment (Mulder *et al.* 2015; Hill & Mulder 2010; Sae-Lim *et al.* 2015), except for one study (Mulder *et al.* 2016). Mulder *et al.* (2016) found significant genetic variation in the variability of fledging weights in wild great tits (*Parus major*). They also showed that the variability in fledging weight was maintained in the population via stabilizing selection. Despite its potential importance, the existence of a genetic basis of predictability in any traits in the wild is poorly understood. Repeated sampling of a large number of pedigreed individuals is needed to apply these models to a wild population. In addition, environmental sampling conditions should be measured carefully to ensure that the variation in predictability is not only due to confounding variation in environmental variation. However, it should be noted that unknown and unmeasured individual- (IxE) or genotype- (GxE) by-environment interactions will also generate between-individual variation in within-individual variance. Estimating individual variance in predictability could thus be a more general approach to investigate IxE since it does not require an environmental covariate and the absence of variability in predictability would indicate an absence of IxE with any environment.

Animal behaviours are easy traits to measure repeatedly in standardized conditions in the wild. Docility, the reaction to being trapped and handled, is part of the shyness-boldness category of temperament traits and reflects an individual's reaction to a risky situation (Réale *et al.* 2007). Between-individual variation in the mean behavioural response to risky situation has been thoroughly investigated. For example, docility has been found to be repeatable, heritable, and to influence reproduction in several species in the wild (Réale *et al.* 2007; Petelle *et al.* 2015). Between-individual variation in predictability has been neglected. However, being predictable or not might be as important as the mean behavioural response when dealing with a risky situation (Stamps *et al.* 2012).

Using long-term trapping data on yellow-bellied marmots, *Marmota flaviventris*, we estimated between-individual variation in both mean docility and predictability of docility. By means of a quantitative genetic approach we then implemented an analytical framework that we define as a double hierarchical animal model (DHAM) to decompose the variance of

docility and its predictability into their environmental and genetic components.

MATERIAL AND METHODS

Study system

We used behavioural data collected as part of a long-term demographic study on yellow-bellied marmots at the Rocky Mountain Biological Laboratory (RMBL) in Gothic, Colorado, USA (38° 77'N, 106° 59'W). Marmots are large, facultatively social, subalpine rodents that live in colonies consisting of one or more matrilineal groups (Frase & Hoffmann 1980; Armitage 2014). These colonies usually consist of one adult male, multiple adult females, and their offspring. We regularly trap individuals using Tomahawk live traps set at burrow entrances. Once trapped, individuals were weighed, sexed, their ano-genital distance and left hind foot measured, ear tagged, and given a unique dye mark to facilitate identification from afar (Blumstein *et al.* 2009).

Docility was assessed as an individual's reaction to being trapped and handled (Réale *et al.* 2007; Petelle *et al.* 2013). Upon arriving at a trap, individuals were placed inside a cloth-handling bag, and we dichotomously scored (0, 1) whether individuals bit the trap, emitted an alarm call, struggled in the trap or bag, tooth chattered, and whether they hesitated moving from the trap into the handling bag. We summed these scores and subtracted them from a maximum of six to obtain a value between 1 and 6. Thus, a docile individual would have a score of six while an individual with a score of one would be non-docile or pugnacious (Réale *et al.* 2007). We quantified docility over 11,389 trapping events for 1,576 individuals of known age and sex from 2002-2014. The mean and range of docility measurements varied widely across individuals (Fig. S1).

Parentage was assigned from DNA samples collected from individuals during their first trapping event. We extracted DNA using a QiaGen QIAamp DNA minikit and genotyped individuals using 12 microsatellites. Alleles were visualized and assigned using GeneMapper 4.1 software (Applied Biosystems). CERVUS (Kalinowski *et al.* 2007) was then used to assign maternity and paternity using a maximum likelihood method at 95% confidence for the trio. All adult males and females in a colony were used as potential parents. Genetic

assignments of maternity confirmed behavioural observations based on juveniles emergence from maternal burrow. For full details on the pedigree reconstruction method see Olson *et al.* (2012) and Blumstein *et al.* (2010). The pedigree used in these analyses included 1588 individuals with 90% and 83% of the maternal and paternal links known respectively (see Table S1 for detailed pedigree structure information).

Statistical analysis

The aim of our analysis was to concurrently estimate the between-individual differences in mean docility and its predictability (or residual within-individual variance). Moreover, we wanted to decompose the variability of the mean trait and of its predictability into environmental and genetic components using a quantitative genetic approach. We developed four models differing in their random and residual structure that progressively built towards this goal, while allowing us to monitor any change in the estimates as increasing complexity was introduced. Following previous results from this population (Petelle *et al.* 2013; 2015), we included the following fixed effects in the mean part of all models: trial number, to account for potential habituation to human handling; day of the year, as a proxy for linear seasonal changes in docility; time of day, coded as 0 for AM sampling and 1 for PM sampling; and age, which was a categorical factor with three levels (juveniles, yearlings and adults).

Since docility is a discrete ordinal variable varying between 1 and 6, the data were analysed using threshold models (Foulley & Jaffrézic 2010). This approach assumes that the docility estimates, \mathbf{y} , result from grouping an underlying continuous variable with a Gaussian distribution, \mathbf{y} , using a probit link function and 5 cut-off points, $\theta_1 < \theta_2 < \theta_3 < \theta_4 < \theta_5$, to be estimated.

$$\mathbf{y} = \begin{cases} 1, & \text{if } \mathbf{y} \leq \theta_1 \\ k, & \text{if } \theta_{k-1} \leq \mathbf{y} < \theta_k \text{ for } k = 2, 3, 4 \text{ and } 5 \\ 6, & \text{if } \theta_5 \leq \mathbf{y} \end{cases} \quad (1)$$

Model 1 was a traditional mixed-effects model, where docility was modelled as a combination of fixed and random effects and variance was assumed to be homogeneous across

individuals. Model 1 can be therefore written as:

$$\mathbf{y} = \mathbf{X}\mathbf{b} + \mathbf{M}\mathbf{yr} + \mathbf{Z}\mathbf{id} + \mathbf{e} \quad (2)$$

where $\mathbf{yr} \sim N(0, \mathbf{I}\sigma_{yr}^2)$, $\mathbf{id} \sim N(0, \mathbf{I}\sigma_{id}^2)$ and $\mathbf{e} \sim N(0, \mathbf{I}\sigma_e^2)$. \mathbf{b} , \mathbf{yr} and \mathbf{pe} are the vectors of fixed, random year and random individual identity effects associated with the corresponding incidence matrix \mathbf{X} , \mathbf{M} and \mathbf{Z} . σ_{yr}^2 and σ_{id}^2 are the between-year and between-individual random effect variances, respectively. \mathbf{e} is the vector of residuals with variance σ_e^2 . \mathbf{I} is the identity matrix and the notation $\mathbf{I}\sigma^2$ signifies that the random effects and the residuals are independently and identically distributed (iid). Constraining the residuals to be iid assumes that there is no variation among individuals in within-individual deviation from the mean (i.e. no variation in predictability).

Similar to model 1, model 2 only considered changes in mean docility. However, this model also included an additive genetic component, fitted as a random effect on the mean (Waldmann 2009). Model 2 is therefore equivalent to a classic animal model (Kruuk 2004), and can be written as:

$$\mathbf{y} = \mathbf{X}\mathbf{b} + \mathbf{M}\mathbf{yr} + \mathbf{Z}\mathbf{pe} + \mathbf{Z}\mathbf{a} + \mathbf{e} \quad (3)$$

where, building on equation 2, $\mathbf{a} \sim N(0, \mathbf{A}\sigma_a^2)$ and $\mathbf{pe} \sim N(0, \mathbf{I}\sigma_{pe}^2)$. \mathbf{a} and \mathbf{pe} are respectively the vectors of additive genetic and permanent environment effects. Since each individual has an additive genetic as well as a permanent environment effect, both effects have the same design matrix \mathbf{Z} . σ_a^2 is the additive genetic variance of the mean trait and \mathbf{A} is the additive genetic relationship matrix.

Model 3 was a double hierarchical generalised linear model (DHGLM) (Lee & Nelder 2006; Cleasby *et al.* 2015). This included a model for the mean docility (as in model 1), as well as a dispersion part for the residual variance (i.e. predictability). Following SanCristobal-Gaudy *et al.* (1998), the residual variance was modelled on the log-normal scale as a function of the fixed effect of age, with year and individual identity as random effects. We also estimated the correlation between the individual random effect on the mean and on the

predictability, using a multivariate Normal distribution. Model 3 can be written as:

$$\begin{aligned} \mathbf{y} &= \mathbf{X}_m \mathbf{b}_m + \mathbf{M} \mathbf{y} r_m + \mathbf{Z} \mathbf{id}_m + \mathbf{e} \\ \log(\boldsymbol{\sigma}_e^2) &= \mathbf{X}_v \mathbf{b}_v + \mathbf{Z} \mathbf{id}_v \end{aligned} \quad (4)$$

where $\mathbf{e} \sim N(0, \text{Diag}[\boldsymbol{\sigma}_e^2])$, $\begin{bmatrix} \mathbf{pe}_m \\ \mathbf{pe}_v \end{bmatrix} \sim N\left(\begin{matrix} 0 \\ 0 \end{matrix}, \Sigma_{id} \otimes \mathbf{I}\right)$ and $\Sigma_{pe} = \begin{bmatrix} \sigma_{id_m}^2 & \sigma_{id_m} \sigma_{id_v,exp} \rho_{id} \\ \sigma_{id_m} \sigma_{id_v,exp} \rho_{id} & \sigma_{id_v,exp}^2 \end{bmatrix}$. The m and v subscripts indicate that the effect are fitted on the mean part and the dispersion part of the model, respectively. Building on equation 2, residuals are not assumed to be iid. $\boldsymbol{\sigma}_e^2$ is now a vector and $\text{Diag}()$ is used to create a diagonal matrix. \mathbf{b}_v and \mathbf{id}_v are the vectors of fixed and random individual identity effects associated with the corresponding incidence matrix \mathbf{X}_v and \mathbf{Z} . $\sigma_{id_v,exp}^2$ is the between-individual variance in predictability on the exponential scale. ρ_{id} is the correlation at the individual level between the variance of the mean trait and the variance of its predictability.

Finally, model 4 was a double hierarchical generalised linear animal model (DHGLAM), with a mean and a dispersion part as in model 3, but including an additive genetic component (as in model 2) fitted as a correlated random effect on both mean and predictability (Felleki *et al.* 2012). Starting from Equation 4, model 4 can therefore be written as:

$$\begin{aligned} \mathbf{y} &= \mathbf{X}_m \mathbf{b}_m + \mathbf{M} \mathbf{y} r_m + \mathbf{Z} \mathbf{pe}_m + \mathbf{Z} \mathbf{a}_m + \mathbf{e} \\ \log(\boldsymbol{\sigma}_e^2) &= \mathbf{X}_v \mathbf{b}_v + \mathbf{Z} \mathbf{pe}_v + \mathbf{Z} \mathbf{a}_v \end{aligned} \quad (5)$$

where $\begin{bmatrix} \mathbf{a}_m \\ \mathbf{a}_v \end{bmatrix} \sim N\left(\begin{matrix} 0 \\ 0 \end{matrix}, \Sigma_a \otimes \mathbf{A}\right)$ and $\Sigma_a = \begin{bmatrix} \sigma_{a_m}^2 & \sigma_{a_m} \sigma_{a_v,exp} \rho_a \\ \sigma_{a_m} \sigma_{a_v,exp} \rho_a & \sigma_{a_v,exp}^2 \end{bmatrix}$. \mathbf{a}_v is the vector of additive genetic effects associated with the corresponding incidence matrix \mathbf{Z} . $\sigma_{a_v,exp}^2$ is the additive genetic variance of predictability on the exponential scale and ρ_a is the additive genetic correlation between the mean and predictability variances.

The estimated variance components ($\sigma_{pe_v,exp}^2$ and $\sigma_{a_v,exp}^2$) for the predictability of docility

were on the exponential scale (exp) and were converted to an additive scale (σ_{pev}^2 and σ_{av}^2) using the equations derived by Mulder *et al.* (2007) (see also Appendix S3 in Supporting Information). For each model, we estimated the phenotypic variance conditioned on the fixed effects (σ_P^2) as the sum of the variance components and the residual variance (σ_e^2). For models 3 and 4, σ_e^2 was estimated as $\exp\left(b_{v_0} + \frac{b_{v_1}}{3} + \frac{b_{v_2}}{3}\right) * \exp\left(\frac{\sigma_{yr_v,exp}^2}{2}\right) * \exp\left(\frac{\sigma_{pev,exp}^2}{2}\right) * \exp\left(\frac{\sigma_{av,exp}^2}{2}\right)$ (Appendix S3; Felleki *et al.* 2012; Sae-Lim *et al.* 2015).

Repeatability (models 1 and 3) and permanent environment effect (models 2 and 4) for mean docility was estimated as $\frac{\sigma_{id_m}^2}{\sigma_P^2}$ and for predictability of docility (id_v^2) as $\frac{\sigma_{id_v}^2}{2\sigma_P^4 + 3(\sigma_{id_v}^2 + \sigma_{av}^2)}$. Similarly heritability of docility (h^2) and of predictability of docility (h_v^2) were estimated as $\frac{\sigma_{a_m}^2}{\sigma_P^2}$ and $\frac{\sigma_{a_v}^2}{2\sigma_P^4 + 3(\sigma_{pev}^2 + \sigma_{av}^2)}$, respectively (appendix S3; Mulder *et al.* 2007). We also estimated the genetic coefficient of variation of the variance in predictability on the additive scale as $GCV_v = \frac{\sigma_{av}}{\sigma_e^2}$ (Hill & Mulder 2010).

The four models were fitted in a Bayesian framework using OpenBUGS 3.2.1 (Thomas *et al.* 2006), run from R (R Development Core Team 2014) via the package R2OpenBUGS (Sturtz *et al.* 2005). Quantitative genetic effects were implemented in BUGS following Waldmann (2009) and Gorjanc (2010). We used Normal priors with mean 0 and precision 0.001 for the fixed effects in both the mean and dispersion part. σ_e had a uniform prior $U(0, 20)$. σ_{yr_m} and σ_{yr_v} had uniform priors $U(0, 5)$. In models 1 and 2, σ_{ind_m} and σ_{pe_m} had a uniform prior $U(0, 15)$, while in models 3 and 4, Σ_{ind} and Σ_{pe} had an inverse Wishart prior with 3 degrees of freedom and scale matrix $\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$. In model 2, σ_{a_m} had a uniform prior $U(0, 15)$, while for Σ_a in model 4, we used an inverse Wishart prior with 3 degrees of freedom and scale matrix $\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$. θ_1 and θ_5 were fixed to 0 and 15 respectively to allow identification of the parameters and facilitate convergence. Changing their values provided qualitatively similar results. The OpenBUGS code for model 4 is provided in the Supplementary material (Appendix S2). Markov Chain Monte Carlo (MCMC) algorithms were iterated until convergence to the joint posterior distribution. 10 chains starting at different initial values were run in parallel. Convergence was first assessed by visually inspecting the trace plots,

which were also used to identify an appropriate number of burn-in iterations. Each chain ran for 100,000 iterations including 40,000 burn-in iterations. We then checked that the Monte Carlo error was less than 1-5% of the posterior standard deviation, and that the Brooks-Gelman-Rubin (BGR) diagnostic converged to 1 ± 0.2 (Gilks *et al.* 1995). These convergence checks were carried out using the package coda (Plummer *et al.* 2006) in R. The mode and 95% Highest Posterior Density Intervals (HPDI) were used to summarise the posterior distributions of the model parameters. Results are reported using the combined 600,000 iterations from the 10 unthinned chains following (Link & Eaton 2012; Kruschke 2014). Estimates were reported on the probit scale.

RESULTS

We found that parameter estimates for both fixed and random effects were highly consistent across all models (Fig. 2, Table S2), validating the approach used. Between-individual variance (σ_{ind}^2) for the mean was consistent across models 1 and 3. The permanent environment (σ_{pe}^2) and additive genetic (σ_a^2) variances were consistent across models 2 and 4 (Fig. 2, Table S2). In models 2 and 4, the sum of the additive genetic (σ_a^2) and permanent environment (σ_{pe}^2) variances were consistent with the estimates of σ_{ind}^2 in models 1 and 3, indicating that the models were behaving adequately (Table S2). As for the mean part of the model, the sum of the additive genetic (σ_{av}^2) and permanent environment (σ_{pev}^2) variances in the dispersion part of model 4 were consistent with the estimates of σ_{indv}^2 in model 3 (Table S2).

Results for fixed effects in model 1 and 2 were consistent both in direction and significance with previously published studies (Petelle *et al.* 2013; 2015). Trial number and age had a significant effect on the mean part of all models (meaning that the 95% HDPI of the associated coefficients did not include zero). Age was also significant in the dispersion part of both model 3 and 4 (Fig. 2, Table S2). However, time of the day had no significant effect when also modelling the dispersion of docility (models 3 and 4, Fig. 2, Table S2). Moreover, day of the year had a significant effect only in models 3 and 4 (Fig. 2, Table S2), when the variation in predictability was fitted in the model. In both cases, the differences in significance did not

result from a change in size of the credible intervals but from a change in the mean estimates. Despite such differences, the relative coefficient estimates in both model 3 and 4 are within the credible intervals obtained from models 1 and 2 (Fig. 2, Table S2).

As previously reported (Petelle *et al.* 2015), we found non-zero repeatability (r^2), permanent environment (pe^2) and heritability (h^2) of docility (Table 1). More importantly, we found non-zero repeatability, permanent environment and additive genetic variance in the predictability (i.e. within-individual variance) of docility (Fig. 2, Table 1). The correlation between the mean and the within-individual variance was negative at both phenotypic (Cor_{ind} model 3) and permanent environment level (Cor_{pe} model 4), but the associated credible intervals slightly overlapped zero (Fig. 2, Table 1). Even if these two estimates were therefore not significantly different from zero, it should be noted that 96% of their posterior distributions was negative. This suggests that cor_{ind} and cor_{pe} are negative and a larger sample size would decrease the size of the credible interval. The genetic correlation between the variance of the mean trait and the variance of predictability was not significant and was estimated as zero (Fig. 2, Table 1)

The random effect of year was negligible in the dispersion part of the models (Fig. 2, Table S2). We then refitted the models 3 and 4 without this random effect in the dispersion part of the model and found both qualitatively and quantitatively similar results.

DISCUSSION

The long-term data available for the RMBL yellow-bellied marmot population provides a unique opportunity to advance our understanding of between-individual differences in predictability (or within-individual variance). We obtained three major results with strong implications for our understanding of behavioural evolution. First, contrary to the assumptions of most behavioural studies, we found that predictability was not homogeneous across individuals and therefore standard analytical approaches may introduce substantial bias in estimates of the mean trait. Second, we showed a significant additive genetic variance in the predictability of docility. Third, we found a negative correlation between mean docility and its predictability at the phenotypic level. This analysis is, to our knowledge, one of the first to

estimate the genetic basis of both the mean of a trait and its predictability in a wild population for any trait.

Our results are quantitatively similar to previous estimates of heritability for the predictability of life-history traits, h_v^2 , and their coefficient of genetic variation CV_{a_v} obtained for captive animals (Hill & Mulder 2010) and wild great tits (Mulder *et al.* 2016). The estimate of h_v^2 was low, partly because the predictability of docility can be affected by multiple environmental factors that reduce heritability (Houle 1992; Westneat *et al.* 2014). Nonetheless, its coefficient of genetic variation, GCV_v , was high (35.5%), which indicates a high potential for genetic change in response to selection (Mulder *et al.* 2007; Hill & Mulder 2010).

The effects of the predictability of a trait on an individual's fitness (e.g. survival or reproduction) are relatively unknown (but see Mulder *et al.* 2016; 2015). The predictability of docility could be under selection and evolve. Different selection pressures could operate on the predictability of docility. For example, the diversity in predator species may favour individuals with higher variation in their behavioural responses. In addition, despite the absence of genetic correlation between docility and its predictability, predictability of docility will be under selection in the case of directional (truncation) selection on mean docility. Directional selection will not only select for the mean of the trait but it will also select for increased trait variation (Mulder *et al.* 2007). Individuals with lower predictability (i.e. a higher within-individual variance) have a higher probability of expressing a trait value above the selection threshold and hence be selected (Mulder *et al.* 2007). In the marmots, selection for less docile individuals would therefore also indirectly select for lower predictability. Thus, the existence of genetic variation in predictability, despite the absence of genetic correlation with the mean, could render selection on docility less effective. The evolutionary implications of an additive genetic basis for the predictability of a trait under multivariate selection on both mean traits and their predictability are not yet fully understood (Mulder *et al.* 2015). Correlations between the predictability of a trait and the mean level of another trait is expected to lead to a non-linear relationship between the two traits (Mulder *et al.* 2015). However, the impact of direct selection has on the predictability of a trait, or the consequences of a genetic

correlation between the predictability of two different traits has on the evolution of mean traits, have not been investigated. As indicated by Westneat *et al.* (2014), this represents a promising area of future research.

The mechanisms underlying variance in predictability are not clear and might include phenotype switching, polyphenisms, diversification bet-hedging and multidimensional reaction norms (Westneat *et al.* 2014). In addition to the evolutionary importance of the genetic basis of predictability in docility, we need to understand the ecological implications of the variation in predictability of docility. Optimal strategies to react to risky situations might not be only a matter of mean behaviour but also of the predictability of that behaviour. The negative phenotypic correlation between the mean docility and its predictability indicates that less docile marmots are also less predictable (i.e. lower mean docility with higher within-individual variance in docility). If docility measured in the trap reflects the anti-predatory response in the wild, then, following the pace of life syndrome hypothesis (Réale *et al.* 2010), these results could suggest that individuals that are more aggressive, explore more and thus are exposed more often to predators have a higher variance in their behavioural response. Higher variability in anti-predatory behaviours for individuals exposed more often to predators could decrease predation rate and thus be an adaptive strategy. On the other hand, higher variability in anti-predatory behaviours could be maladaptive because falling under a threshold of anti-predatory behaviour in the presence of a predator might be deadly.

Our results showed highly consistent estimates for the random effects on mean docility across all models, whether we included heterogeneity in individual residual variance (models 3 and 4) or not (1 and 2). This suggests that ignoring the variation in predictability does not strongly bias our conclusions on the variance components of the mean docility. However, for fixed covariates, the effects of trial number and age were consistent across all models but the effects of day of the year and time of the day changed significantly between models with and without variation in predictability. Ignoring the variability in predictability (model 1 and 2) would lead to conclude that marmots are less docile in the afternoon and not affected by seasons (day of the year). However, models 3 and 4 indicated that marmots were not affected

by time of the day and became more docile over the summer. Those differences could have important implications. For example, in order to handle animals when they are the most docile, the sampling protocol to do so would differ widely depending on the model used. The differences between the models for the effects of time of the day and time of the year might result from more variable individuals being sampled more often in the morning and later in the season. Overall, this result highlights that ignoring between-individual variation in predictability can bias the results obtained on the mean trait. Moreover, given the overlap in the credible intervals of these parameters across all models, it illustrates that any inference based only on a significance threshold may lead to miss important biological insights.

In this study, we introduced a framework to obtain robust estimates of the predictability of a trait that are comparable across populations, traits and studies (Appendix S3). Cleasby *et al.* (2015) proposed to estimate the coefficient of variation of predictability on the exponential scale. However, their approach only allows fitting individual identity as the sole random effect in the dispersion part of the model and was thus not appropriate in our situation. Based on work by Mulder *et al.* (2007) and Sae-Lim *et al.* (2015), we presented the equations to estimate repeatability r_v^2 and heritability h_v^2 of the predictability of a trait when multiple random effects are fitted in the dispersion part of the model. These equations assume that the genetic (r_a) and permanent environment (r_{pe}) correlations between the variance of the mean and of the predictability are 0 (Mulder *et al.* 2007). Even if the consequences of this simplifying assumption seem negligible (Mulder *et al.* 2007; Sae-Lim *et al.* 2015), h_v^2 should be used only as a first approximation in standard prediction evolutionary models (e.g. the breeder's equation) when the genetic correlation differs from 0 (see Mulder *et al.* (2007) for the complete equations).

This is one of the first studies to have estimated the genetic variance and covariance between a trait and its predictability (i.e. within-individual variance) in a wild-living animal population. We illustrate that heritability and other variance ratios can be estimated using double hierarchical animal models and argue that these should be preferred over other techniques to compare populations, species and studies (Réale & Dingemanse 2009; Stamps *et al.* 2012; Cleasby *et al.* 2015).

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TABLES

Table 1 Estimates of variance ratios of docility (with 95% highest posterior density intervals) for the four models differing in their random and residual structure (see table 1). The analysis used 11,389 observations from 1,576 individuals collected between 2002 and 2014.

| | Models | | | |
|--------------------------|------------------------|------------------------|------------------------|------------------------|
| | 1 | 2 | 3 | 4 |
| σ_P^2 | 30.216 (27.654/33.069) | 30.544 (27.925/33.322) | 26.416 (22.796/31.181) | 27.005 (22.704/32.106) |
| Mean part | | | | |
| $year_m^2$ | 0.027 (0.011/0.08) | 0.023 (0.009/0.069) | 0.035 (0.014/0.096) | 0.028 (0.011/0.083) |
| r_m^{2*}/pe_m^{2+} | 0.224 (0.198/0.253)* | 0.106 (0.078/0.138)+ | 0.19 (0.158/0.225)* | 0.08 (0.052/0.11)+ |
| h_m^2 | - | 0.126 (0.089/0.171) | - | 0.106 (0.069/0.152) |
| Dispersion part | | | | |
| $year_v^2$ | - | - | 0.007 (0.002/0.027) | 0.009 (0.002/0.031) |
| r_v^{2*}/pe_v^{2+} | - | - | 0.038 (0.024/0.057)* | 0.024 (0.013/0.039)+ |
| h_v^2 | - | - | - | 0.027 (0.016/0.048) |
| GCV_V | - | - | - | 0.355 (0.272/0.471) |
| Correlation | | | | |
| cor_{ind}^*/cor_{pe}^+ | - | - | -0.183 (-0.398/0.027)* | -0.262 (-0.557/0.073)+ |
| cor_a | - | - | - | -0.008 (-0.388/0.289) |

σ_P^2 = estimated phenotypic variance. The subscripts m and v indicate estimates for the mean or the dispersion part of the model respectively. h^2 = heritability. r^{2*}/pe^{2+} = repeatability (model 1 and 3) or permanent environment (2 and 4) estimates. $year^2$ = proportion of variance associated with year. GCV_V is the genetic coefficient of variation for the predictability of docility. cor_{ind}^* stand for the correlation between the mean and the variance at the individual level (model 3), and cor_{pe}^+ and cor_a for the permanent environment and additive genetic correlations respectively (model 4).

FIGURES

Figure 1 Example of plasticity (a) and residual within-individual variation (b) for three individuals. Each individual is represented by a different colour and point type. a) illustrates between-individual variation in plasticity, with each individual responding differently to environmental changes. The lines represent the average individual response, while the points are the observations. b) represents the residuals around the mean environmental response for each individual in panel a). The dotted line is 0. Thus b) illustrates the between-individual variation in residual within-individual variance.

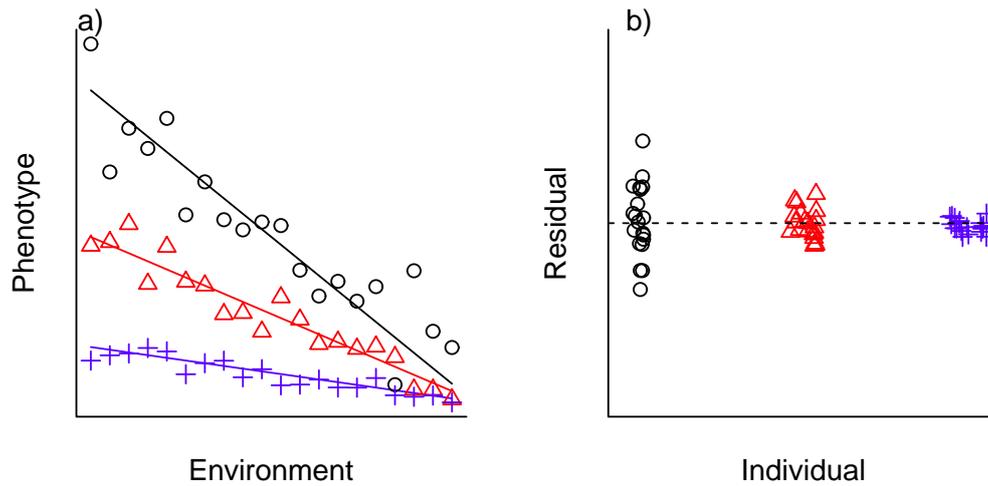
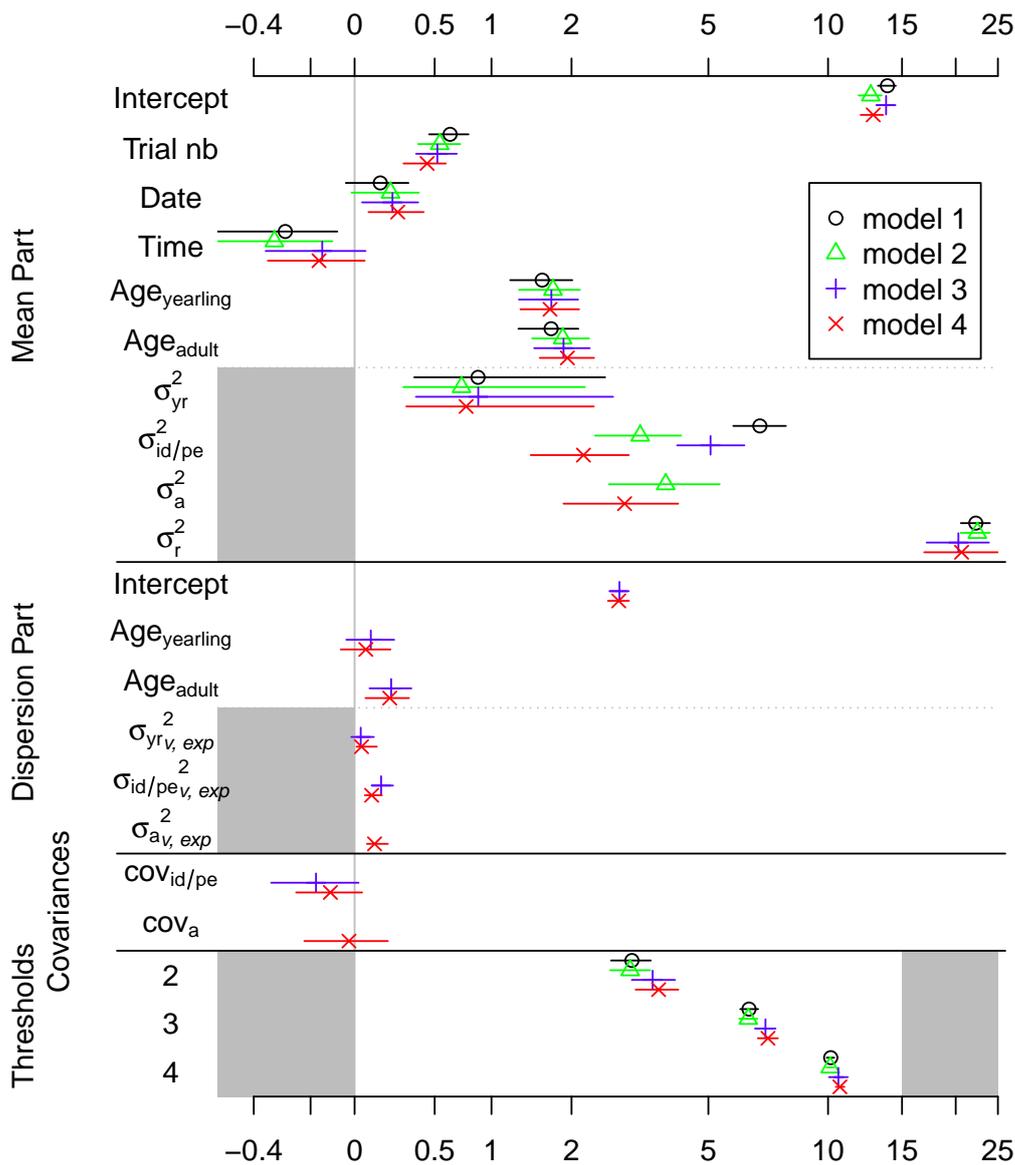


Figure 2 Posterior mode and 95% highest posterior density intervals for the four models of docility of Yellow-bellied marmots at the Rocky Mountain Biological Laboratory, Colorado. The analysis used 11,389 observations from 1,576 individuals collected between 2002 and 2014. Juvenile was used as the reference level for the factor Age in both the mean and dispersion part of the model. The x-axis use a logarithmic scale. Residual variances (σ_e^2) for models 3 and 4 were estimated based on Appendix S3. σ^2 indicates variance components. The grey shaded area illustrates an invalid region of the parameter space. Variance components were constrained to be positive. 5 different threshold were needed in the ordinal model to define the 6 observed categories of docility. Threshold 1 and 5 were fixed to 0 and 15 respectively.



SUPPORTING INFORMATION

Appendix S1. Supplementary tables and figures

Appendix S2. Annotated code to fit model 4 in OpenBugs (File: MartinSA2.txt)

Appendix S3. Calculation of variance components and variance ratios for (exponential) double hierarchical models.

1 Appendix S1. Supplementary tables and figures

Table S1 Summary statistics for the pruned pedigree used for animal models of docility of yellow-bellied marmots at RMBL.