

20 period. Effects of rainfall on forest transpiration, as well as the response of T to four
21 environmental variables were investigated at a daily scale. In this boreal environment,
22 transpiration was mainly restricted by radiation and vapor pressure deficit. Air temperature was
23 the least important controlling factor. Soil water became an important factor when rainfall was
24 limited. Frequent but small rain events dictated that precipitation met short-term transpiration
25 demand most of the time. The trees needed supplementary water from antecedent soil water
26 stores when weekly rainfall was below ~8 mm, but such periods were rare. Water exchange
27 mainly occurred in the canopy or upper 10 cm of the soil, with 47% of rainfall transpired, 45%
28 intercepted and <8% evaporated from the soil surface. Understanding interactions between
29 forests and their hydroclimate, as well as the role of forests in water partitioning is crucial to
30 assist a sustainable land and water management in a changing climate. Whilst such studies are
31 common in semi-arid regions, they are limited in boreal zones, therefore, our findings are a
32 valuable contribution to understanding plant-water relations in a changing environment.

33 **Key words:** sap flow; evapotranspiration; climate; hydrology; water partition; Scots pine

34

35 **1. Introduction**

36 Plant transpiration (T) plays an important role in forest water budgets, as it affects the water
37 partitioning in soils, aquifers and streams (Le Maitre et al., 1999; Vivoni et al., 2008; Deutscher
38 et al., 2016). Precipitation has increased in the Northern Hemisphere over the last two to three
39 decades (Easterling et al., 2000; Dore, 2005; IPCC, 2013) which can impact northern
40 ecosystem composition and productivity (Lindner et al., 2008; Ruckstuhl et al., 2008; Chertov
41 et al., 2010). While annual precipitation is predicted to further increase in the future (IPCC,
42 2013; Krasting et al., 2013), summer rain is projected to decrease in many northern areas
43 possibly falling in fewer higher intensity events (Lindner et al., 2008; IPCC, 2013). In such
44 circumstances, plants may face severe challenges to maintain productivity with foreseeable
45 more frequent occurrence of water stress strongly associated with the precipitation and soil
46 moisture dynamics (Porporato et al., 2001; Lisar et al., 2012). Therefore, a comprehensive
47 understanding of the precipitation effects and soil water controls on plant water use is necessary
48 and holds the key to adapting water and land use management to the future climate change.

49 T is regulated by stomata behavior constrained by both atmospheric conditions and soil water
50 availability (Whitehead, 1998; Buckley et al., 2003; Wang et al., 2014). The mechanisms have
51 been extensively explored at multiple scales from stomata and leaf to whole tree and ecosystem
52 levels (Jarvis and McNaughton, 1986; Schulze et al., 1994; Bovard et al., 2005). Among the
53 constraining variables, atmospheric vapor pressure deficit (VPD) and solar radiation (R_s) are
54 the two major dominant controlling factors (Bovard et al., 2005). However, secondary
55 controlling factors (such as temperature, T_a , soil water content, θ) vary with climates and
56 species (Guo et al., 2010; Chen et al., 2014). In addition, rainfall can also affect T by altering
57 atmospheric demand (or potential evapotranspiration, ET_p) and soil water content (Findell and
58 Eltahir, 1997). For example, rainless days usually create more favorable conditions for

59 transpiration (higher R_s and VPD) than rainy days. In arid/semi-arid areas, where vegetation
60 growth is usually water-limited, plant water use highly relies on rainwater, and rainfall pulses
61 stimulate T after a certain rainfall threshold is reached, because increased water storage in the
62 root zone increases soil water availability (Ogle and Reynolds, 2004; Potts et al., 2006; Raz-
63 Yaseef et al., 2012).

64 In contrast to semi-arid regions, in the low-energy northern high latitudes ($>45^\circ\text{N}$) vegetation
65 growth is usually limited by radiation and not water availability. The studies in this region put
66 a stronger focus on climate-driven vegetation greening attributed mainly to warming
67 temperatures (Buitenwerf et al., 2015; Garonna et al., 2016). Precipitation, soil moisture, and
68 snow accumulation and melt also contribute to enhanced vegetation growth (Luus et al., 2013),
69 and this contribution can be highly variable in space and time (Barichivich et al., 2014). As the
70 treeline has progressed poleward (Beringer et al., 2005; Pearson et al., 2013), investigations of
71 tree water use and environmental controls are important for understanding ecosystem response
72 to climate change, but such work in this critical region has so far been limited. Several studies
73 have shown that tree water use in the high latitudes is often closely linked to permafrost thaw
74 since soil water from thawed permafrost can be comparable to soil water from summer rains
75 (Kropp et al., 2017). Light intensity and humidity also closely restrict tree water use and
76 productivity (Arneth et al., 1996; Gao et al., 2016; Zha et al., 2013). There is a lack of
77 understanding on direct rainfall effects.

78 The natural vegetation over much of the Scottish Highlands would have been forest dominated
79 by Scots pine (*Pinus sylvestris*), but a long history of clearance, burning and overgrazing by
80 deer and sheep resulted in limited forest cover across Scotland. With the presence of frequent
81 rainfall, low radiation and high humidity, plants are usually not under water stress during most

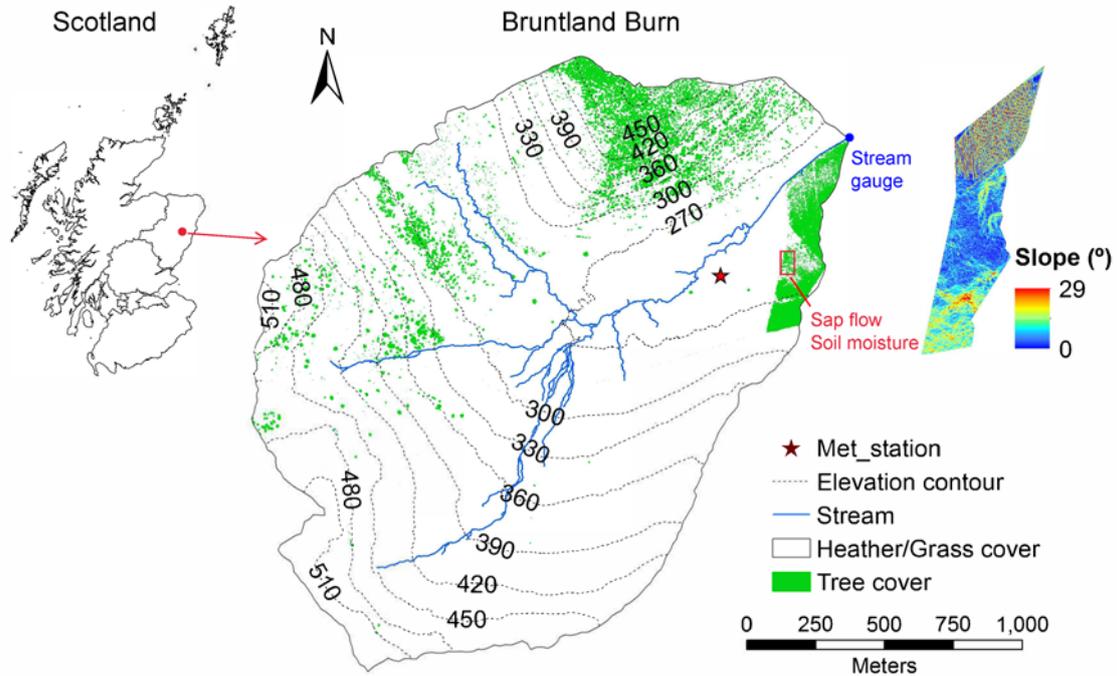
82 of the year (Haria and Price, 2000). However, future projections of decreased rainfall and
83 increased intensity during growing seasons (Gosling, 2012; Capell et al., 2013) may result in a
84 decreased water availability increasing the probability that vegetation would suffer from more
85 frequent and prolonged water stress (Zhang et al., 2008; Trahan and Schubert, 2016). The role
86 of soil water in regulating plant transpiration may become more important (Geris et al., 2015b)
87 but it is not yet fully understood; neither is the role of plant transpiration on canopy water
88 partitioning relative to interception and soil evaporation losses. Therefore, in this study, we
89 measured sap flow in a Scots pine plantation in a Scottish headwater catchment, aiming to: (1)
90 investigate the dynamics of transpiration in Scots pine in this low energy boreal environment
91 in the summer season which may be more water-limited than other seasons; (2) examine the
92 interactive environmental controls on transpiration; and (3) understand the implications for
93 water partitioning in the forest in terms of likely effects of future climatic conditions.

94 **2. Materials and methods**

95 **2.1. Study site**

96 This study was conducted in the Bruntland Burn catchment (3.2 km², 57.04°N, 3.13°W) in the
97 Scottish Highlands (Figure 1). It is described in detail elsewhere (Birkel et al., 2011; Tetzlaff
98 et al., 2014; Soulsby et al., 2015; Blumstock et al., 2016). The climate is boreal oceanic; the
99 recent decadal mean annual maximum temperature is 19.4±1.3°C in July, and mean annual
100 minimum temperature is -1.0±1.6°C in January. Mean annual precipitation (*P*) is around 1000
101 mm; it is relatively evenly distributed but lowest (mean ~65 mm/month) in April-July and
102 highest (~105 mm/month) in October-February. Throughout the year, it most commonly falls
103 as low-intensity rain; snow is generally <5% of annual *P* and tends to lie for short periods (a
104 few days to a few weeks) in January and February and melts quickly. Annual potential

105 evapotranspiration (ET_p) calculated using the Penman-Monteith method (Allen et al., 1998) is
 106 around 400 mm and annual runoff at the catchment outlet is around 700 mm (Soulsby et al.,
 107 2015).



108

109 Figure 1 Location of the Bruntland Burn catchment on the map of Scotland, and vegetation
 110 cover and instrumentation in the catchment. The forest plot is enclosed by the red rectangle.
 111 Numbers on contour lines are elevation in meters above sea level. Vegetation cover and slope
 112 data were obtained from 1 m resolution LiDAR data. Slopes at the lower part of the
 113 plantation are affected by the furrows.

114 The geology of the catchment is mainly granite and associated metamorphic rocks (Tetzlaff et
 115 al., 2014; Dick et al., 2015). Elevation ranges from around 250 m.a.s.l at the flat valley bottom,
 116 to about 550 m on the steeper slopes (Figure 1). Organic-rich soils dominate the catchment,
 117 with large areas of deep (>1 m) peats in valley bottoms and shallow (<0.5 m) peats on the lower
 118 hillslopes. The steeper slopes with the studied plantation are characterized by podzols which
 119 have a 0.1-0.2 m deep O horizon overlying a freely draining mineral sub-soil.

120 The dominant vegetation in the catchment is heather (*Calluna vulgaris* and *Erica tetralix*)
121 shrubs with a canopy height ~0.3-0.6 m, distributed throughout the valley and hillslopes. Trees,
122 mostly Scots pine, cover about 10% of the catchment, mainly in plantations near the outlet and
123 as natural forest on the south-facing steeper slopes. The tree height ranges from 5 m in the
124 natural forest to 15 m in the plantation. The understory is sparsely vegetated in the plantation
125 where canopies are dense and light penetration is low. The majority of tree roots are present in
126 the upper 0.3 m of the soils (Geris et al., 2015a) but extend a few meters horizontally. Trees
127 are ~30 years old without any cutting/thinning history. Tree density is lower in the middle part
128 of the forest (960 trees/ha) than the upper and lower parts (>2000 trees/ha).

129 **2.2. Measurements**

130 This study covers July to September 2015, a period in the year that is usually the warmest and
131 driest. In total, 32 sets of Granier-type (Granier, 1987) thermal dissipation sap flow probes
132 (TDP probes, *Dynamax Inc. Huston, USA*) were installed on 10 trees at 1.3 m high above
133 ground with a range of stem sizes (10-32 cm in diameter at breast height, *DBH*). Each set of
134 sensors comprises 1 heater probe and 1 thermocouple probe 4 cm below it. Four sensors were
135 installed on trees with *DBH* over 20 cm in four cardinal directions; two or three sensors on
136 trees with *DBH* of 15-20 cm on the south-north (and west when applying) sides; and one sensor
137 on 1 tree with *DBH* below 15 cm. Data were collected using a CR1000 data logger (*Campbell*
138 *Scientific, USA*) at an hourly interval. Incremental wood cores were taken from 51 trees in 3
139 plots in the plantation at the end of study period to establish the relationship between sapwood
140 area (A_s) and *DBH* at 1.3 m above ground ($A_s = 0.0049 \times DBH^{2.0048}$, $R^2=0.95$). The average
141 ratio of sapwood area to forest area (25 m²/ha) obtained from surveys in the three plots was
142 used to estimate forest transpiration.

143 An automatic weather station (*Environmental Measurement Limited, North Shields, UK*) was
144 established near the forest site in an open area (Figure 1), giving continuous measurements of
145 air temperature (T_a), relative humidity (RH), net radiation (R_n), soil heat flux (G), precipitation
146 (P), wind speed/direction, and atmospheric pressure. Volumetric soil water content (θ) was
147 measured near the sample trees using time domain reflectometry (TDR) soil moisture probes
148 (model CS616, *Campbell Scientific, Inc. USA*). Sensors were calibrated against gravimetric
149 soil water content and bulk density in the lab with a range of water content. Two replicate TDR
150 probes were inserted at depths of 0.1, 0.2 and 0.4 m prior to the sap flow measurements
151 commencing, allowing soil stabilization after refilling of the pits. The slopes derived from a 1
152 m resolution LiDAR elevation map (Figure 1) show that most of the plantation is relatively flat.
153 Measurement of soil moisture at one location will not capture the spatial heterogeneity within
154 the plantation, but comparison to measurements elsewhere in the catchment showed that the
155 sensors at this site captured the general soil water dynamics in response to rainfall and
156 evapotranspiration. Meteorological parameters and soil water content measurements were
157 recorded by CR800 data loggers (*Campbell Scientific, Inc. USA*) at 15-minute intervals.

158 **2.3. Methods**

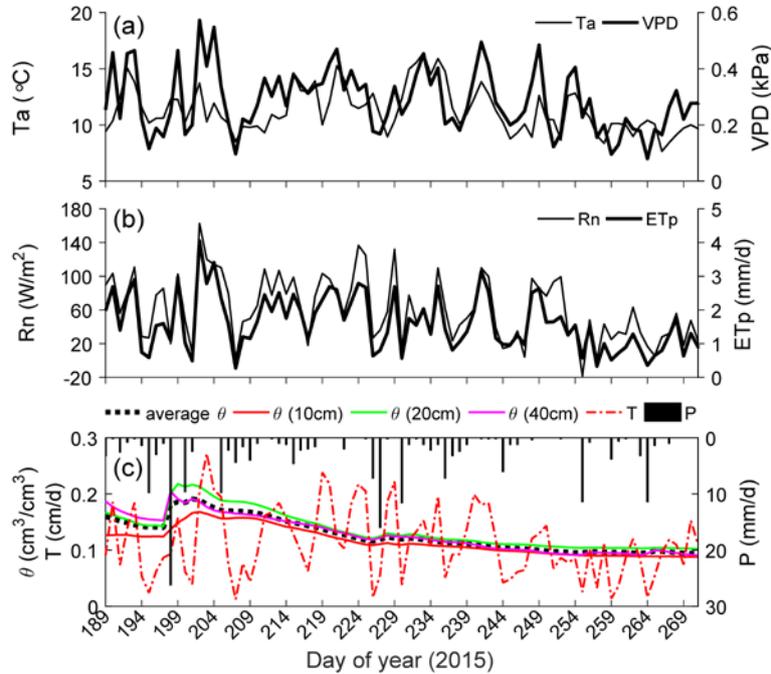
159 Forest transpiration was estimated using the average sap flux density per unit sapwood area
160 multiplied by the sapwood area index (Granier et al., 1996). Measurements of forest
161 transpiration and hydroclimatic variables, including T_a , vapor pressure deficit (VPD ,
162 calculated from mean T_a and RH , Allen et al., 1998), R_n and θ , were aggregated to daily values
163 for comparison of temporal dynamics. We used two measures to investigate the potential
164 effects of rainfall on transpiration. First, we calculated the mean diel T rates (mm/h) on days
165 before rain and after rain. Second, we grouped rainfall data into different amount classes
166 (mm/d), and then calculated the increases in T on days after rain in comparison to prior to rain

167 for each rainfall class, in order to examine the effects of different rainfall amounts on tree water
168 use. “Before rain” refers to the day before one or several rain days; “after rain” refers to the
169 day when T peaked after the same rainy day(s) (Zeppel et al., 2008; Zhao and Liu, 2010). Daily
170 rainfall <0.2 mm was considered as rain free. There were 13 pairs of before-rain and after-rain
171 days for the calculations.

172 Relationships between T and environmental variables (T_a , VPD , R_n , θ) were analyzed using
173 linear regression to investigate the major influencing factors on transpiration. Despite various
174 functions published to capture the effects of these environmental variables (Whitley et al., 2013;
175 Wang et al., 2016), here, we used a linear regression as the data ranges of all examined variables
176 at this site were much smaller than those found in other studies (McLaren et al., 2008). Since
177 T can be estimated as the multiplication of canopy conductance and leaf-air vapor pressure
178 deficit (Jarvis and McNaughton, 1986; Whitehead, 1998), the ratio T/VPD can be considered
179 as a proxy of canopy conductance. In this sense, we analyzed the relationships between T and
180 the environmental variables at different ranges of T/VPD to differentiate the interactive controls
181 of atmospheric and soil water on T .

182 **3. Results**

183 **3.1. Hydroclimatic measurements**



184

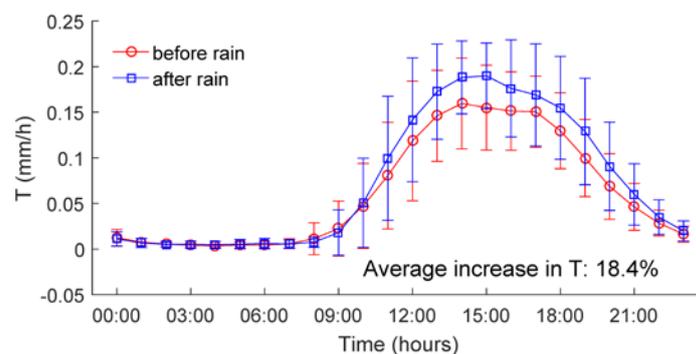
185 Figure 2 Daily dynamics of hydroclimatic variables during the study period 8/7-28/9/2015.
186 (a) mean air temperature (T_a) and vapor pressure deficit (VPD); (b) net radiation (R_n) and
187 potential evapotranspiration (ET_p); (c) Rainfall (P), transpiration (T) and soil water content
188 (θ) at three depths (10, 20 and 40 cm) and depth-mean.

189 During the study period, variability in daily mean T_a was small with an average of 11.3°C
190 (Figure 2a). Low temperature and high humidity resulted in a small range of VPD (0.1-0.6 kPa).
191 Similarly, daily R_n values were small with the maximum only ~180 W/m^2 (Figure 2b), showing
192 a general decreasing trend over time. T_a , VPD and R_n were lower on rainy days (usually cloudy,
193 cool and humid) than rain-free days (warm and less humid). Atmospheric demand (or ET_p)
194 corresponding to low radiation, temperature and high humidity only totaled 118.6 mm during
195 the study period (83 days). There were frequent rainfall inputs, with most rain days
196 characterized by small low-intensity events (<5.0 mm/d, Figure 2c). The podzolic soil was dry
197 with a mean θ ~0.13 cm^3/cm^3 . There was only one increase to about 0.2 cm^3/cm^3 on day of the

198 year 198 (July 17) with rain >20 mm/d, followed by a gradual drying. Water content at 0.1 m
199 depth was consistently the lowest, whereas it was highest at 0.2 m depth, however, the
200 differences were small. Soil water content generally decreased over summer; despite continued
201 rainfall inputs only a few events caused small increase in soil moisture, though to some extent
202 this likely reflects the location of the soil moisture sensors under a dense part of the forest
203 canopy. Corresponding to the hydrometric conditions, transpiration rates were relatively low,
204 usually <2 mm/d (Figure 2c) with more water transpired during rain-free days than rainy days.
205 Transpiration showed a general decreasing trend over time, consistent with the decrease in R_n
206 and θ . Transpiration increased rapidly after rainy days, e.g., days 213-218, which was
207 coincident with increasing R_n and VPD .

208 3.2. Rainfall effects on transpiration

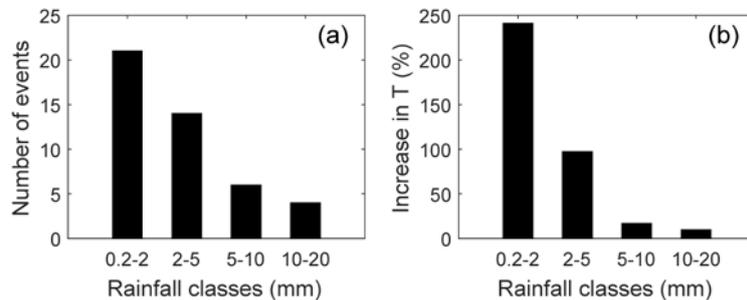
209 To investigate the effects of rainfall on forest transpiration in more details, we calculated the
210 average diel transpiration rates on days before and after rain (Figure 3). Results were based on
211 13 eligible data pairs. Across all dates, tree water uptake began at 08:00-09:00 in the morning
212 and peaked around 14:00-15:00 in the afternoon. The largest difference in transpiration rate
213 before and after rain occurred in the afternoon. On average, trees transpired 18.4% more water
214 on days after rain than they did on days before rain.



215

216 Figure 3 Comparison of mean diurnal transpiration (T) on days before rain and after rain
 217 events. Before rain refers to the day before one or several consecutive rainy days; after rain
 218 means the day when T peaked after the same rainy days.

219 The frequency of different rainfall amount classes and the increase in transpiration linked to
 220 each class are given in Figure 4. Most rain fell in small intensity events: almost half of the
 221 rainfall events had a rain amount less than 2.0 mm/d, one third of the events had rainfall of 2.0-
 222 5.0 mm/d, and only ~22% of the events had rainfall over 5.0 mm/d. In Figure 4(b), the largest
 223 increase in transpiration occurred when rainfall was below 2.0 mm/d (~240%), followed by
 224 2.0-5.0 mm/d. As rainfall amount increased, the relative increase in forest transpiration
 225 decreased. This means, frequent small rain events appear to have a more positive effect on
 226 transpiration than large rain events.



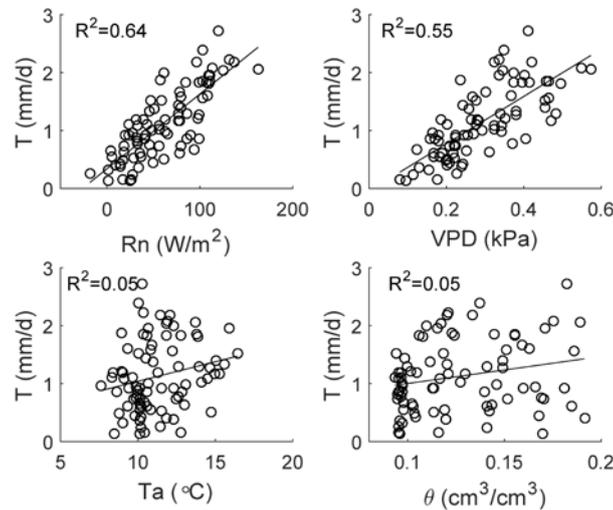
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228 Figure 4 (a) Frequency of different rainfall amount classes; (b) Increase in transpiration (T)
 229 between the days before rain and the days after rain when T peaked. There was only one day
 230 with >20 mm rain and is not shown in the figure. Rain ≤ 0.2 mm/d was considered as rain
 231 free.

232 3.3. Responses of transpiration to environmental variables

233 Relationships between T and four environmental variables are shown in Figure 5. At the daily
 234 scale, Rn and VPD were, unsurprisingly, the two major factors influencing T ($R^2 > 0.50$). Higher
 235 amount of T corresponded to higher amount of Rn and VPD . In contrast, the effects of Ta and

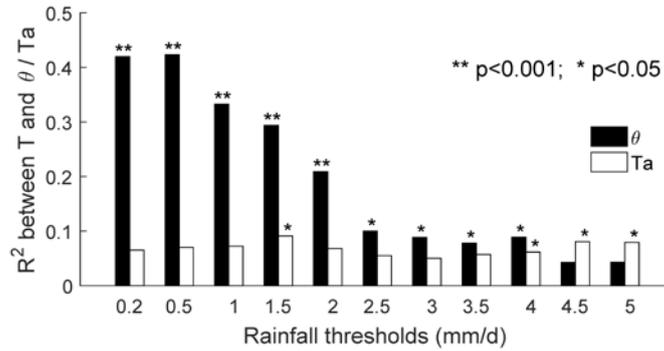
236 θ were not significant ($R^2=0.05$) as there was barely a clear linear relationship between T and
 237 the two variables (bottom panels in Figure 5).



238

239 Figure 5 Relationships between transpiration (T) and environmental variables: net radiation
 240 (Rn), vapor pressure deficit (VPD), air temperature (Ta), and depth-average (0-40 cm)
 241 volumetric soil water content (θ). Solid lines are linear regressions between T and the
 242 relevant variables.

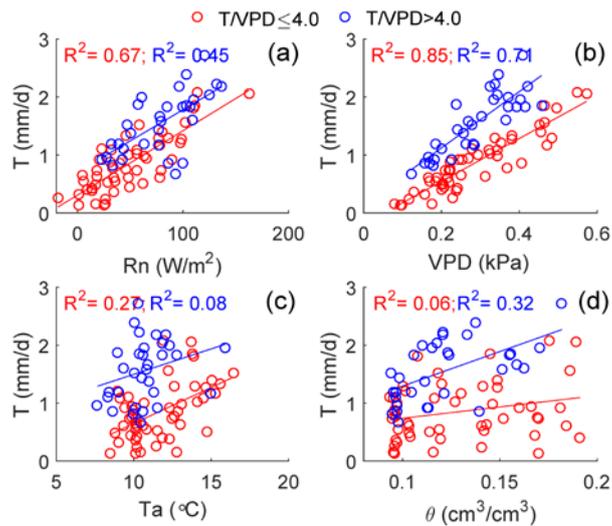
243 When T , Ta and θ were analyzed according to different rainfall thresholds, the R^2 between T
 244 and Ta were consistently low (<0.10 , and mostly $p>0.05$). However, relationships between T
 245 and θ varied greatly with rainfall amounts (Figure 6). When rainfall was below 2.0 mm the soil
 246 water was important to maintain forest transpiration ($R^2>0.20$, $p<0.001$). This effect decreased
 247 with increasing rainfall thresholds; when rainfall was between 2.0 and 4.0 mm/d the effect was
 248 still statistically significant ($R^2<0.10$, $p<0.05$) but not as strong as below 2.0 mm/d. When
 249 rainfall was over 4.0 mm/d there was no clear relationship between transpiration and soil water
 250 any more ($R^2<0.10$, $p>0.05$). This indicates that the trees tend to deplete soil water storage
 251 when there was little daily rainfall.



252

253 Figure 6 Coefficient of determination (R^2) of linear regression between transpiration (T) and
 254 soil water content (θ) and air temperature (Ta) below different rainfall thresholds. For
 255 example, the R^2 for rainfall threshold 1.5 mm/d means R^2 between T and θ/Ta using data
 256 when rainfall is ≤ 1.5 mm/d.

257 Plant transpiration is regulated by stomata via stomatal conductance (and hence, canopy
 258 conductance). When transpiration was plotted against T/VPD , which serves as a proxy of
 259 canopy conductance (higher values correspond to higher T rates), we observed a rapid increase
 260 of T before $T/VPD \sim 4.0$ mm/d/kPa. Above this value, the increase was much less and plateaued.
 261 We therefore used this T/VPD threshold (4.0 mm/d/kPa) to divide the data into two groups
 262 (below and above this threshold), and examined the environmental controls on transpiration
 263 again for these two data groups in Figure 7. The scatterplots show the different influences of
 264 atmospheric condition and soil water on transpiration with contrasting ranges of T/VPD . Rn
 265 and VPD were the two major influencing factors on transpiration on all occasions (Figure 7a,
 266 b). When T/VPD was below 4.0 mm/d/kPa, Ta showed weak influences on T ($R^2=0.27$,
 267 $p<0.001$), and T was not related to θ ($R^2=0.06$, $p=0.08$). In contrast, when $T/VPD>4.0$
 268 mm/d/kPa, the relationship between T and θ on was enhanced ($R^2=0.32$, $p<0.001$) while Ta
 269 effect was minimal.



270

271 Figure 7 Relationships between transpiration (T) and environmental variables with varying
 272 T/VPD (unit: mm/d/kPa). (a) Rn -net radiation; (b) VPD -vapor pressure deficit; (c) Ta -air
 273 temperature; and (d) θ -volumetric soil water content. Significance test p values for T and Ta
 274 relationship with $T/VPD > 4.0$ and for T and θ with $T/VPD \leq 4.0$ are 0.11 and 0.08 respectively,
 275 for others are < 0.001 .

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3.4. Partitioning of water supply to plant transpiration

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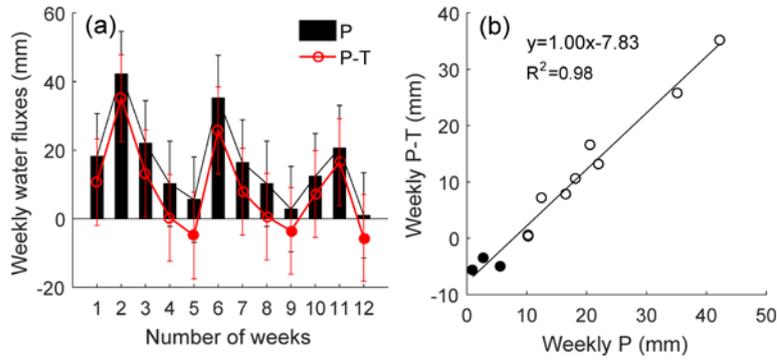
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To understand the water sources for forest growth, we calculated the water balance between
 rainfall and transpiration on a weekly basis (Figure 8). Rainfall maintained the water stores in
 the forest and accounted for 98% of the variation in the P - T budget. In most of the 12 weeks,
 total rainfall exceeded the transpiration demand. Rainwater deficit (P - T) existed only when
 weekly rainfall was below ~ 8 mm. It is noticeable that in the three weeks with rainfall deficit,
 transpiration did not decrease significantly but remained similar to previous weeks with more
 rainfall (Figure 8). It is also noteworthy that periods of deficits were limited, and the deficits
 were small. The regression between P and P - T in Figure 8b indicates that the forest used
 supplementary water supply from soil water storage when weekly rainfall was less than ~ 8 mm
 on average. Rainfall here was the total gross rainfall, and although interception accounts for
 around 45% of summer precipitation (Braun, 2015), the effective rainfall should be sufficient
 to maintain transpiration in most weeks.



289

290 Figure 8 (a) Time series of weekly rainfall (P) and water balance between rainfall and
 291 transpiration (T): $P-T$. (b) Regression between $P-T$ and P . Filled black circles correspond to
 292 the data points in (a). Error bars in (a) are one standard deviation of each data series: 12.4
 293 mm/week for P and 12.6 mm/week for $P-T$.

294 4. Discussion

295 4.1. Transpiration in Scots pine forest and the environmental controls

296 Average T (1.1 mm/d) was low and coincident with low average atmospheric demand. T values
 297 were at the low end of regional estimates across Europe (1.2-1.8 mm/d along a latitudinal range
 298 of $>20^\circ\text{N}$), closest to the estimates at a Finnish site (Berninger, 1997; Meiresonne et al., 2003)
 299 and a Scottish site in the central Highlands (Haria and Price, 2000), and slightly lower than in
 300 a plot in central Scotland (~ 1.3 mm/d from mid-May to end of August 1995 in Irvine et al.,
 301 (1998)). The Scots pine water use in this study was generally within the range reported in
 302 studies conducted in wet temperate/boreal conifer forests (Unsworth et al., 2004; Barbour et
 303 al., 2005).

304 R_n and VPD were the dominant variables restricting transpiration. The influence of VPD on T
 305 was similar to a temperate coniferous rainforest reported by Barbour et al. (2005) who showed
 306 a strong linear relationship between T and VPD when $VPD < 0.6$ kPa. This threshold of VPD is
 307 lower than other reported values in a temperate hardwood forest and a boreal aspen forest (1.0

308 kPa) by Bovard et al., (2005) and Hogg and Hurdle, (1997), respectively. Compared to R_n and
309 VPD , the role of T_a in affecting T is more ambiguous. T_a is often also regarded a major factor
310 influencing forest water use (Jarvis, 1976; Zheng and Wang, 2015), but it is not always a
311 primary controlling factor (Lhomme et al., 1998; Wang et al., 2014). In fact, a number of
312 studies showed a more significant relationship between soil/leaf temperatures and transpiration
313 (Mellander et al., 2004; Pallas et al., 1967; Wieser et al., 2015). Results in this study also
314 demonstrated no direct air temperature effect on transpiration.

315 The rapid increase in T after rainfall probably cannot be simply attributed to rainfall
316 stimulation as reported for semi-arid areas (Zeppel et al., 2008; Huang and Zhang, 2016), where
317 soil wetness clearly increased due to more rainfall and, in turn, increased T . Instead, compared
318 to before-rain atmospheric condition, the exposure of canopy to increased solar radiation and
319 reduced humidity was likely to be at least as important as the rainfall itself for an increased T .
320 This is similar to Cory et al. (2013) where the increased biological activity indexed by CO_2
321 release from soil carbon was due to intensified light exposure. This is also partly supported by
322 the observations that T increased more during smaller amount rainfall events (Figure 4) and
323 that soil moisture dynamics (Figure 2c) were largely irresponsive to rainfall.

324 The influence of soil water on T varied with rainfall amounts. T was most clearly linked to soil
325 water when rainfall was limited. This is consistent with Granier et al, (2007). The dependency
326 declined as rainfall increased, and diminished when daily rain >4 mm/d. This phenomenon
327 could be the explained by the antecedent soil water storage built up in the rainy days (or months)
328 that was depleted by trees later. Plants will adjust stomatal conductance in response to the
329 changing atmospheric and soil water conditions (Buckley et al., 2003). The ratio T/VPD , a
330 proxy of canopy conductance (Jarvis and McNaughton, 1986; Whitehead, 1998), helped to

331 differentiate atmospheric and soil water controls on transpiration. In this study, soil water
332 started to limit transpiration when $T/VPD > 4$ mm/d/kPa, but otherwise not. This indicates that
333 when trees transpired water at a higher rate, stomata regulation of T in response to soil water
334 limitation was triggered to prevent rapid water loss; while at the lower conductance rate, T was
335 more related to atmospheric conditions, near the potential rate, and stomatal regulation was
336 barely involved (Tang et al., 2006; Tognetti et al., 2009). This phenomenon was also reported
337 in Chen et al., (2014) with a different T/VPD threshold for a poplar plantation in Beijing, China.

338 **4.2. Implications for water partitioning**

339 Throughout the study period, ~47% of rainfall returned to the atmosphere through transpiration
340 in the plantation, ~45% through canopy evaporation (Braun, 2015), and the rest to soil
341 evaporation or deeper infiltration (Sprenger et al., 2017). Whilst the soil moisture sensors
342 indicated little, if any, deeper recharge, their location under an area of denser canopy with likely
343 high interception losses may have shown an extreme case and infiltration was likely higher
344 where the canopy is less dense (Braun, 2015). With the projected future decrease in summer
345 rain and increase in temperature in the area (Capell et al., 2013), the forest may contribute to
346 more rainwater loss through transpiration and interception. Although increased rain intensity
347 may reduce interception loss, with the context of decreased rain and increased transpiration,
348 less groundwater recharge and runoff generation in summer can be expected (Sahin and Hall,
349 1996; Farley et al., 2005). Thus, the situation of relative summer “dry gets drier” (Feng and
350 Zhang, 2015) could then be enhanced. There are proposals in the area to increase tree cover to
351 maintain low temperatures in river channels for important species (Hrachowitz et al., 2010).
352 Since the catchment is important to sustain local drinking water supplies and maintain
353 important Atlantic salmon fisheries (Tetzlaff et al., 2008), assessing the effects of Scots pine
354 trees on water partitioning and storage is important for water and land management.

355 The *P-T* budgets indicate that for the study period, water re-cycling by the forest stand was
356 rapid, with new inputs of rainfall quickly contributing to tree water uptake. This would be
357 consistent with Scots pine having most of its roots in the upper 10 cm of the soil (Geris et al.,
358 2015a) and would help explain why the soil moisture measurements at 10 cm showed no
359 response to most rainfall events. However, in the driest weeks, antecedent deep soil water (>0.4
360 m) might help sustain transpiration (Schwinning et al., 2002; Chen et al., 2014). Moisture
361 deficits in the deeper soil layers can only be relieved by large rain events because of high
362 interception losses at the site (Braun, 2015) and root water uptake in the upper soil horizons
363 limiting deeper percolation. Without enough replenishment from rainfall, the soil water (mainly
364 stored from previous rainfall in the wet spring and early summer) continued to drop attributable
365 mainly to transpiration and little to soil evaporation (Sprenger et al., 2017). There is no
366 evidence showing the trees accessed groundwater in the dry summer (Geris et al., 2015a).

367 **5. Conclusions**

368 We analyzed Scots pine transpiration and its response to rainfall and four other environmental
369 variables in a low-energy boreal Scottish forest. We investigated different rainfall effects on
370 tree water use and the possible mechanism behind. Scots pine in this forest stand was
371 characterized by rapid water recycling. The increase in transpiration was particularly large
372 when daily rainfall was <5 mm, mainly ascribed to enhanced solar radiation on days after rain;
373 while the increase was small to negligible when rainfall was over 5 mm/d implying water stress
374 was not marked. Daily variation of transpiration could be explained by radiation and
375 atmospheric vapor pressure deficit. Air temperature was not a direct controlling factor in this
376 environment. Soil water only became significant when rainfall was limited; and antecedent soil
377 water from deep layers was depleted by the trees when there was a rainwater deficit. In total,
378 the forest transpired 47% and intercepted ~45% of rainfall in the study period, leaving ~8% of

379 rainfall loss through soil evaporation. The water exchange processes mainly occurred at the
380 canopy and in the upper 10 cm soils. Future warmer and drier summer in the area may present
381 more occurrences of rainwater deficit for plant water uptake.

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