

1 **Caspase-independence and characterization of bisnaphthalimidopropyl spermidine**
2 **induced cytotoxicity in HL60 cells.**

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16

17 **Abbreviations:** BNIPSpd, Bisnaphthalimidopropylspermidine; PI, Propidium iodide; OPA,
18 o-phthaldialdehyde.

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21 **Running title:** Bisnaphthalimide-induced cytotoxicity

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Abstract -

Bisnaphthalimides are DNA intercalators of potential use as chemotherapeutics but for which the range of mechanism of action is only gradually being elucidated. Using human promyelocytic HL-60 cells, we extend characterisation of the cytotoxicity of bisnaphthalimidopropylspermidine (BNIPSpd) and examine the relationship with caspase-activity. Within 4h exposure, BNIPSpd (1-10 μM) induced significant DNA strand breakage. Evidence of apoptosis was progressive through the experimental period. Within 6h, BNIPSpd increased the proportion of cells exhibiting plasma membrane phosphatidylserine exposure. Within 12h, active caspase expression increased and was sustained with 5 and 10 μM BNIPSpd. Flow cytometric analysis revealed caspase activity in cells with and without damaged membranes. By 24 h, 5 and 10 μM BNIPSpd increased hypodiploid DNA content and internucleosomal DNA fragmentation (DNA ladders) typical of the later stages of apoptosis. 1 μM BNIPSpd exposure also increased hypodiploid DNA content by 48h. Polyamine levels decreased by 24 h BNIPSpd exposure. The pan-caspase inhibitor, z-VAD, significantly decreased DNA degradation (hypodiploid DNA and DNA ladders) and induced an increase in cell growth. Despite this, cell growth and viability remained significantly impaired. We propose that BNIPSpd cytotoxicity arises through DNA damage and not polyamine depletion and that cytotoxicity is dominated by but not dependent upon caspase driven apoptosis.

Key Words: Apoptosis; Bisnaphthalimides; Caspase-inhibition; Cytotoxicity; Genotoxicity; HL-60 cells

48 **1. Introduction**

49 Naphthalimides and bisnaphthalimides are DNA intercalating agents (e.g. Brana et al., 1993,
50 2001; Cacho et al., 2003; Kong Thoo Lin et al., 2003; Lv et al., 2009; Tan et al., 2015; Wang
51 et al., 2016) with compounds comprising these moieties variously being proposed for
52 exploitation as anti-tumourigenics, anti-microbial or anti-parasitic agents (e.g. Gellerman,
53 2016; Kong Thoo Lin et al., 2003; Oliveira et al., 2007; Noro et al., 2015; Kopsida et al., 2016
54 Graca et al., 2016). Bisnaphthalimides consist of two aromatic naphthalimido rings attached
55 by a linker chain containing nitrogen atoms. Principally, the intercalations of the naphthalimide
56 planar aromatic rings between DNA base-pairs distorts the conformation of the DNA backbone
57 leading to interference with DNA-protein interactions (Hsiang et al., 1989; Brana et al., 1993,
58 Dance et al., 2005). In addition, particular naphthalimide and bisnaphthalimides have been
59 variously shown to inhibit topoisomerases directly, exert post- DNA damage effects on DNA
60 damage signalling pathways, impair DNA repair, or induce lysosomal permeability (Filosa et
61 al., 2009; Zhu et al., 2009; Chen et al., 2010; Bestwick et al., 2011; Barron et al., 2015; Tan et
62 al., 2015; Zhang et al., 2016a;). The ultimate consequence of exposure to (bis-) naphthalimides
63 *in vitro* has included cell cycle arrest and apoptosis (Ralton et al., 2009; Liang et al., 2011; Yang
64 et al., 2011a; Seliga et al., 2013; Zhang et al., 2016a).

65 This array of often complementary mechanisms of (bis-)naphthalimide action
66 influencing tumour cell, microbes and parasite development has continued to encourage their
67 development as therapeutics. In previous work, we linked bisnaphthalimido propyl fragments
68 with natural polyamines such as spermine and spermidine (Dance et al., 2005; Kong Thoo Lin
69 et al., 2000; Pavlov et al., 2001). As neoplastic transformation can be accompanied by elevated
70 polyamine levels resulting from altered biosynthesis, catabolism and uptake (Basuroy and
71 Gerner 2006), we hypothesised that the polyamine linker would facilitate uptake of the
72 bisnaphthalimides into neoplastic cells (Dance et al., 2005).

73 We previously reported that bisnaphthalimidopropylspermine (BNIPSpm) and
74 bisnaphthalimidopropylspermidine (BNIPSpd) have enhanced aqueous solubility, and are
75 rapidly and homogeneously distributed within the nuclei of MCF-7 breast carcinoma and
76 Caco-2 colon adenocarcinoma cells where they cause significant DNA damage and
77 impairment of DNA base excision repair (Bestwick et al., 2011; Dance et al., 2005).
78 Moreover, the more cytotoxic of the two, BNIPSpd (Fig 1), induces apoptosis in Caco-2 and
79 HT-29 colon epithelial cells (Ralton et al., 2009). However, the conservation of the apoptotic
80 response to BNIPSpd, and the mechanism underlying such response in differing cell types,
81 has not been established. Here, following a preliminary report (Kong Thoo Lin et al., 2003),
82 we provide a detailed assessment of the effects of BNIPSpd on cell growth and cytotoxicity in
83 HL-60 promyelocytic leukaemia cells, examining the temporal relationship of apoptosis to
84 DNA damage and polyamine levels and the extent of caspase dependency within overall
85 BNIPSpd toxicity.

86

87 **2. Materials and methods**

88 *2.1. Materials*

89 HL-60 cells were purchased from the European Collection of Cell Cultures
90 (98070106; Public Health England, Salisbury, UK). RPMI medium and foetal calf serum
91 were from Lonza Sales AG (Verviers, Belgium). Tissue culture flasks were supplied by
92 Greiner Bio-One Ltd (Gloucestershire, UK). Active Caspase-3 detection kit, Cell Cycle Plus
93 DNA Reagent Kit and QC DNA particles were from BD (Oxford, UK). Vybrant FAM
94 Polycaspases kits, Amplex Red hydrogen peroxide assay kits and DAPI were from Life
95 Technologies Ltd (Paisley, UK). The Annexin V-FITC kit and single strand DNA detection
96 kit were from eBioscience Ltd (Hatfield, UK). Etoposide, camptothecin, dimethylsulfoxide

97 (DMSO) and all other reagents were purchased from Sigma-Aldrich Company Ltd (Dorset,
98 UK) unless stated otherwise. BNIPSpd was synthesised and characterised according to our
99 previous methods (Kong Thoo Lin and Pavlov 2000).

100

101 2.2. *Cell Culture and bisnaphthalimidopropyl polyamine exposure*

102 HL 60 cells were cultured in RPMI 1640 medium supplemented with 10 % (v/v) foetal
103 calf serum, 1% (v/v) non essential amino acids, 2 mM glutamine, 50 µg/mL streptomycin and
104 50 µg/mL penicillin. Cells were kept in a humidified (95% relative humidity, RH) incubator
105 at 37 °C, 5% CO₂. BNIPSpd was solubilized in 20% (v/v) DMSO and cells (5×10^5 cells mL⁻¹)
106 were incubated with 0.1-10µM BNIPSpd (0.02% [v/v] DMSO final concentrations), 0.02 %
107 (v/v) DMSO or sterile double distilled water for 1-72 h. The chemotherapeutic agents
108 etoposide (10 µM) and camptothecin (4 µM) in 0.1% [v/v] DMSO final concentrations, were
109 used as positive controls to confirm assay function as appropriate. For caspase inhibitor
110 experiments, cells were pre-incubated with 100 µM z-VAD-fmk (in 0.2% v/v DMSO final
111 concentration) for 1 h at 37°C, 5% CO₂, 95% RH prior to addition of BNIPSpd.

112 2.3. *Cell culture growth and cytotoxicity*

113 Cells were collected by centrifugation (300 g for 5 min, RT), the culture media
114 removed and the pellet suspended in trypan blue (0.2% w/v final concentration in PBS). Cells
115 were counted using a Neubauer haemocytometer (Baur et al., 1975).

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117 2.4. *DNA single strand breaks*

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119 DNA single strand breaks were determined by single cell gel electrophoresis (SCGE)
120 as described previously (Bestwick et al., 2005). Nucleoids were stained with 4',6-diamidino-

121 2-phenylindole (DAPI, 5 $\mu\text{g mL}^{-1}$ stock) and scored visually. One hundred images per gel,
122 (with duplicate gels per slide) were classified according to the intensity of fluorescence in the
123 nucleoid tail and assigned a value of 0-4 with 0 representing no damage and 4 maximal
124 damage. Thus, the total damage score can range from 0 to 400. This method of classification
125 has been extensively validated using computerised image analysis (Duthie et al., 1996).

126 2.5. *Phosphatidylserine exposure and membrane integrity*

127 Exposure of phosphatidylserine at the extracellular surface of the plasma membrane
128 was determined as previously described (Bestwick and Milne 2006) by FITC-Annexin-V
129 binding using a commercial assay kit, and the relationship to membrane damage assessed by
130 co-incubation with propidium iodide (PI) as per the manufacturer's protocol (eBioscience Ltd,
131 Hatfield, UK).

132 2.6. *Caspase-3 and pan-caspase*

133 The presence of the active fragment of caspase-3 was analysed by flow cytometry
134 after cell fixation, permeabilization and labelling with PE-conjugated polyclonal rabbit anti-
135 active caspase-3 (BD, Oxford) as described previously for HL-60 cells (Bestwick and Milne
136 2006). Total (pan-) caspase activity relative to maintenance of membrane-integrity was
137 estimated by measuring fluorescence in cells co-treated with FAM-VAD-FMK polycaspase
138 reagent (labelling active caspase 1, 3, 4, 5, 6,7, 8, 9) and propidium iodide according to
139 manufacturer's recommendations (Life Technologies Ltd, Paisley, UK). Camptothecin
140 treatment and mock-(water) treated cells (positive and negative controls respectively), were
141 used to define active caspase-3 expression or increased pan-caspase activity using Cell Quest
142 software (BD, Oxford, UK). 10,000 events were recorded.

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144 2.7. *Apoptosis-associated DNA fragmentation*

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146 SubG1 (hypodiploid) DNA content was evaluated as described previously (Bestwick
147 et al., 2007) using a commercial kit (Cycle test plus) according to the manufacturer's protocol
148 (BD, Oxford, UK). Flow cytometry of the propidium iodide-stained nuclei was performed
149 with a flow rate of 12 μ L/min and cell cycle distribution selected from linear FL-2 area v.
150 width plots with doublet discrimination in FL-2. Singlet events, excluding debris, were gated
151 and 20,000 events were acquired within the gate. The percentage of cells with DNA content
152 $<2N$ (sub-G1) was calculated from histograms of linear FL-2 area plots of the singlet gated
153 region using Cell Quest Software (BD, Oxford, UK) and Mod Fit LT software (Verity
154 Software House, ME, USA). A DNA QC Particle Kit (BD, Oxford, UK) was used to verify
155 instrument linearity, doublet discrimination and cytometer alignment.

156 Internucleosomal DNA fragmentation giving rise to the characteristic apoptotic 'DNA
157 ladder', was identified following DNA extraction and separation by agarose gel
158 electrophoresis as detailed in Bestwick and Milne, (Bestwick and Milne 2006).

159 2.8 *Polyamine extraction and analysis*

160 Cells were treated either with solvent vehicle (DMSO), BNIPSpd or α -
161 difluoromethylornithine (DFMO; 5 mM) as a positive control for polyamine changes. Culture
162 medium from control and BNIPSpd-treated cells were decanted, the cells collected by
163 centrifugation (1000 g, 5 min, 20 $^{\circ}$ C) and counted using a Neubauer haemocytometer. Cells
164 were washed with PBS (x2) before being homogenised with perchloric acid (final
165 concentration 1% v/v) and incubated with internal standard (1,7-diaminoheptane ;20 μ M for
166 60 min on ice). The homogenate was centrifuged at 10000g (4 $^{\circ}$ C) for 10 minutes and the
167 supernatant transferred to a fresh container and stored at -20 $^{\circ}$ C. The residual cell pellet and
168 culture medium were also retained at -20 $^{\circ}$ C. HPLC analysis of polyamine content was carried
169 out by derivatization with o-phthaldialdehyde (OPA) in the presence of 2-mercaptoethanol as

170 described by Seiler and Knodgen (Seiler and Knodgen, 1985). HPLC was conducted with a
171 Phenomenex Luna 5 mm, 25 cm x 4.6 mm, C18 HPLC column. Detection of polyamines after
172 OPA derivatisation was at 345 nm excitation with 455 nm emission filters. Data were
173 collected and integrated using Waters Empower Software (Waters Yvelines Cedex, France).

174 2.9. *Hydrogen peroxide determination.*

175 Hydrogen peroxide, arising from the interaction of the polyamine analogue with amine
176 oxidases in bovine serum (Parchment et al., 1990), is a potential confounder of the cellular
177 response to BNIPSpd. Using the Amplex Red Assay (Life Technologies Ltd, Paisley, UK),
178 the level of hydrogen peroxide in complete RPMI 1640 medium containing BNIPSpd (1-50
179 μM) was measured within 5h incubation via the peroxidase catalysed formation of resorufin
180 (560 nm, Unicam UV/Vis spectrophotometer) . Co-incubations with native or heat denatured
181 (5 min, 100 °C) bovine catalase (Sigma-Aldrich Company Ltd, Dorset, UK; 2.5 $\mu\text{g}/\text{mL}$) were
182 used to confirm detection of hydrogen peroxide.

183

184 2.10 *Statistical Analysis*

185 Experiments were conducted a minimum of three times unless stated otherwise. Data
186 are presented as mean \pm SD. A *P*-value of < 0.05 (by student's t-test) was taken as the
187 minimum basis for assigning significance. Statistical analysis was conducted using SigmaStat
188 (Systat, Software Inc. London, UK).

189

190 **3. Results**

191

192 3.1. *Cell growth and cytotoxicity*

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194 BNIPSpd (1-10 μM) caused a significant inhibition (1 μM) or cessation (5 and 10 μM)
195 of cell growth (Fig 2A). Based on trypan blue staining, 5 and 10 μM BNIPSpd also exerted
196 significant cytotoxicity (Fig 2B) within 24 h. Therefore, analysis of the mechanisms
197 underpinning cytotoxicity was predominantly conducted within the first 24 h of treatment.

198

199 *3.2. DNA single strand breaks*

200 DNA single strand breakage was significantly increased after 4h exposure to 1 μM -10 μM
201 BNIPSpd and remained significantly increased over control cells after 24h treatment (Fig. 3).

202 *3.3. Phosphatidylserine exposure and membrane integrity.*

203

204 Exposure to BNIPSpd ($\geq 1 \mu\text{M}$) caused a significant increase in the proportion of cells
205 binding annexin-V-FITC at 6-24 h but remaining recalcitrant to PI staining (Fig 4A and 4B).
206 This indicates exposure of phosphatidylserine on the external plasma membrane surface in the
207 absence of membrane damage. In addition, BNIPSpd also induced a significant increase in the
208 proportion of cells co-labelling with annexin-V-FITC and PI (Fig.4A and B), representing
209 annexin-V-FITC binding to cells with damaged membranes. Cells treated with solvent
210 vehicle alone remained predominantly unlabeled with either annexin-V-FITC or PI (Fig 4A
211 and B). For all treatments, a residual proportion of cells stained with PI but did not label with
212 annexin-V-FITC. This represented 2.6 % (± 0.19) of control cells at 24 h and this increased (P
213 < 0.05) after treatment with 10 μM BNIPSpd (24 h) to 14.3 % (± 3.4).

214

215 *3.4. Active caspase-3 expression and pan caspase activity.*

216 Active caspase-3 expression increased during time in culture within negative (DMSO
217 solvent only treated) control cells but 10 μM and 5 μM BNIPSpd treatment further

218 significantly enhanced and sustained active caspase-3 expression by 4 h and 12h after
219 exposure respectively (fig 5). A transient but significant increase in caspase-3 was also
220 observed by 12 h of incubation in 1µM BNIPSpd treated cells (Fig. 5).

221 Focussing on 5 and 10 µM BNIPSpd treatment for which there was a more rapid and
222 sustained active caspase-3 expression (Fig 5), the relationship between general-caspase
223 activity and membrane damage was flow-cytometrically assessed by combining FAM-VAD-
224 FMK FLICA labelling of active caspases (caspase 1, 3, 4, 5, 6,7, 8, 9) with PI staining to
225 measure membrane integrity. The median fluorescence intensity, as a marker of overall
226 caspase activity (Fig 6A) and the proportion of cells expressing pancaspase activity (Fig 6B)
227 increased significantly by 8 h of treatment with BNIPSpd. Increased pan-caspase activity was
228 detected both in cells with intact membranes and in those with compromised membrane
229 integrity (Fig 6B).

230

231 3.5 'Apoptotic' DNA fragmentation

232

233 A significant increase in the proportion of cells with a subG1 DNA content was
234 observed after 3 h and 24 h for 10 and 5 µM BNIPSpd treatments respectively. A smaller but
235 nevertheless significant increase in sub G1 DNA content was observed by 48 h with 1 µM
236 BNIPSpd (Fig. 7A). Classical apoptotic internucleosomal DNA fragmentation was not
237 observed within the first 12 h of BNIPSpd exposure. By 24 h, however, DNA ladders
238 occurred in cultures treated with ≥ 5 µM BNIPSpd (Fig. 7B) and were particularly apparent in
239 5 µM BNIPSpd treated cells

240

241 3.6. Polyamine levels

242 No changes to spermine and spermidine levels were observed after 12 h incubation with 1-
243 10 μ M BNIPSpd. BNIPSpd treatment for 24 h resulted in a lowering of spermine and
244 spermidine levels (Fig 8A and B). Putrescine was not detected in the analysis of either
245 BNIPSpd treated or untreated cells.

246

247 *3.7. Effect of caspase inhibitor on cytotoxicity*

248 To investigate caspase-dependency of BNIPSpd toxicity, cells were pre-treated with the pan
249 caspase inhibitor z-VAD-FMK, prior to and during exposure to 10 μ M BNIPSpd. Z-VAD-
250 fmk strongly decreased the intensity of internucleosomal DNA fragmentation and
251 significantly lowered the increase in sub G1 DNA content (Fig 9 A and B). The anti-
252 proliferative (Fig 9C) and cytotoxic effects (Fig 9D) of BNIPSpd were slightly but
253 significantly lowered by caspase inhibition. Nevertheless, there remained a highly significant
254 reduction in cell growth (Fig 9C) and high levels of cytotoxicity (Fig 9D) in response
255 BNIPSpd.

256

257 *3.8. Culture medium hydrogen peroxide content*

258 The influence of BNIPSpd on the concentration of hydrogen peroxide in serum-supplemented
259 culture media, which may be a confounding factor in BNIPSpd toxicity, was established via
260 the Amplex Red assay. Endogenous hydrogen peroxide present in the test mixture acts as a
261 co-substrate in the oxidation of amplex red via exogenously added horseradish peroxidase and
262 leads to the formation of resorufin. No significant change in hydrogen peroxide content was
263 observed up to 10 μ M BNIPSpd (the maximum concentration used in the cytotoxicity assays)
264 but a significant increase in resorufin absorbance was observed with 50 μ M BNIPSpd. This
265 increase was sensitive to the presence of native catalase, confirming the potential for elevated

266 levels of exogenous hydrogen peroxide at these higher, but here, not experimentally relevant
267 BNIPSpd incubations (Fig 10).

268

269 **4. Discussion**

270 Within a series of novel bisnaphthalimido-polyamine compounds, the spermidine-containing
271 BNIPSpd exerts the dominant cytotoxicity against MCF-7 breast carcinoma (Dance et al.,
272 2005) and Caco-2 colon adenocarcinoma cells (Bestwick et al., 2011). Cytotoxicity is
273 preceded by DNA single strand breakage (Dance et al., 2005; Bestwick et al., 2011). Here,
274 BNIPSpd also rapidly caused DNA single strand breaks in HL-60 cells and a progressive
275 increase in features typical of a classical apoptotic progression towards cell death. Within
276 24h, BNIPSpd exposure was associated with early externalisation of plasma membrane
277 phosphatidylserine, a progressive increase in active caspase-3 and pan caspase activity and
278 DNA fragmentation (hypodiploid DNA content and internucleosomal DNA fragmentation),
279 all features indicative of apoptosis (Elmore 2007; Darzynkiewicz and Li 1996; Kohler et al.,
280 2002; Otsuki et al., 2003).

281 Both the naphthalimide and polyamine moiety of BNIPSpd may trigger apoptosis
282 (Basuroy and Gerner 2006; Casero and Woster, 2001; Brana et al., 2001; Liang et al., 2011).
283 For the (bis)naphthalimide moiety, DNA intercalation and the effect on DNA replication and
284 integrity is proposed as the primary mode of action (Kong Thoo Lin and Pavlov, 2000;
285 Dance et al., 2005) but the presence of the polyamine moiety might also suggest an influence
286 on cellular polyamine levels and metabolism (Morgan, 1999; Wallace and Niiranen, 2007;
287 Schipper et al., 2000; Basuroy et al., 2006). The naturally occurring polyamines putrescine,
288 spermidine and spermine represent a potential link between proliferation and cell death
289 (Schipper et al., 2000; Moschou et al., 2014) and polyamine analogues may influence cell

290 survival by effects on cellular polyamine pools (Zou et al., 2004). However, polyamines
291 exhibit a complex relationship to cell survival and exert both negative and positive regulatory
292 effects on apoptosis (e.g. Milovic and Turchanowa, 2003; Stefanelli et al., 2001; Yuan et al.,
293 2002; Zou et al., 2004) .

294 Here, DNA single strand breakage occurred within the first 4 h of treatment with
295 BNIPSpd. This parallels or occurs in advance of the earliest detection of apoptosis markers.
296 Conversely, there was no change in polyamine level within the first 12 h of exposure. Thus,
297 while polyamine levels do decline during cell death, on a temporal basis polyamines do not
298 appear to be involved in induction of DNA damage or initiation of apoptosis within
299 BNIPSpd-treated HL60 cells. This contrasts with observations in Caco-2 and HT-29 cells
300 where polyamine levels are significantly affected both at the pro-apoptotic BNIPSpd dosage
301 range ($>0.5 \mu\text{M}$) and by non- toxic BNIPSpd exposures ($\geq 0.01 \mu\text{M}$) (Ralton et al., 2009).
302 Based on our observations of the timing of BNIPSpd- induced DNA damage relative to
303 changes in polyamine levels, we propose that it is the effect of the bisnaphthalimide moiety
304 on DNA (Dance et al., 2005; Bestwick et al., 2011) that is the consistent early-induced
305 phenomenon associated with BNIPSpd-cell interactions.

306 However, when considering the extent of cytotoxicity and DNA strand breakage at 1
307 μM BNIPSpd exposure, the degree of DNA damage does not predict the eventual magnitude
308 of apoptosis or overall toxicity, with limited toxicity despite considerable DNA damage and
309 inhibition of proliferation. Inhibitory effects on DNA repair activity may predominate at these
310 BNIPSpd concentrations (Bestwick et al., 2011). The influence of BNIPSpd and other
311 analogues on cell growth and survival regulation at low-cytotoxic and sub-cytotoxic dosages
312 are currently under investigation.

313 In addition to the direct effect of BNIPSpd on cell survival, we considered whether
314 amine oxidases in the culture medium may promote hydrogen peroxide generation in the

315 presence of the spermidine analogue (Bitonti et al., 1990; Parchment et al., 1990). No increase
316 in hydrogen peroxide was detected in culture medium incubated with BNIPSpd at the
317 concentrations employed. Consequently, hydrogen peroxide generation from BNIPSpd
318 addition in the media appears not to be a factor in BNIPSpd toxicity in this culture system.
319 However, whether BNIPSpd toxicity involves oxidative stress intracellularly has not been
320 determined.

321 Given the timing of DNA strand break detection, we suggest that the intrinsic
322 mitochondrial pathway for apoptosis, initiated by DNA damage as a likely primary route for
323 orchestrating toxicity. Given the p53 null status of HL60 (Leroy et al., 2014), this is not
324 dependent on p53 though be mediated through a functional substitution such as via p73 (e.g.
325 Chakraborty et al., 2010), a member of the p53 protein family (Chekova et al., 2018).
326 Although BNIPSpd toxicity was associated with features typical of a classical apoptosis, the
327 presence of a proportion of cells exhibiting membrane damage concomitant with or in
328 advance of apoptotic markers also suggests a more heterogeneous cell death process occurs as
329 part of the overall BNIPSpd-induced toxicity. Cytotoxicity of BNIPSpd in MCF-7 cells
330 (Dance et al., 2005) which do not express Caspase-3 (Janicke, 2009) indicates a non-caspase-
331 3 dependency for toxicity. Here, z-VAD-FMK was used to investigate general caspase-
332 relationship to cytotoxicity. While abolishing apoptotic DNA fragmentation, z-VAD-FMK
333 failed to rescue the majority of cells from the anti-proliferative and cytotoxic effects of
334 BNIPSpd. Many cytotoxic chemotherapeutic drugs demonstrate non-caspase dependency
335 (e.g. camptothecin, doxorubicin, paclitaxel; Broker et al., 2005). Caspase inhibition can
336 induce a switch from classical apoptosis to alternate cell death processes or an alteration of
337 dominance of a cell death mechanism paralleling apoptosis induction. The range of changes to
338 cell death mode has included autophagy, “mitotic catastrophe”, necrosis-like programmed cell
339 death (e.g. necroptosis; Zhang et al., 2016b) and more traditional necrosis (Broker et al.,

340 2005). For example, caspase inhibition switches doxorubicin-induced cytotoxicity within
341 SKN-SH neuroblastoma cells from apoptosis to senescence (Rebbaa et al., 2003), whereas Z-
342 VAD-FMK promotes a shift from apoptosis to necrosis during corneal scrape-induced
343 keratocyte death (Kim et al., 2000). For many of these outcomes, the mechanistic inter-
344 relationship has yet to be comprehensively established. Caspase inhibition might also
345 increase the role of non-caspase dependent apoptotic-like processes, for example involving
346 apoptosis inducing factor (AIF). AIF has been implicated recently in mono-naphthalimide
347 spermidine-induced apoptosis in HeLa cells (Yang et al., 2011b). We hypothesise that the
348 many influences of (bis)naphthalimide-containing compounds on processes linked to cell
349 proliferation and genomic integrity (Filosa et al., 2009; Zhu et al., 2009; Chen et al., 2010;
350 Bestwick et al., 2011; Yang et al., 2011a; Li et al., 2013; Seliga et al., 2013; Shen et al., 2013)
351 renders them capable of inducing a range of cell death mechanisms as well as multiple point
352 of provocation of apoptosis.

353 Here HL-60 cells are utilised but comparison to multiple cell types (and ultimately the
354 use of organoid systems) will help to confirm the universality and mechanistic variations of
355 BNIPSpd-cell-interactions in vitro. Nevertheless, the effects observed in Caco-2 and HT-29
356 colon adenocarcinoma cell cultures (Ralton et al. 2009) point to key conserved outcomes in
357 terms of apoptosis features and early induction of DNA damage post exposure (Bestwick et al.,
358 2011) but, as discussed above, significant differences are apparent for the effect on cellular
359 polyamine content (Ralton et al., 2009). Significantly, previous work on characterising
360 BNIPSpd and related bisnaphthalimide-polyamine compounds (Dance et al., 2005) on the form
361 of toxicity within caspase-3 deficient but p53 active MCF-7 cells (e.g. Zhao et al., 2017) would
362 be a useful component of comparisons on BNIPSpd activity within differing apoptotic
363 regulator-competent backgrounds.

364 In conclusion, BNIPSpd cytotoxicity in HL60 cells occurs primarily through an
365 apoptotic-like process, potentially initiated by bisnaphthalimide-induced DNA damage and
366 within a temporal framework involving phosphatidylserine exposure, caspase activation and
367 DNA fragmentation. However, caspase inhibition does not rescue cells from BNIPSpd
368 toxicity. While it remains to be established whether this adds to exploitability for
369 chemotherapy or represents a confounder to the therapeutic development of this
370 bisnaphthalimidopropyl polyamine series, caspase-independent cell death provides further
371 evidence of the heterogeneity in the cytotoxic response to (bis)naphthalimides.

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375 **Acknowledgments**

376 The authors wish to thank Viv Buchan of the RINH Analytical Division for polyamine
377 analysis and gratefully acknowledges the Robert Gordon University, The Scottish
378 Government (RESAS-polyamine) and the Royal Society of Chemistry for financial support.

379

380 **Conflict of interest statement:** There are no conflicts of interest associated with this work.

381

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560

561 **Figure Legends**

562

563 Fig 1. Structure of Bisnaphthalimidopropylspermidine (BNIPSpd)

564

565 Fig 2. Cell growth (A) and cell death (B) were determined following exposure to DMSO (□),
566 1 μM (○), 5 μM (⊕) and 10 μM (●) BNIPSpd. Cell counts were determined by microscopy,
567 with trypan blue staining used to indicate loss of membrane integrity. Data are means ± SD (n
568 ≥6). *P<0.05, **P < 0.01, ***P < 0.001 v. respective time point for DMSO control.

569

570 Fig. 3. DNA damage. Alkaline SCGE followed by visual ‘comet’ scoring was used to determine
571 DNA single strand breaks after exposure to BNIPSpd. Data are means ± SD (n=3), ***P<0.001
572 v. respective time point for DMSO control.

573

574 Fig 4. Effect of BNIPSpd exposure on plasma membrane phosphatidylserine labelling relative
575 to membrane damage. Cells were treated with BNIPSpd for 6 (A) and 24h (B). Cells labelled
576 with Annexin-V-FITC but resistant to PI uptake (Annexin-V+) represent those with plasma
577 membrane extracellular surface exposed phosphatidylserine without plasma membrane
578 damage and those stained with both Annexin-V-FITC and PI (Annexin-V+/ PI+) also have
579 damaged plasma membranes. Data was obtained by flow cytometry and 10,000 events were
580 analysed per sample. Data are means ± SD (n=3) * P<0.05, ** P = 0.002,***P<0.001 for
581 increases v. DMSO control.

582

583 Fig 5. Detection of the 'active' form of caspase-3. The level of active caspase-3 expression
584 was immuno-flow cytometrically determined 4, 12 and 24h after treatment with DMSO and 1,
585 5 and 10 μ M BNIPSpd. 10,000 events were analysed per sample. Data are means \pm SD (n=6).
586 * P=0.05 or *** P<0.001 for comparison v. the respective DMSO control.

587

588 Fig 6. The relationship between general (pan)-caspase activity and membrane damage. FAM-
589 VAD-FMK FLICA labelling with PI co-staining was used to flow cytometrically assess the
590 level of caspase activity relative to membrane damage within 8h of treatment with BNIPSpd.
591 The median fluorescence intensity from FAM-VAD-FMK FLICA labelling was used as a
592 marker of cellular pan-caspase activity (A). The proportion of cells with FAM-VAD-FMK
593 FLICA but no PI fluorescence (C+), co-fluorescing with FAM-VAD-FMK FLICA and PI
594 (C+, PI+), fluorescing only due to PI (PI+) or showing no fluorescence with FAM-VAD-
595 FMK FLICA and PI (NR) was used to establish the relationship between caspase activity and
596 the occurrence of membrane damage (B) the latter as indicated by heightened PI fluorescence.
597 10,000 events were analysed per sample. Data are means \pm SD (n=3). * P<0.05 or ***
598 P<0.001 for comparison v. the staining within the respective DMSO control.

599

600 Fig 7. 'Apoptotic' DNA fragmentation. Sub G1 (hypodiploid) DNA content (A), as an
601 indicator of apoptotic DNA fragmentation, was determined from flow cytometric analysis of
602 PI staining using ModFit LT software (Verity Software House, ME, USA). Cells were treated
603 with DMSO or BNIPSpd. Data are means \pm SD (n=3). * P<0.05, **P<0.01, *** P<0.001 for
604 comparison v. the respective DMSO control. Internucleosomal DNA fragmentation (B),
605 considered a classical feature of apoptosis, was detected by agarose gel electrophoresis
606 following 24h exposure to DMSO, 10 μ M etoposide (positive control for ladder formation) or
607 BNIPSpd (1, 5 or 10 μ M). M, markers; C no treatment, D, Solvent (DMSO); E, etoposide.

608 Data is a representative image from one of three experiments (note image split for
609 presentation).

610

611 Fig 8. Influence on cellular polyamine levels. Effects of polyamine-analogue exposure on
612 spermine and spermidine was evaluated by HPLC after 12 (A) and 24 (B) h exposure to
613 BNIPSpd. As an internal control, effects of polyamine analogues are compared with those of
614 α -difluoromethylornithine, DMFO (5mM), an ornithine decarboxylase inhibitor. SPD,
615 spermidine; SPM, spermine. Data are means \pm SD (n=3). *P<0.05 or **P<0.01 v. DMSO
616 control.

617

618 Fig 9. The influence of caspase inhibitor z-VAD-fmk on DNA fragmentation and cell death.
619 Cells were pre-treated with the cell permeable pan caspase inhibitor, z-VAD-FMK, prior to
620 and during exposure to 10 μ M BNIPSpd. The extent of DNA ladders (A): lane M, Mw
621 markers; lane 1, no solvent vehicle or inhibitor; lane 2, DMSO (solvent vehicle) treatment
622 only; lane 3, z-VAD-FMK; lane 4, BNIPSpd; lane 5, BNIPSpd and z-VAD-FMK lane 6,
623 etoposide (10 μ M) as positive control for DNA ladder (apoptosis) formation. Note gel-image
624 splicing is for presentation; (B): the proportion of cells with hypodiploid DNA content, (C):
625 the effect on cell proliferation and (D): cell death as determined at 24h from start of exposure.
626 Data is either a representative image from three experiments (A), or are means \pm SD (n=3),
627 data designated by a different letter is significantly different (P<0.05) relative to control or
628 treatment at the respective time point.

629

630 Fig 10. BNIPSpd induced exogenous, non-cell derived, hydrogen peroxide formation. Using
631 the phenol red assay hydrogen peroxide concentration was estimated in cell culture medium
632 containing BNIPSpd. Catalase incubation was used to confirm the presence of hydrogen

633 peroxide in control and 50 μ M BNIPSpd incubations, reducing the absorbance attributed to
634 resorufin formation to 1% and 5% of non-catalase treatment values respectively. Data are means
635 (n=3). *P<0.05 v. DMSO control

636

637

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Figure 1 Bestwick et al.

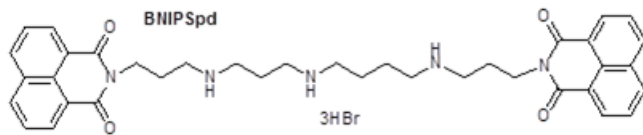


Figure 2 Bestwick et al.

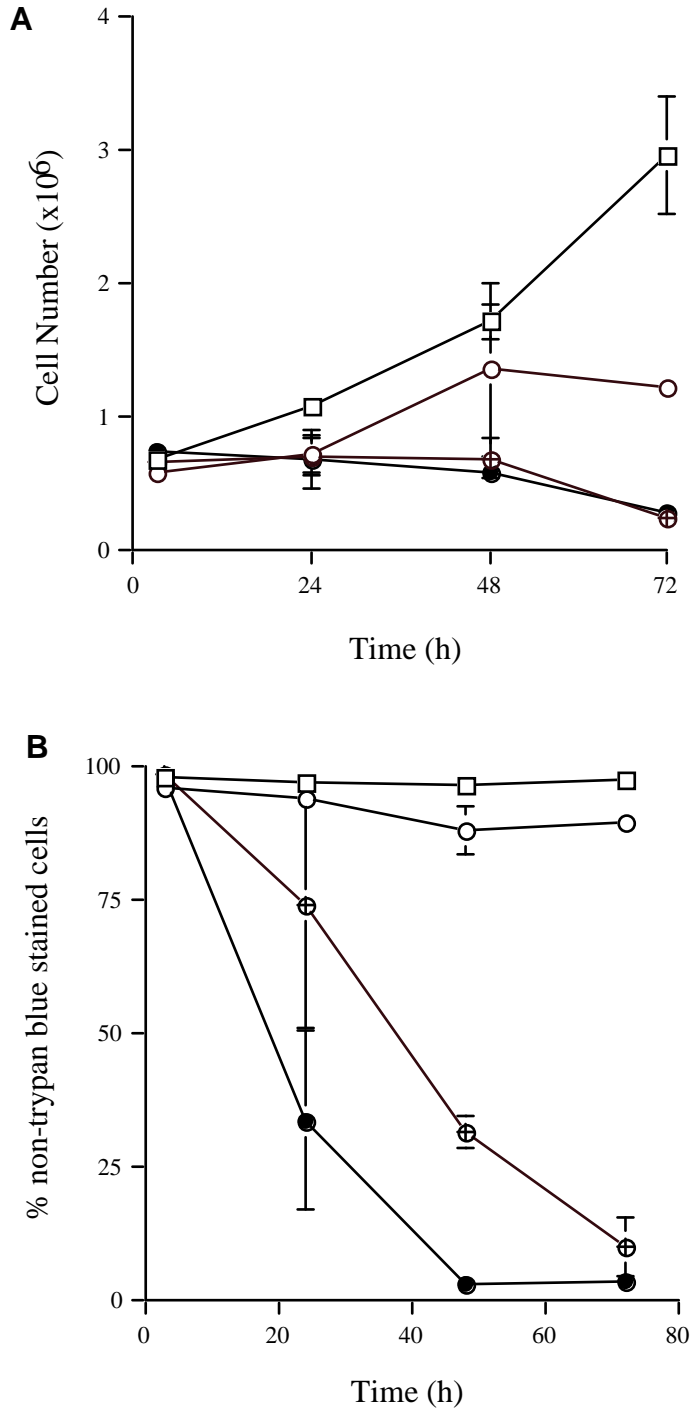


Figure 3 Bestwick et al.

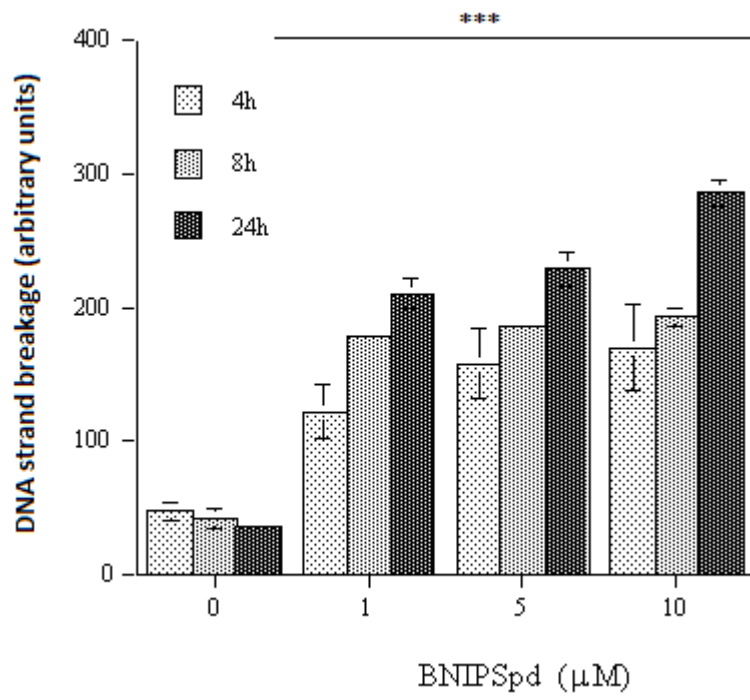


Figure 4 Bestwick et al.

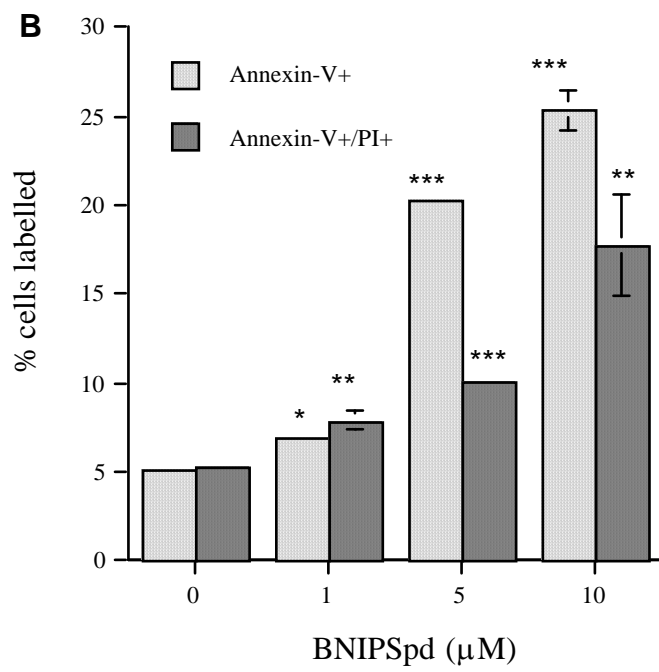
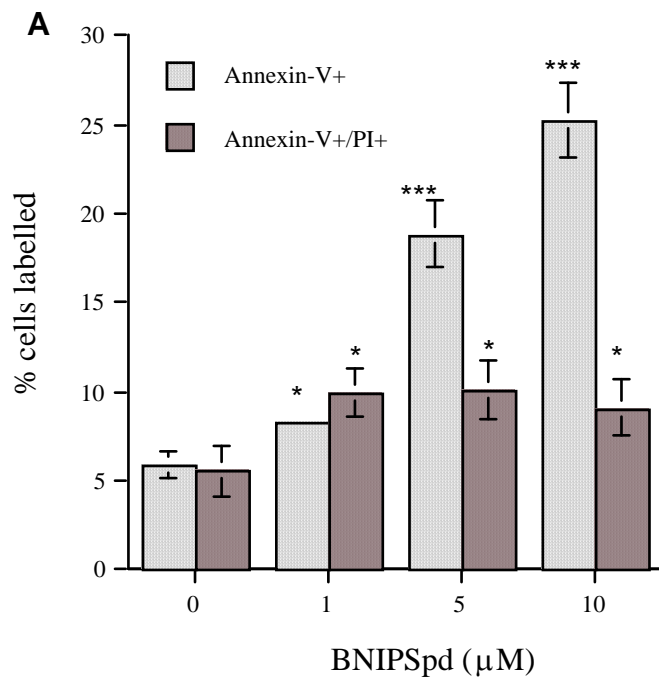


Figure 5 Bestwick et al.

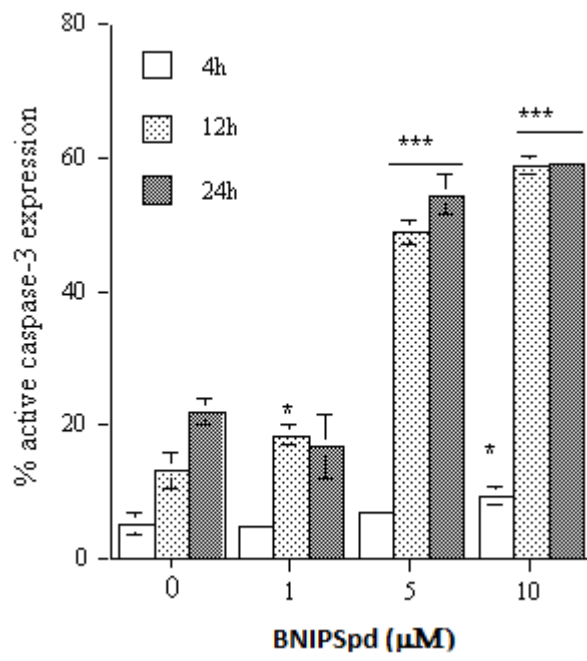
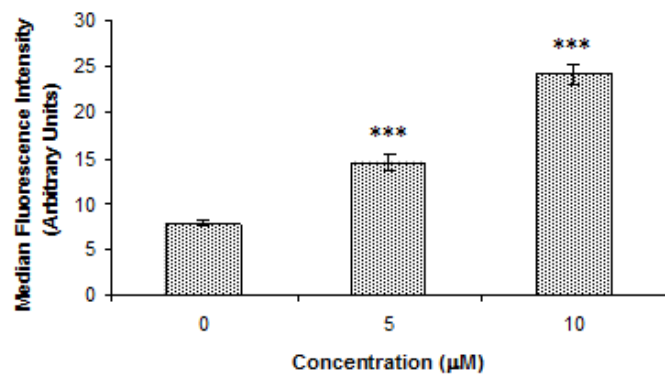


Figure 6 Bestwick et al.

A



B

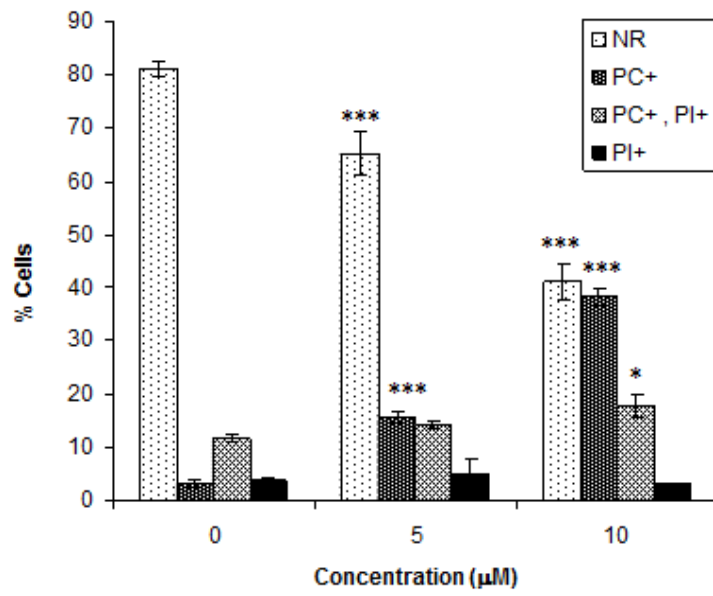
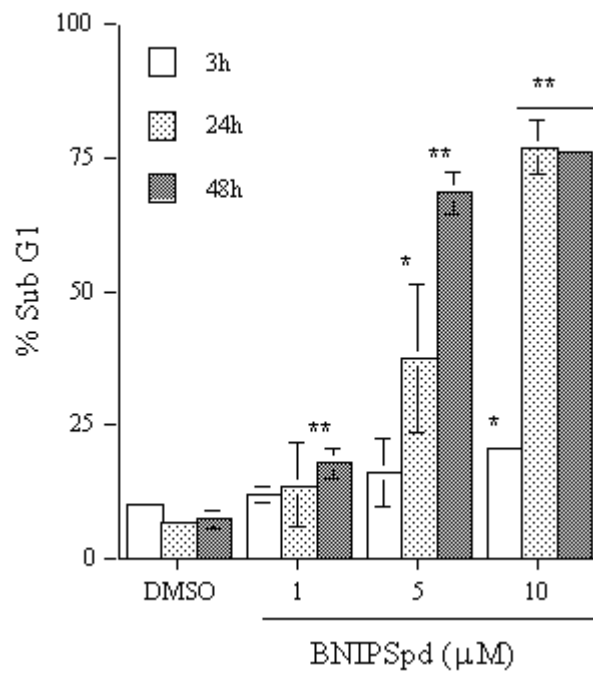


Figure 7 Bestwick et al

A



B

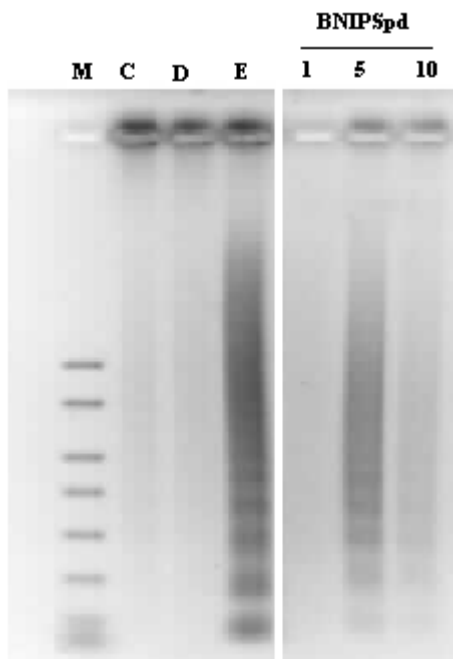


Figure 8 Bestwick et al

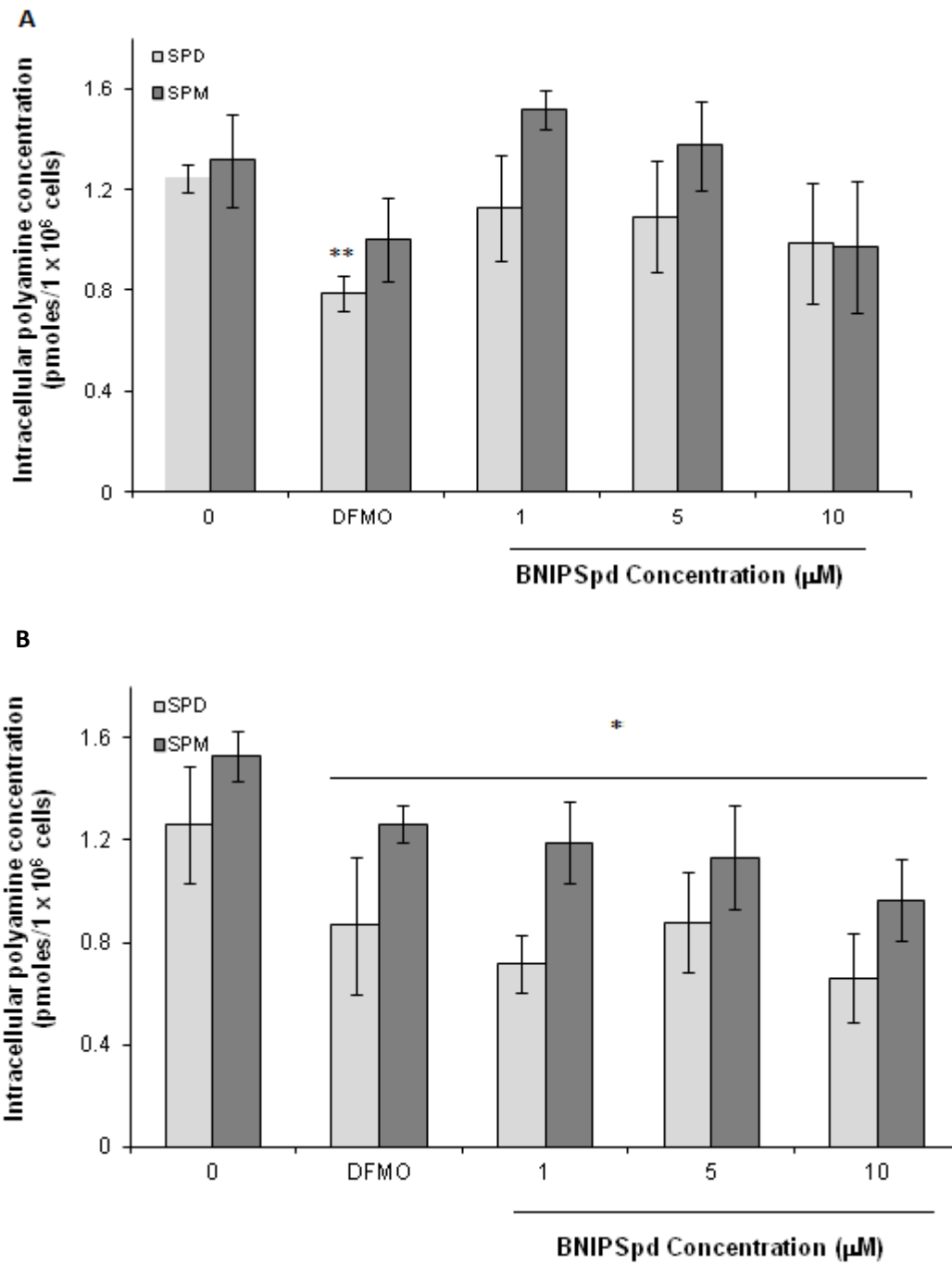


Figure 9 Bestwick et al

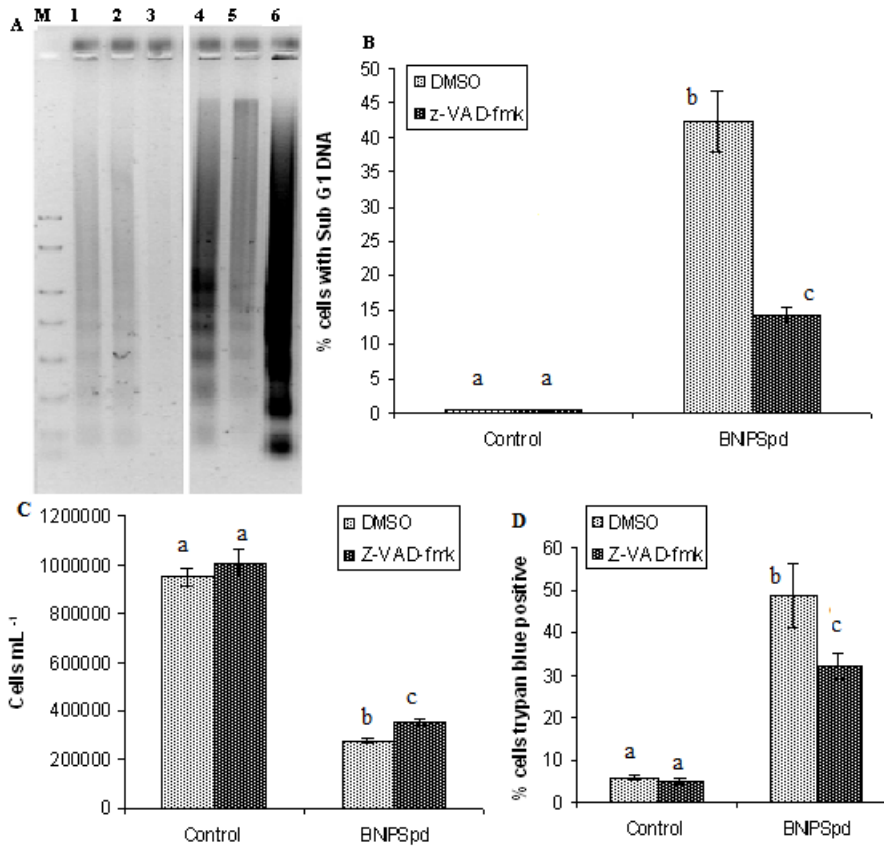


Figure 10 Bestwick et al

