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4 **Chinese cropping systems are a net source of greenhouse gases despite soil carbon sequestration**

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6 Running head: Chinese cropping systems are a net C source

7

8 Bing Gao^{a,b,c}, Tao Huang^{c,d}, Xiaotang Ju^{c*}, Baojing Gu^{e,f}, Wei Huang^{a,b}, Lilai Xu^{a,b}, Robert M. Rees^g,

9 David S. Powlson^h, Pete Smithⁱ, Shenghui Cui^{a,b*}

10 ^a Key Lab of Urban Environment and Health, Institute of Urban Environment, Chinese Academy of

11 Sciences, Xiamen 361021, China

12 ^b Xiamen Key Lab of Urban Metabolism, Xiamen 361021, China

13 ^c College of Resources and Environmental Sciences, Key Laboratory of Plant-soil Interactions of MOE,

14 China Agricultural University, Beijing 100193, China

15 ^d College of Geography Science, Nanjing Normal University, Nanjing 210046, China

16 ^e Department of Land Management, Zhejiang University, Hangzhou, 310058, PR China

17 ^f School of Agriculture and Food, The University of Melbourne, Victoria, 3010 Australia

18 ^g SRUC, West Mains Rd. Edinburgh, EH9 3JG, Scotland, UK

19 ^h Department of Sustainable Agriculture Sciences, Rothamsted Research, Harpenden, AL5 2JQ, UK

20 ⁱ Institute of Biological and Environmental Sciences, University of Aberdeen, Aberdeen AB24 3UU, UK

21

22 **Bing Gao & Tao Huang** contributed equally to this work.

23 Corresponding author: **Xiaotang Ju and Shenghui Cui**

24 College of Resources and Environmental Sciences, Key Laboratory of Plant-soil Interactions of MOE,

25 China Agricultural University, Beijing 100193, China.

26 Phone: +86-10-62732006; Fax: +86-10-62731016.

27 E-mail: juxt@cau.edu.cn

28 Institute of Urban Environment, Chinese Academy of Sciences, 1799 Jimei Road, Xiamen 361021, China.

29 Phone: +86-592-6190777; Fax: +86-592-6190977.

30 E-mail: shcui@iue.ac.cn

31 **Abstract**

32 Soil carbon sequestration is being considered as a potential pathway to mitigate climate change.
33 Cropland soils could provide a sink for carbon that can be modified by farming practices, however, they
34 can also act as a source of greenhouse gases (GHG), including not only nitrous oxide (N₂O) and methane
35 (CH₄), but also the upstream carbon dioxide (CO₂) emissions associated with agronomic management.
36 These latter emissions are also sometimes termed “hidden” or “embedded” CO₂. In this paper, we estimated
37 the net GHG balance for Chinese cropping systems by considering the balance of soil carbon sequestration,
38 N₂O and CH₄ emissions, and the upstream CO₂ emissions of agronomic management from a life cycle
39 perspective during 2000–2017. Results showed that although soil organic carbon (SOC) increased by
40 23.2±8.6 Tg C yr⁻¹, the soil N₂O and CH₄ emissions plus upstream CO₂ emissions arising from agronomic
41 management added 269.5±21.1 Tg C-eq yr⁻¹ to the atmosphere. These findings demonstrate that Chinese
42 cropping systems are a net source of GHG emissions, and that total GHG emissions are about 12 times
43 larger than carbon uptake by soil sequestration. There were large variations between different cropping
44 systems in the net GHG balance ranging from 328 to 7567 kg C-eq ha⁻¹ yr⁻¹, but all systems act as a net
45 GHG source to the atmosphere. The main sources of total GHG emissions are nitrogen fertilization
46 (emissions during production and application), power use for irrigation, and soil N₂O and CH₄ emissions.
47 Optimizing agronomic management practices, especially fertilization, irrigation, plastic mulching, and crop
48 residues to reduce total GHG emissions from the whole chain is urgently required in order to develop
49 a low carbon future for Chinese crop production.

50

51 **Keywords:** Agronomic management; Upstream CO₂ emissions; Life cycle analysis; Net greenhouse gas
52 balance; N₂O and CH₄ emission; Soil organic carbon.

53 **Introduction**

54 Soil is a large reservoir of carbon (C) in terrestrial ecosystems with a pool size of around 1500 Pg C (1
55 Pg = 10^{15} g) (Davidson et al., 1998; Lal, 2004). Cropland soil accounts for 8–10% of this C pool (Eswaran
56 et al., 1993), which plays a significant role in the global C budget (Mahecha et al., 2010). There is an
57 estimated technical potential to sequester 1.6 Pg C equivalents (C-eq) yr^{-1} into agricultural soils globally
58 (Smith et al., 2007; 2008). Hence, an initiative that aims to increase global agricultural SOC stocks by 0.4%
59 (four per thousand) was launched (<http://4p1000.org>), in order to slow down rising levels of atmospheric
60 CO_2 (Minasny et al., 2017), though doubts have been expressed as to whether this rate of increase is
61 generally achievable (van Groenigen et al., 2017; Poulton et al., 2018).

62 The SOC stock changes represent the net exchange of CO_2 between soil and atmosphere (Mosier et al.,
63 2006; Robertson and Grace, 2004; Shang et al., 2011). Many agricultural practices, such as optimized
64 fertilization, reduced tillage, and straw return to the fields have been advocated to mitigate GHG emissions
65 by enhancing removals of CO_2 from the atmosphere (Smith et al., 2008; Snyder et al., 2009). Many field
66 experimental studies have suggested that fertilizer application and straw return can increase soil C and
67 sequester C from the atmosphere (Mosier et al., 2006; Shang et al., 2011). However, these practices may
68 also stimulate nitrous oxide (N_2O) emissions that offset the SOC sequestration benefits (Pathak et al., 2005;
69 Huang et al., 2013). Further, methane (CH_4) emissions can be increased after adding organic materials,
70 especially in rice grown under flooded conditions (Zou et al., 2005; Shang et al., 2011). In addition to SOC
71 sequestration, N_2O and CH_4 emissions from cropland soils are two additional crucial components of the
72 GHG balance because of their high global warming potential (Robertson et al., 2000; Cubasch et al., 2013).
73 The manufacture and transport of fertilizers and pesticides, power use for irrigation and field operations all
74 require fossil fuels; the combustion of which results in GHG emissions (Snyder et al., 2009; Grassini and
75 Cassman, 2012). Fertilization, irrigation, tillage and other management practices in the different cropping
76 systems will affect the upstream C-eq, which is defined as C-eq released from such agricultural inputs
77 (Robertson and Grace, 2004; Lal, 2007; Snyder et al., 2009; Schlesinger, 2010). The climate benefits of
78 SOC sequestration in croplands might be offset by N_2O and CH_4 emissions (Tian et al., 2012; Norse and Ju,
79 2015), and upstream CO_2 released from the life cycle of agricultural inputs (Lal, 2007; Snyder et al., 2009;
80 Schlesinger, 2010). The C lifecycle approach considers the full C cycle as it includes upstream C-eq release,

81 but often does not consider soil GHG emissions and SOC sequestration (West and Marland, 2002;
82 Robertson and Grace, 2004; Mosier et al., 2006). Elevated SOC storage in croplands will only mitigate
83 climate change if the combined GHG emissions from agronomic practices are lower than the SOC
84 sequestration from a life cycle perspective (Powlson et al., 2011). The climate benefits of a given cropping
85 system should not only focus on the sequestration of SOC, but also on soil GHG emissions and the
86 associated C-eq released from agricultural inputs and management practices (Mosier et al., 2006; Lal, 2007;
87 Schlesinger, 2010). To measure the overall climate effect, the concept of net a GHG balance (kg C-eq ha^{-1}
88 yr^{-1}) has been proposed, based on the cumulative radiative forcing from all GHGs considered together
89 (Robertson and Grace, 2004; Powlson et al., 2011). Greenhouse gas intensity (GHGI, kg C-eq kg^{-1} grain) is
90 used to compare the magnitude of GHG emissions to produce the same crop yield (Mosier et al., 2006;
91 Grassini and Cassman, 2012). This concept can assist in solving the global challenges of increasing food
92 production and concomitantly identifying the main targets for mitigation in different cropping systems and
93 regions, which is important when seeking ways to decrease total GHG emissions associated with
94 agricultural production, especially in China.

95 China covers a broad range of soil-climatic regimes, and corresponding cropping systems. The net GHG
96 balance of different cropping systems will vary with soil-climatic conditions, crops and management
97 practices. Large numbers of studies have investigated soil carbon sequestration, N_2O and CH_4 emissions in
98 different cropping systems in China (Table S1). However, few have reported the net GHG balance
99 associated with all sinks and sources in Chinese cropping systems (Huang et al., 2013; Gao et al., 2015).
100 Furthermore, large uncertainties exist in these previous estimates, e.g. the GHG balance ranges over one
101 order of magnitude due to uncertainties in upstream CO_2 emissions of agronomic management practices
102 (Zhang and Zhang, 2016). There is an urgent need, therefore, to synthesize literature for calculating the net
103 GHG balance associated with soil N_2O and CH_4 emissions, the upstream CO_2 emissions from agronomic
104 management and the change of SOC storage in Chinese cropping systems.

105 The aim of this paper is to obtain a national estimate of the net GHG balance in the main Chinese
106 cropping systems. A database was compiled from relevant research published between 2000–2017, totaling
107 634 results for SOC changes and 233 results for N_2O and CH_4 emissions. Then, a meta-analysis was
108 performed to explore the net GHG balance of each cropping system. We focused on five key questions in

109 our analysis: (i) was there a change in topsoil (0–20 cm) SOC storage? (ii) what were the total GHG
110 emissions associated with soil N₂O and CH₄ emissions and upstream CO₂ emissions from agronomic
111 management? (iii) what were the main sources of total GHG emissions? (iv) what was the net GHG balance?
112 and (v) what are the most effective measures to improve the net GHG balance of Chinese crop production?

113

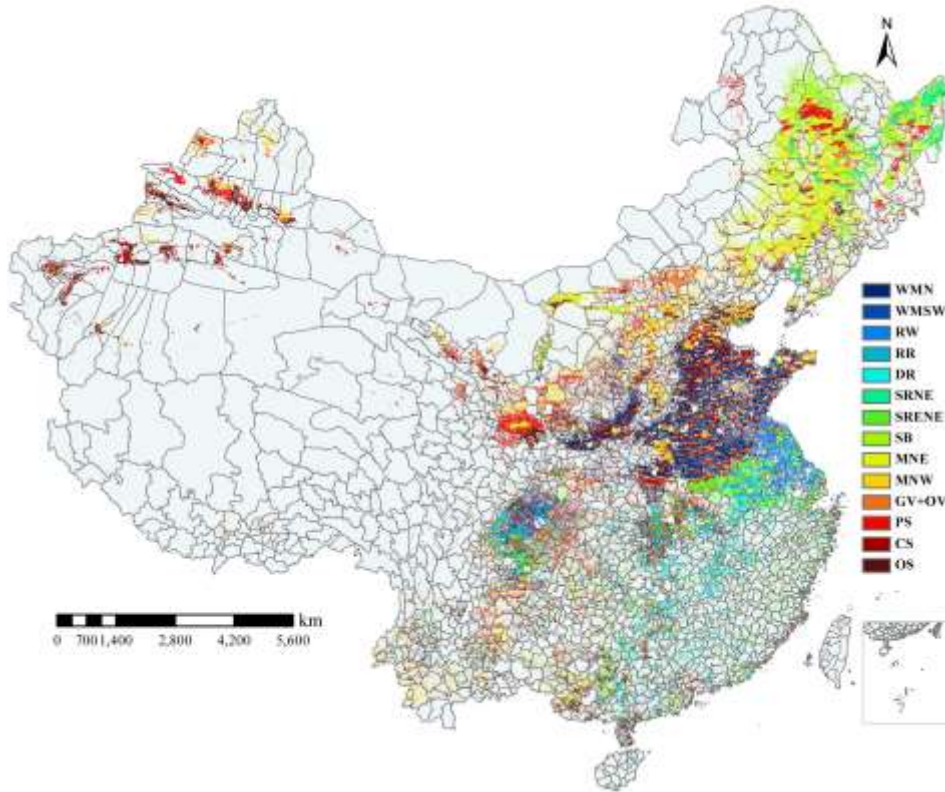
114 **Materials and Methods**

115 **Description of Chinese cropping systems**

116 Rice (*Oryza sativa*), wheat (*Triticum aestivum*), maize (*Zea mays L.*), potato (*Solanum tuberosum*),
117 soybean (*Glycine max*), rapeseed (*Brassica rapa*), cotton (*Gossypium spp*), and vegetables (*Herbas*) are the
118 main crops in China, accounting for 18.2%, 14.5%, 22.9%, 3.3%, 3.9%, 4.5%, 2.3% and 13.2% of the
119 national total crop area sown, respectively (NBSC, 2016). Vegetables mainly included leafy vegetables,
120 cabbages, fruit vegetables, melons, root and stem vegetables, etc. In 2015, China had 12.8 Mha orchard
121 (*Hortus*), including apple, citrus, pear, peach and grape, etc., equivalent to 53.1% of the sown area of wheat
122 (24.1 Mha) (NBSC, 2016). China has multiple crops each year, e.g. winter wheat–summer maize double
123 cropping system, i.e. two harvests in one year, so we provide summary data on a yearly basis. Double
124 cropping is different to annual rotations such as maize–soybean rotations that have a single crop in each
125 year in places such as the US and elsewhere (Mosier et al., 2006). The main principle for classification of
126 cropping systems in this study is based on different agricultural zones and the management practices used
127 by farmers, because soil type, climate and fertilization practices of a given cropping system are similar
128 within an agricultural zone. We collected data for greenhouse vegetables, open-field vegetables, potato and
129 orchard systems across China, because these crops are widely distributed in all agricultural zones and there
130 is insufficient available data on soil GHG emissions and SOC change for these systems within a single
131 agricultural zone This allows 15 distinct cropping systems to be defined (Table 1). We evaluated the mean
132 GHG emissions and SOC change of a given cropping system in its dominant agricultural zone. The
133 proportions of these cropping systems in relation to total crop sowing area are given in Appendix S1. The
134 spatial patterns of the different cropping systems at a county-level (Fig. 1) were developed from a 30 × 30
135 m resolution land use map at a county-scale for China in 2010 provided by Wu et al. (2014). The specific
136 combinations and distributions of cropping systems at county-level are shown in Appendix S2.

137 Table 1 Abbreviations for different Chinese cropping systems in this paper.

Abbreviation	Cropping systems
WMN	winter wheat – summer maize double-cropping in Northern China
WMSW	winter wheat – summer maize double-cropping in Southwestern China
RW	rice – winter wheat double-cropping in Central and Eastern China
RR	rice – rapeseed double-cropping in Central and Southwestern China
DR	double rice per year in Central and Southern China
SRNE	single rice in Northeastern China
SRENE	single rice across China except for Northeastern China
SB	soybeans in Northeastern China
MNE	single spring maize per year in Northeastern China
MNW	single spring maize in Northern and Northwestern China
PS	potato system across China
CS	cotton system in Northern and Northwestern China
GV	greenhouse vegetables across China
OV	open field vegetables across China
OS	orchard system across China



138

139 Fig. 1. Spatial pattern of cropping systems in China. Abbreviations are shown in Table 1,

140 GV+OV.represents total vegetable production. These abbreviations are also used in subsequent figures.

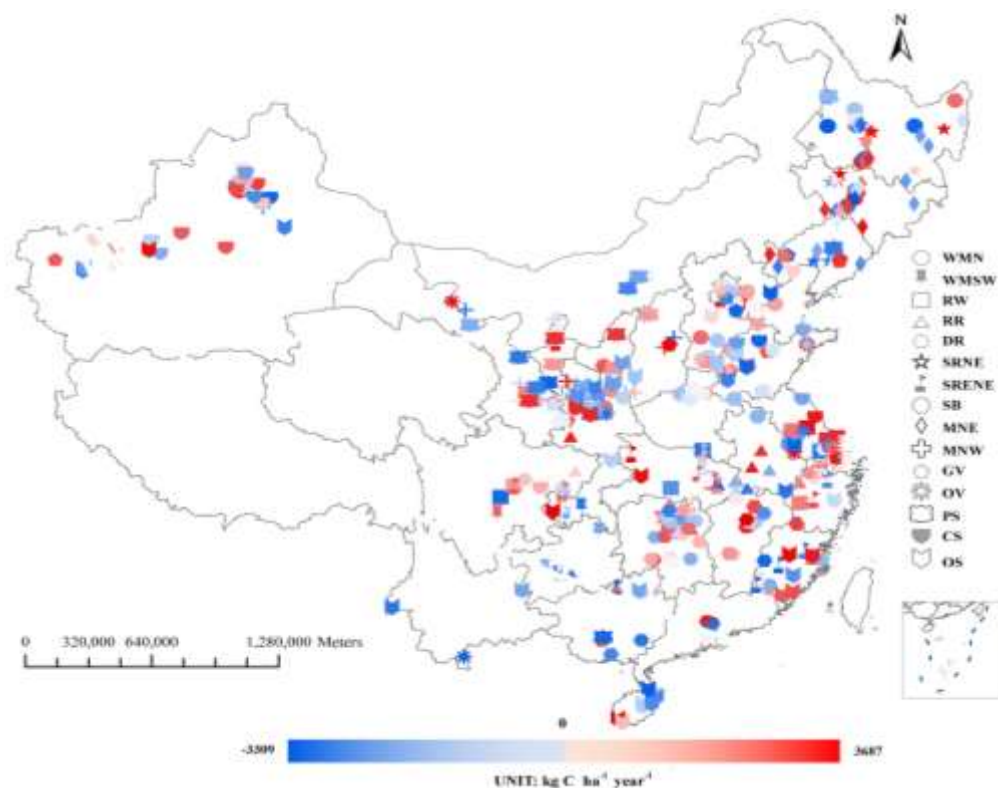
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142 Data sources

143 Basal data used in this study, such as crop sowing area and yields, were taken from official statistical
 144 yearbooks and bulletins (NBSC, 2016). The data used for calculating the net GHG balance of the cropping
 145 systems, including the change of topsoil SOC, soil N₂O and CH₄ emissions, the upstream CO₂ from the
 146 manufacture and transportation of the chemical fertilizer (N, P₂O₅ and K₂O), power used for irrigation, fuel
 147 combustion in farm operations, application of pesticides, and film used for mulching crops or as a cover for
 148 greenhouse or fruits (Robertson et al., 2000; Mosier et al., 2006; Grassini and Cassman, 2012), were
 149 collected from the published literature, dissertations or books with data records for the period between
 150 2000–2017.

151 The SOC data were categorized into three groups: (I) SOC data with monitoring of < 5 years; (II) SOC
 152 data with monitoring of ≥ 5 years; (III) data describing the mean changes of SOC for entire Chinese
 153 croplands. As most studies reported SOC change over at least a 5 year period in a given cropping system

154 (Mosier et al., 2006; Lemke and Janzen, 2007; Huang et al., 2013); we mainly considered the effect of the
 155 duration of the experiment on SOC changes for this group classification. The detailed criteria for collecting
 156 SOC data are described in Appendix S3. In total, 647 results were collected, which were divided between
 157 each of the cropping systems as follows; WMN (41), WMSW(13), RW (47), RR (32), DR (100), SRNE
 158 (28), SRENE(46), SB (21), MNE (63), MNW (34), GV (42), OV (25), PS (30), CS (49), OS (76) and entire
 159 Chinese croplands (CC 14). We also obtained information for 582 locations of the collected SOC data
 160 under categories I and II (Fig. 2).



161
 162 Fig. 2. The locations and mean changes of SOC density in Chinese cropping systems from 2000 to 2017.

163 The criteria for collecting soil N₂O and CH₄ emissions, fertilizer input, the power used for irrigation,
 164 pesticides, fuel, and plastic film are described in Appendix S3. Results from 233 studies reporting N₂O and
 165 CH₄ emissions were collected from all cropping systems (Table S1). The detailed criteria for collecting the
 166 consumption of power use for irrigation, fuel and plastic film are described in Appendix S4. The adopted
 167 carbon emission factors are presented in Table 2.

168

169 Table 2 Emission factors of soil GHG emission and agriculture inputs

Emission source	Abbreviation	Unit	Emission factor (kg C-eq unit ⁻¹)	Literature
N ₂ O	CE _{N2O}	kg	81.3	Cubasch et al., 2013
CH ₄	CE _{CH4}	kg	9.3	Cubasch et al., 2013
	CE _N	kg	2.3	Zhang et al., 2013
Fertilizer	CE _P	kg (P ₂ O ₅)	0.4	Huang et al., 2013
	CE _K	kg (K ₂ O)	0.3	Huang et al., 2013
Power for irrigation	CF _I	kwh	0.4	Zhang et al., 2013
Fuel in farm operations	CF _F	L	0.7	Cheng et al., 2011
Pesticides	CF _{PE}	kg	4.9	West and Marland, 2002
Plastic film	CF _{PF}	kg	5.2	Cheng et al., 2011
Paper bags	CF _{PB}	kg	0.3	Yan et al., 2016

170

171 **Calculation of C-eq**

172 An annual topsoil SOC sequestration rate (δSOC , kg C ha⁻¹ yr⁻¹) was estimated on the basis of an
 173 increased rate of change of the topsoil SOC density (dSOC/dt, g C kg⁻¹ yr⁻¹) [Eqn (1)] (Robertson et al.,
 174 2000; Shang et al., 2011).

175

$$176 \delta\text{SOC} = \text{dSOC}/\text{dt} \times \gamma \times 20/10 \quad (1)$$

177

178 where γ is the bulk density (g cm⁻³) of 0–20 cm depth topsoil, which was directly reported in the soil
 179 physical-chemical properties together with SOC concentrations in most of the collected literature. For the
 180 few sites with no reported bulk density, we firstly used bulk density of the same cropping system at a
 181 nearby site. If this was not available we assumed the mean bulk density values of 1.3 and 1.2 g cm⁻³
 182 reported for upland and rice paddy fields in China, respectively (Pan et al., 2010). Since pedo-transfer
 183 functions also introduce uncertainty. The use of mean values for final gap filling was considered adequate
 184 for the objectives of our study, so no pedo-transfer functions were used to estimate missing bulk density
 185 values. The values of 20 and 10 in the equation (1) are the topsoil depth and the area conversion coefficient,
 186 respectively. Most of the studies in Chinese cropping systems define the 0–20 cm soil depth as the plough
 187 layer under long-term conventional tillage practices, and the soil samples were taken to a depth of 20 cm to

188 determine the SOC content, so we adopt a soil depth of 0–20 cm for calculating the SOC stock change.

189 Values of C-eq from soil N₂O and CH₄ emissions were estimated by multiplying the annual emissions in
190 different cropping systems with the global warming potential (GWP) values over a 100-yr time horizon,
191 which are 34 kg CO₂-eq kg⁻¹ or 9.3 kg C-eq kg⁻¹ for CH₄ and 298 kg CO₂-eq kg⁻¹ or 81.3 kg C-eq kg⁻¹ for
192 N₂O (Cubasch et al., 2013). The C-eq emissions from certain agricultural inputs, i.e. the applied fertilizers,
193 pesticides, plastic film and paper bags (kg ha⁻¹ yr⁻¹), power use for irrigation (kwh ha⁻¹ yr⁻¹), and fossil fuel
194 use in farm operations (L ha⁻¹ yr⁻¹) were estimated by agricultural input, multiplying with the individual
195 carbon intensity (in kg C per unit volume or mass) of manufacture and transportation of synthetic fertilizers,
196 and/or applied for individual agricultural inputs (Table 2).

197

198 **Calculation of net GHG balance and GHGI**

199 We defined the boundary of the soil-crop system as the carbon gains and emissions per hectare per year
200 between the soil and atmosphere, and calculated the main carbon fluxes from crop sowing to harvest using
201 a life cycle approach (Robertson et al., 2000; Mosier et al., 2006; Smith et al., 2010). The “hidden” or
202 “embedded” CO₂ from upstream production of fertilizers etc. or farmers’ operations are important
203 components to be included in these comparison. The GHG emissions from non-agricultural IPCC sectors
204 (e.g. energy) were included only where they are used specifically for agricultural use.

205 All the main fates of GHGs including upstream CO₂ emission, soil GHG emissions, CO₂ fixed by crops
206 (photosynthesis) and emitted by crops (respiration) and soil SOC change, have to be considered when
207 assessing a system’s capacity to act as a GHG sink or source. But the actual calculations of net GHG flux
208 depend on the characteristics and nature of different ecosystems, in which only the actual carbon fluxes are
209 included. For example, in forest systems, CO₂ fixed by plant photosynthesis must be included in addition to
210 the change of soil SOC, because the aboveground biomass of forest is cumulative (Tang et al., 2018).
211 However, for cropland ecosystems, the carbon in crops is not included, since these crops are harvested and
212 consumed within a year, so there is no carbon sink; the carbon is simply recycled to the atmosphere within
213 a year, so (very temporary) carbon stocks in crops should not be included in the GHG balance (Smith et al.,
214 2010). The SOC change is the net balance between carbon inputs and outputs of the returned crop residues
215 and soil respiration, and it also represents the net exchange of CO₂ between soil and atmosphere (Mosier et

216 al., 2006; Smith et al., 2010).

217 Organic fertilizers (manure) accounted for 14.5% of the total N fertilization in China in 2010 (Gu et al.,
218 2015). Our study set the boundary as Chinese cropping systems, which includes SOC stock changes and
219 N₂O and CH₄ emissions induced by manure being applied to cropping systems, but does not include N₂O
220 and CH₄ during storage, treatments (e.g. compost) and transportation of manure, which are regarded as
221 emissions from animal production (Smith et al., 2010). To evaluate a net GHG balance and yield basis of
222 GHG emissions (GHGI), we used the following equation [Eqn (2) and (3)], which has widely been used in
223 the calculations of net GHG balance and GHGI of different cropping systems (Robertson and Grace, 2004;
224 Mosier et al., 2006; Shang et al., 2011, Grassini and Cassman, 2012; Gao et al., 2015; Zhou et al., 2017). A
225 positive net GHG balance represents a source of C-eq to atmosphere, while a negative value represents a
226 sink of C-eq from the atmosphere (Robertson et al., 2000; Mosier et al., 2006).

227

$$228 \text{ Net GHG balance (kg C-eq ha}^{-1} \text{ yr}^{-1}) = a \times \text{CE}_{\text{N}_2\text{O}} + b \times \text{CE}_{\text{CH}_4} + c \times \text{CE}_{\text{N}} + d \times \text{CE}_{\text{P}} + e \times \text{CE}_{\text{K}} + f \times \text{CE}_{\text{I}} + g \times \text{CE}_{\text{F}} \\ 229 + h \times \text{CE}_{\text{PE}} + i \times \text{CE}_{\text{PF}} + j \times \text{CE}_{\text{PB}} - \delta \text{SOC} \quad (2)$$

$$230 \text{ GHGI (kg C-eq Mg}^{-1}) = \text{Net GHG balance} / \text{Yield} \quad (3)$$

231

232 where the different small letters represent the amounts of soil GHG emissions and different agricultural
233 inputs. CE_{N₂O}, CE_{CH₄}, CE_N, CE_P, CE_K, CE_I, CE_F, CE_{PE}, CE_{PF} and CE_{PB} represent the individual C emission
234 equivalents for soil N₂O and CH₄ emissions, inputs of synthetic N, P₂O₅ and K₂O fertilizers, power use for
235 irrigation, fuel, pesticides, plastic films and paper bags used for crop production, respectively (Table 2). 12
236 and 44 are the molecular weights of C and CO₂. δSOC is the change of cropland SOC.

237

238 Variations at county scale

239 We converted each source and sink of the net GHG to an annual basis based on the compiled the datasets
240 from relevant publications between 2000 and 2017. We then estimated the spatial patterns of topsoil SOC
241 stock, and GHG emissions (kg C-eq ha⁻¹) from N₂O and CH₄ emissions, N fertilizer input, power use for
242 irrigation and other sources including P₂O₅ and K₂O application, fuel in farm operations, pesticides and
243 plastic film use and total GHG emissions (kg C-eq ha⁻¹) in Chinese cropping systems from the above

244 sources (Fig. S1), and net GHG balance based on the available 30 m × 30 m land use map and spatial
245 pattern of cropping systems at county-scale for China in 2010, which represents the mean pattern of the
246 study period. The weighted mean SOC stock change and net GHG balance between greenhouse vegetables
247 (342 kg C ha⁻¹ yr⁻¹, 7567 kg C-eq ha⁻¹ yr⁻¹) and open-field vegetables (296 kg C ha⁻¹ yr⁻¹, 4617 kg C-eq ha⁻¹
248 yr⁻¹) was calculated based on the average SOC stock change and net GHG balance, and the area of the two
249 types vegetables and total vegetable production, because of lack of the proportions of two types of
250 vegetable production at county scale.

251

252 **Statistical and uncertainty analysis**

253 The significance of the differences in SOC stock change of different cropping systems were tested with
254 an analysis of variance (ANOVA) using the SPSS16.0 statistical package. Statistical significance was
255 determined at the 95% confidence level at $p < 0.05$. In addition, to minimize the uncertainty of our analysis,
256 we first set up uniform criteria for collecting topsoil SOC change, and other emission sources from
257 different cropping systems. Then the mean and variation of these data were calculated with the 90th
258 percentile confidence interval. The uncertainty of the net GHG balance was analyzed using the error
259 propagation equation of mathematical statistics (IPCC, 2001) by the uncertainties of the collected data on
260 SOC change, soil GHG emissions and upstream CO₂ emissions from agronomic managements. A detailed
261 description of the error of propagation equation from a mathematical statistical analysis is shown in
262 [Appendix S5](#). The same error propagation equation was used for calculating the uncertainties for total SOC
263 stock change and total GHG emissions.

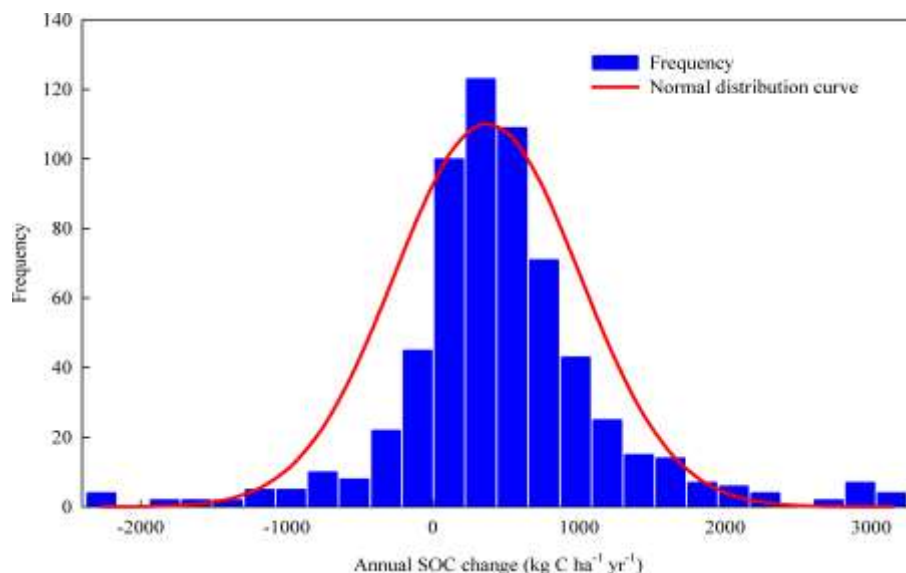
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265 **Results**

266 **Change of SOC in Chinese cropping systems**

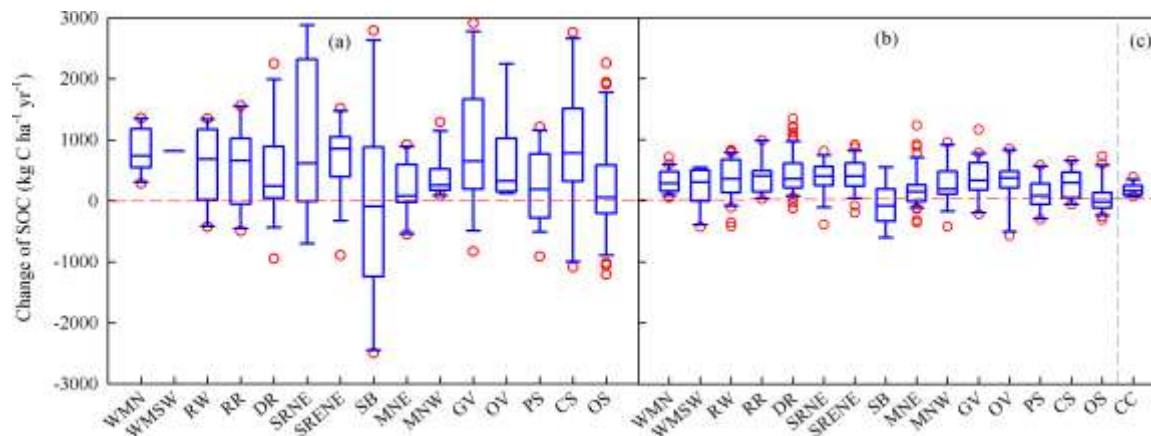
267 The frequency distribution of the annual SOC change showed an overall increase of the SOC stocks in
268 the topsoil of Chinese cropping systems (Fig. 3) and the SOC changes were significantly ($p < 0.05$)
269 different among the 15 cropping systems (Table S4). Overall, 78.1% of the observations showed an
270 increase in SOC stocks, with decreases in SOC mainly occurring in MNE, OS, CS, SB and PS systems
271 (Table S2). The annual change values of SOC stock were in the range -3,309 to 3,687 kg C ha⁻¹ yr⁻¹, and

272 followed a normal distribution, with the minimum values occurring in the MNE system in the Northeast
 273 and maximum values in the DR system in Central China.



274
 275 Fig. 3. The frequency distribution of annual SOC changes in Chinese cropping systems.

276
 277 All cropping systems except SB showed an increase in SOC stock during the 2000-2017 period. The
 278 magnitudes were 170–825 and 50–432 kg C ha⁻¹ yr⁻¹ in groups I and II, respectively (Fig. 4). In group III,
 279 the mean change of SOC across all Chinese croplands was 178 ± 27 kg C ha⁻¹ yr⁻¹ with a range of 27–538
 280 kg C ha⁻¹ yr⁻¹. The standard deviations of the observations in group I were much larger than those in groups
 281 II and III (Fig. 4), highlighting greater uncertainties of SOC stock changes when the study duration is less
 282 than 5 years. Therefore, the changes of SOC from method II were used to estimate the net GHG balance of
 283 Chinese cropping systems.

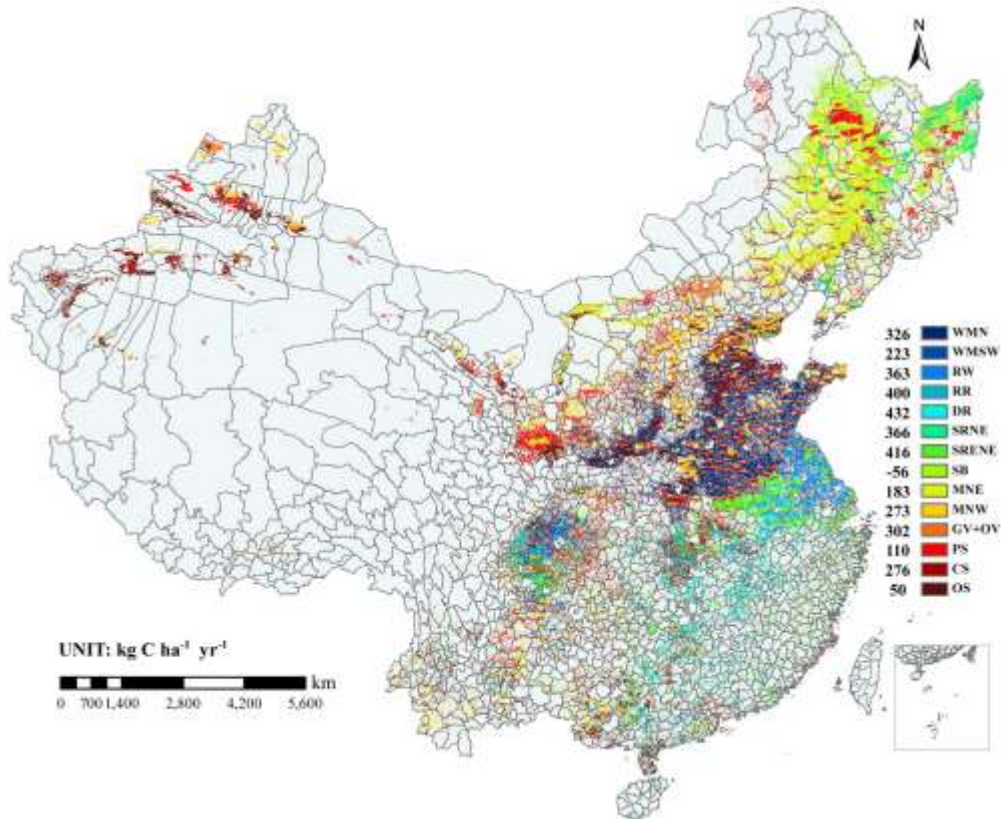


284

285 Fig. 4. Changes of SOC in Chinese cropping systems. CC represents Chinese total croplands. Different
286 letters in parentheses represent the changes of SOC under methods I, II and III, respectively.
287 Box-and-whisker diagrams show the median, 5th, 25th, 75th and 95th percentiles for relative change in
288 SOC stocks.

289

290 The distribution of SOC stock changes in different cropping systems at county-scale is shown in Fig. 5.
291 The regions with the highest SOC increase have a high proportion of paddy fields (Fig. 1), located in the
292 Middle and Lower Yangtze River, Sichuan Basin, eastern Heilongjiang province, as well as some scattered
293 regions in Southern and Eastern China. The regions with the second-highest SOC increase were dominated
294 by winter wheat, summer maize, cotton, and vegetable production (Fig. 1). These regions are mainly
295 concentrated on the North China Plain, north of Sichuan Basin, southern Shanxi, Shaanxi and Gansu
296 provinces and northwest Xinjiang. The regions with relatively low SOC increases were dominated by
297 single spring maize, potato and orchard planting, located in Northeastern China, southern Inner Mongolia,
298 northern Shanxi and Shaanxi provinces, Sichuan Basin and Southwestern China. A decrease of SOC
299 storage occurred in northeastern Inner Mongolia and western Heilongjiang due to the cultivation of
300 soybean in soils with an initially high SOC stock (Fig. 1).



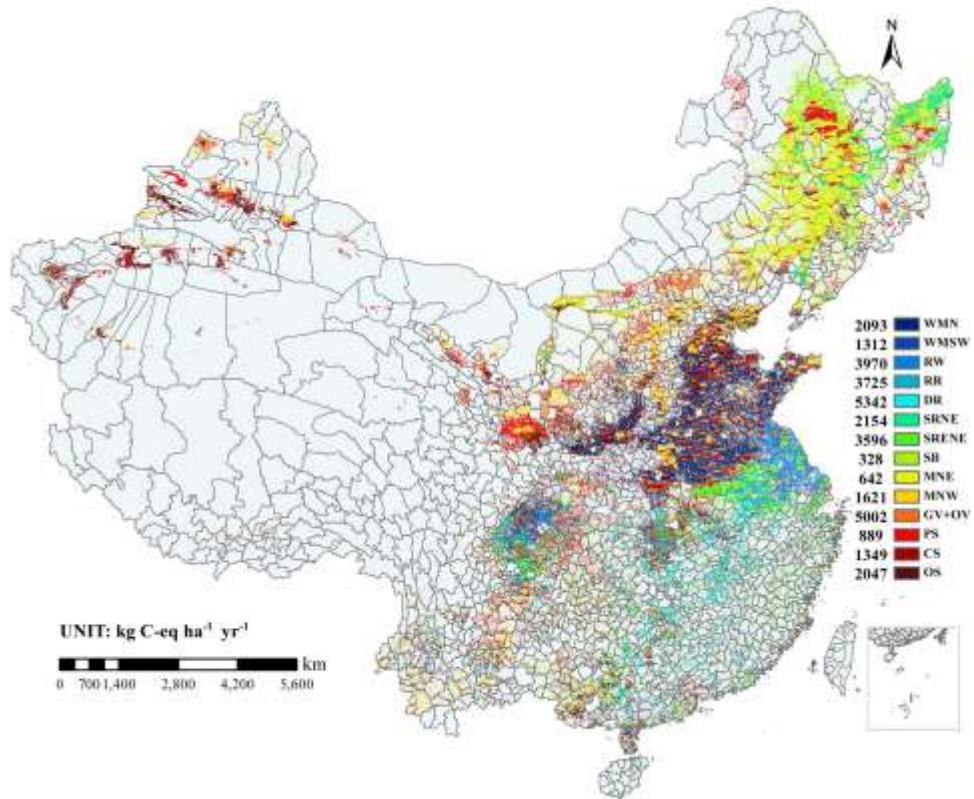
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302 Fig. 5. Spatial patterns of the SOC stock change in different cropping systems.

303

304 Net GHG balance, their main sources and GHGI

305 All cropping systems acted as a net GHG source when considering the combined soil N₂O and CH₄
 306 emissions and upstream CO₂ calculated from the life cycle emissions of agricultural inputs. The net GHG
 307 balance was in the range of 328–7567 kg C-eq ha⁻¹ yr⁻¹, with a rank of SB < MNE < PS < WMSW ≈ CS <
 308 MNW < OS ≈ WMN < SRNE < SRENE < RR < RW < OV < DR < GV (Table 3). The spatial pattern of
 309 the net GHG balance at county-level showed that the regions with the highest net GHG emissions were
 310 mainly found in North China due to vegetable planting with very high fertilizer N applications,
 311 over-irrigation, and plastic used for greenhouse covering (Fig. 6). The regions with the second-highest net
 312 GHG balance were concentrated in Central, Southern and Eastern China, because of high CH₄ emissions
 313 from cultivation of rice in those regions. The regions with the lowest net GHG balance were mainly located
 314 in Northeastern China, central and southern Inner Mongolia, Gansu and Southwestern China in SB, MNE
 315 and PS systems (Fig. 1).



316

317 Fig. 6. Spatial pattern of net GHG balance in different cropping systems.

318 Table 3. The total GHG balance and sources of GHGs in different Chinese cropping systems.

Cropping systems	N ₂ O	CH ₄	N	P ₂ O ₅	K ₂ O	Irrigation	Fuel	Pesticide	Plastic film	SOC change	Net GHG balance*	Yield [#]	GHGI [#]	Plant area [†]	Total SOC change [‡]	Total GHGs emission [¶]	Total GHG emission/total SOC change [§]
	kg C-eq ha ⁻¹ yr ⁻¹										kg C ha ⁻¹ yr ⁻¹	kg C-eq ha ⁻¹ yr ⁻¹	t ha ⁻¹	kg C-eq Mg ⁻¹ ha	×10 ⁴ Tg C yr ⁻¹	Tg C-eq yr ⁻¹	
WMN	439±33	-17±3	1148±45	59±6	35±5	606±38	121±12	35±5	-	326±34	2093±294	14.3±0.4	146±28	1371	4.5±2.5	33.2±5.0	7.4±4.3
WMSW	496±122	-34±59	758±32	65±4	32±3	0±0	136±47	20±5	-	223±101	1312±362	9.8±0.8	134±49	205	0.5±0.7	3.1±0.8	6.9±10.1
RW	780±81	1564±23	978±29	49±4	39±4	758±107	121±27	44±10	-	363±60	3970±856	13.3±0.6	299±82	475	1.7±1.6	20.6±4.7	11.9±11.3
RR	712±81	1561±254	758±45	63±5	40±5	824±106	102±39	66±15	-	400±72	3725±776	10.0±0.4	289±71	532	2.1±1.6	22.0±4.9	10.3±7.9
DR	190±33	3935±397	616±36	47±3	52±4	780±56	103±19	51±10	-	432±38	5342±1566	12.9±0.3	535±162	564	2.4±1.9	32.6±10.2	13.4±11.0
SRNE	98±16	1091±228	333±32	18±5	19±3	855±105	67±5	15±5	-	366±74	2154±713	8.5±0.3	253±93	431	1.6±1.3	10.9±4.0	6.9±6.1
SRENE	366±81	2300±262	453±57	43±6	22±6	731±4	74±16	25±5	-	416±45	3596±817	7.7±0.2	466±122	463	1.9±1.2	18.6±4.6	9.7±6.8
SB	86±33	-8±8	68±9	26±4	6±1	0±0	76±11	10±5	-	-56±120	328±371	2.6±0.1	129±246	356	-0.2±1.3	1.0±0.7	/
MNE	211±33	-8±3	457±27	26±4	17±2	30±14	67±13	20±5	2±2	183±47	642±352	9.8±0.5	65±27	1637	3.0±5.2	13.5±3.1	4.5±7.4
MNW	382±154	-25±8	638±59	29±4	9±3	351±103	75±13	25±5	393±108	273±80	1621±824	10.3±0.7	155±70	90	0.2±0.3	1.7±0.7	6.9±9.0
GV	2064±260	-17±8	2214±226	149±24	112±15	1178±140	125±39	370±44	1719±182	342±73	7567±1738	14.5±1.2	523±222	108	0.4±0.4	8.5±2.1	23.1±24.2
OV	1699±236	17±17	1754±161	71±18	47±11	776±147	21±21	104±10	409±32	296±118	4617±1308	11.7±1.7	394±204	719	2.1±2.9	35.3±10.3	16.5±23.5
PS	106±24	-8±2	407±20	48±3	40±3	129±24	24±9	59±10	182±44	110±85	889±391	5.3±0.3	170±77	875	1.0±2.3	8.9±2.4	9.2±22.3
CS	263±60	-15±15	559±68	52±11	9±3	462±66	25±11	89±10	178±42	276±50	1349±472	3.4±0.4	399±250	485	1.4±1.2	7.9±2.3	6.0±5.6
OS	319±114	-23±5	1060±106	118±24	84±17	355±109	55±19	101±39	29±10	50±51	2047±802	3.2±0.3	648±371	1237	0.6±3.3	25.9±9.9	41.6±223.5
Mean/Total										243±92 ^{**}					23.2±8.6	269.5±21.1	11.6±4.4

319 * Net GHG balance calculated by equation 2, values are means and uncertainty ranges.

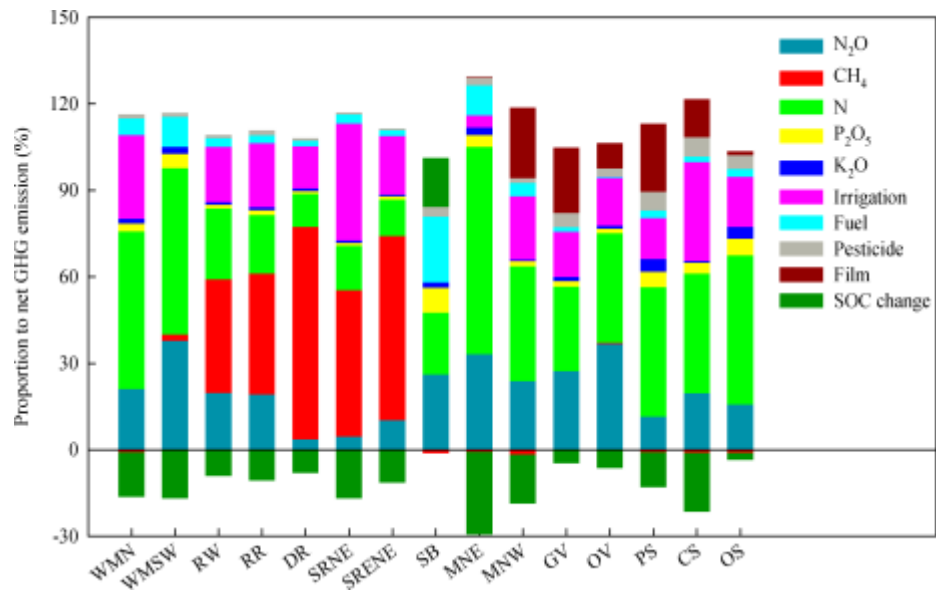
320 # Yields of vegetable and fruit = Fresh yields in the literature × 0.1.

- 321 † Plant areas cited and calculated from China Agriculture Yearbook ([MOA, 2014](#)) and Department of Agricultural Machinery Management ([DAMM, 2017](#)).
- 322 ‡ Total SOC change (Tg C yr⁻¹) = SOC change (kg C ha⁻¹ yr⁻¹) × Plant area (× 10⁴ ha)/10⁹.
- 323 ¶ Total GHGs emissions (Tg C-eq yr⁻¹) = C-eq from N₂O + CH₄ + N + P₂O₅ + K₂O + Irrigation + Fuel + Pesticide + Plastic (kg C-eq ha⁻¹) × Plant area (× 10⁴ ha)/10⁹.
- 324 § Times of total GHG emissions to total SOC change = Total GHG emissions/Total SOC change.
- 325 †† The weighted mean increase rate of SOC for Chinese main cropping systems.

326 Except for the SB system, manufactured and transported fertilizer N was the largest source of C-eq
327 emissions in upland systems (Fig. 7), accounting for between 29.3–71.8% of the net C-eq emissions. N
328 fertilization induced large emissions of N₂O, accounting for 3.6–37.7% of net C-eq emissions. The total
329 C-eq emissions from power use for irrigation ranged from 129 to 1,178 kg C ha⁻¹ yr⁻¹, accounting for
330 14.2–34.2% of the net C-eq emissions except for WMSW, SB and MNE systems. Plastic films are mainly
331 used to cover the ground surface to promote crop germination by increasing soil surface temperature when
332 sowing in relatively low temperature periods, and to increase water use efficiency by reducing soil surface
333 evaporation loss, or for use to cover greenhouses. Plastic films are also an important emissions source,
334 accounting for up to 22.7–24.5% of the net C-eq emissions in MNW, GV and PS systems. In paddy fields,
335 CH₄ emissions were the largest contributor to emissions, accounting for 39.4%, 41.9%, 73.7%, 50.8% and
336 64.0% of the net C-eq emissions in RW, RR, DR, SRNE and SRENE systems, respectively, followed by
337 emissions from irrigation (14.6–40.7%) and fertilizer N (11.5–24.6%). Nitrous oxide was an important
338 source of emissions in RW and RR systems, accounting for 19.7% and 19.1% of the net C-eq emissions,
339 respectively. Methane uptake from WMN, SB, MNE, MNW, GV, OV, PS, CS and OS contributed a small
340 amount of negative emissions (< 1%). Emissions from the application of P₂O₅ and K₂O, fuel in farm
341 operations and pesticides application contributed to 0.8–5.8%, 0.6–4.4%, 0.4–10.3% and 0.7–6.7% of net
342 C-eq emissions, respectively, in all cropping systems except the SB system.

343 Changes of the SOC sink accounted for only 2.5–28.7% of the total C-eq emissions of the cropland
344 systems (Fig. 7). The decrease in SOC stocks in the northeast SB system acted as a source of CO₂,
345 accounting for 17.0% of the total C-eq emissions in this system.

346 The magnitude of GHG emissions to produce the same crop yield were in the range of 65–648 kg C-eq
347 Mg⁻¹, with a rank of MNE < SB < WMSW < WMN < MNE < PS < SRNE < RR < RW < OV ≈ CS <
348 SRENE < GV < DR < OS (Table 3).



349

350 Fig. 7. Sources and allocation of greenhouse gas emissions in different cropping systems in China.

351 **Soil C sequestration vs total GHG emission**

352 We estimated the total topsoil SOC increase and total GHG emissions of Chinese cropping systems by
353 multiplying the SOC change and the total C-eq emissions of each cropping system with their sowing area
354 (Table 3). Total topsoil SOC changed at rates of between -0.2–4.5 Tg (1 Tg = 10¹² g) C yr⁻¹, resulting in an
355 accumulation of 23.2 ± 8.6 Tg C yr⁻¹ across the whole China, close to the calculated mean increase in the
356 topsoil C stock of China's croplands of 25.5 Tg C yr⁻¹ between 1985 and 2006 (Pan et al., 2010). The GHG
357 emissions from different cropping systems was in the range of 1.0–35.3 Tg C-eq yr⁻¹, with a rank of SB <
358 MNW < WMSW < CS < GV < PS < SRNE < MNE < SRENE < RW < RR < OS < DR ≈ WMN < OV,
359 summing to 269.5 ± 21.1 Tg C-eq yr⁻¹, accounting for 13.9–15.2% of total national GHG emissions in
360 2005–2007 (Yan and Yang, 2010; National Development & Reform Commission of China, 2014). We
361 further calculated the ratio of total GHG emissions from different cropping systems to total soil carbon
362 sequestration. These ratios were 7.4 (WMN), 6.9 (WMSW), 11.9 (RW), 10.3 (RR), 13.4 (DR), 6.9 (SRNE),
363 9.7 (SRENE), 4.5 (MNE), 6.9 (MNW), 23.1 (GV), 16.5 (OV), 9.2 (PS), 6.0 (CS), and 41.6 (OS),
364 respectively, and about 12 for all Chinese croplands (Table 3).

365

366 **Discussion**

367 **Changes in SOC in Chinese cropping systems**

368 In order to achieve the objectives of the Paris Climate Change Agreement, to keep global temperature
369 increases well below 2 °C, it is widely recognized that negative emission technologies will be needed to
370 lower atmospheric concentrations of CO₂ (Rockstorm et al., 2017). Soil carbon sequestration by cropland
371 soils could play a potential role in many regions (Robertson and Grace, 2004; Smith et al., 2008; Powlson
372 et al., 2011; Wollenberg et al., 2016). In this paper, we indeed find that cropland SOC increased in Chinese
373 cropping systems, with a range of 50 to 432 kg C ha⁻¹ yr⁻¹, close to a suggested global mean rate of 300 to
374 500 kg C ha⁻¹ yr⁻¹ (Lal, 2007). The increase of cropland SOC in China in the past forty years can be mainly
375 attributed to increased crop yields resulting from improvements in agronomic management in Chinese (i.e.
376 new crop varieties, fertilizer inputs, improved protection from pests, diseases and weeds, irrigation), and
377 increased yields leading to larger organic matter returns to soil from roots and stubble (Huang and Sun,
378 2006; Yan et al., 2011; Han et al., 2017; Zhao et al., 2018; Powlson et al., 2018). We found that the average

379 yields per unit area showed a good correlation ($p < 0.05$) with the SOC increase of the different cropping
380 systems (Fig. S2). The increase in SOC has also been attributed to the development of no-tillage and
381 reduced-tillage practices in China (Huang and Sun, 2006; Yan et al., 2011). The initially low average SOC
382 content (11.5–12.0 g kg⁻¹ for 0–20 cm depth) between 1979 and 1982 is another contributory factor for the
383 observed increase in SOC across China (Yan et al., 2011; Yang et al., 2017). Even though the average SOC
384 content in soil had increased to 12.7–14.3 g kg⁻¹ in 2005–2014, it is still lower than in many European and
385 US cropland soils (Johnston et al., 2009; Yan et al., 2011; Fan et al., 2012; Yang et al., 2017; Zhao et al.,
386 2018). The lower starting point was mainly caused by soil mining, together with low crop residue returns to
387 the soil due to lower yields, in turn caused by low inputs and poor agronomic management before policy
388 changes in 1978 (Huang and Sun, 2006; Fan et al., 2012; Zhao et al., 2018).

389 The weighted mean rate of increase in SOC was 243 ± 92 kg C ha⁻¹ yr⁻¹ for the main Chinese cropping
390 systems (Table 3), higher than the mean annual SOC increase of 178 ± 27 kg C ha⁻¹ yr⁻¹, which was 27 to
391 538 kg C ha⁻¹ yr⁻¹ derived from reviewing previous studies on total cropland area in China (Table S2). This
392 difference might be explained by the following factors. Firstly, in addition to the main crops (rice, maize,
393 wheat, and vegetables), Chinese croplands also produce other crops such as millet, sorghum, peanut,
394 tobacco, hemp crops, etc. which might lead to smaller SOC increases compared to the OS and PS systems
395 across China, or cause a decrease as in the SB system in Northeastern China, because the new carbon input
396 from crop growth in these systems is lower than in the main cropping systems for production of rice, maize,
397 wheat and vegetables; the quantity of crop residues is a key factor in determining changes in SOC stocks
398 (Yan et al., 2011; Yang et al., 2017). Secondly, long-term field experiments on SOC change are typically
399 established on the main crops, including wheat, rice, maize, and vegetables, which have higher yields
400 compared to those of soybean, millet, sorghum, peanut, tobacco, hemp crops, etc., which may lead to an
401 overestimation of the SOC increase for some relatively low yielding crops. Thirdly, study durations of the
402 SOC data used in this paper are mainly between 5–15 years, shorter than previous long-term studies on
403 total cropland of China such as Yan et al. (2011), in which the study duration was around 30 years. The
404 annual increase rates of SOC in cropland are usually larger in the initial years following a change in
405 management, declining with the duration of study, and reaching an equilibrium after around 20–50 years
406 (Mosier et al., 2006; Lemke and Janzen, 2007; Wang et al., 2017). The SOC change might also be

407 underestimated a little since changes of SOC stock consider only the top 0–20 cm soil layer, and changes of
408 SOC stock below 20 cm might also contribute to soil carbon sequestration (Yan et al., 2011; Zhao et al.,
409 2018), but any such underestimation should not greatly affect our main results and conclusions.

410 There is still a potential to increase SOC stocks in Chinese croplands. Compared to the high SOC
411 concentrations of 14.5–23.2 g kg⁻¹ or SOC stocks (0–20 cm) (40.2–43.7 t C ha⁻¹) in Europe and the US (Fan
412 et al., 2012; Zhao et al., 2018), over half of the topsoil in China’s croplands have SOC concentrations lower
413 than 11.6 g kg⁻¹ (Yang et al., 2017), and the estimated 0–20 cm SOC stocks were 26.6–29.4 t C ha⁻¹ in
414 1979–1982 and 31.4–33.5 t C ha⁻¹ in 2007–2011 (Yan et al., 2011; Zhao et al., 2018). Topsoil SOC pools
415 can increase rapidly in the early years after a change of management and then more slowly thereafter, as
416 observed for reduced- and no-tillage (West and Post, 2002; Lal, 2007; Johnston et al., 2009). Conservation
417 tillage practices have only begun recently in China, which means there should be potential to increase SOC
418 in Chinese croplands in the coming years (Yang et al., 2017); however, recent evidence suggests that
419 conservation tillage may largely redistribute carbon within the profile, though net carbon gains are often
420 still observed (Powelson et al., 2014). Changes in SOC stock can be influenced by the availability of
421 nutrients (van Groenigen et al., 2017) and it is possible that more appropriate management of nutrients in
422 Chinese croplands (probably decreased N applications but increased P, K, S or micronutrients) could lead
423 to greater increases in SOC.

424

425 **Soil N₂O and CH₄ emissions and upstream CO₂ emission**

426 Although topsoil SOC stocks increased in the main cropping systems in China, its net effect on GHG
427 balance was more than offset by large emissions of soil N₂O and CH₄ and upstream CO₂ emissions from
428 agronomic management (Fig. 6). This emphasizes that climate change mitigation strategies cannot rely only
429 on SOC sequestration in croplands, and more effort is required for reducing total GHG emissions from
430 cropland management (Fig. S1). Indeed, the SOC increase is smaller than the emissions of soil N₂O and
431 CH₄, even without considering upstream CO₂ emissions from cropland management practices.

432 Emissions from the manufacture and transportation of N fertilizer are the largest contributor to total
433 GHG emissions in China (Fig. 7). Emissions are about 2–31 times greater than that of the major cropping
434 systems in the US, because of the higher N application rate and higher CO₂ emissions associated with the

435 manufacture and transportation of N fertilizer in China, which is 8.3 vs 3.0 kg CO₂ kg⁻¹ N applied in China
436 vs US, respectively (Mosier et al., 2006; Zhang et al., 2013). In China, the cropland SOC sink is mainly
437 caused by N fertilizer applications (Tian et al., 2012), but this also results in large N₂O emissions
438 (Bouwman et al., 2002; Synder et al., 2009; Gao et al., 2015).

439 Pumping of groundwater for irrigation is one of the most energy consuming on-farm processes and it
440 represents an important source of GHG emissions that has rapidly increased, and which at present is largely
441 unregulated (Wang et al., 2012). The high emissions from irrigation are mainly due to a combination of
442 excessive irrigation, low energy use efficiency for pumping, and high power generation emissions. In China,
443 power demand for pumping per unit of water is 4.3 kwh mm⁻¹ ha⁻¹, which falls into the range of 2.1–6.4
444 kwh mm⁻¹ ha⁻¹ estimated by Wang et al. (2012), compared to only 0.15 kwh mm⁻¹ ha⁻¹ in the US. Further,
445 CO₂ emissions from electricity generation in China are 1.32 kg CO₂ kwh⁻¹, much higher than the 0.32 kg
446 CO₂ kwh⁻¹ in the US (Mosier et al., 2006; Zhang et al., 2013). This part of the emissions budget will
447 increase with the decline of groundwater table and the increase in air temperature in China if no
448 improvements in water conservation are made (Foster and Garduño, 2004; Liu et al., 2010; Powlson et al.,
449 2018).

450 Plastic film usage has a large contribution to total GHG emissions in MNW, GV, OV, PS, and CS
451 systems, even exceeding the contribution of N₂O emissions in MNW and PS systems. In the GV system,
452 pesticides contribute to large emissions of about 3.0–33.3 times that of the same source in other cropping
453 systems. More attention needs to be paid to this source, given that the planting area of greenhouse
454 vegetables has been increasing by around 10% per year (Fan et al., 2014).

455 Except for the OV system, we found that upland soils act as a weak sink for CH₄, but it can be neglected
456 given that it represents <1% of the net GHG balance (Cui et al., 2013; Gao et al., 2015). However, CH₄
457 emissions are an important contributor to total GHG emissions in Chinese rice-based rotations (Ma et al.,
458 2013; Shang et al., 2011). CH₄ emissions from paddy rice cultivation accounts for 20.3% of total GHG
459 emissions in Chinese agriculture (National Development & Reform Commission of China, 2014).

460 With population and economic growth, Chinese grain demand is expected to increase by 6.9%, 3.3% and
461 52.9% for rice, wheat and maize by 2030, respectively, relative to 2012 (Chen et al., 2014). Due to the
462 limitation on arable land expansion in China (Burney et al., 2010; Cui et al., 2013), producing more food

463 might occur at the expense of increasing nutrient inputs if no other improvements in agronomic
464 management are made. This presents the challenge of producing more grains with fewer inputs, and with
465 reduced environmental and climate impacts.

466 We showed the weighted mean SOC stock change and net GHG balance for total vegetable production
467 (GV+OV) at county scale, because there is no data on the proportion of the two types of vegetables at this
468 scale. This represents the mean status of SOC change and net GHG emissions of Chinese vegetables
469 production. However, the SOC change and net GHG balance of greenhouse vegetables were about 1.2 and
470 1.6 times greater than open-field vegetables. Greenhouse vegetables are, mainly distributed around Bohai
471 and Huang-Huai-Hai region, the middle and low reaches of Yangtze river, and Northwest China, and these
472 regions accounted for 60.3%, 19.7% and 7.5% of the total sown area of greenhouse vegetables in 2010,
473 respectively (DAMM, 2017). As a result, this study might have underestimated the SOC change and net
474 GHG emissions of vegetable production in counties with a high proportion of greenhouse vegetables in the
475 three main greenhouse vegetable producing areas mentioned above, and might have overestimated the SOC
476 change and net GHG emissions of vegetable production in counties with low proportions of greenhouse
477 vegetables. The impacts of the production on SOC change and net GHG balance of greenhouse vegetables
478 at a county scale requires further study.

479

480 **GHGI of different cropping systems**

481 GHGI provides a platform for comparing the overall effects of any given cropping system on GHG
482 emissions per unit of crop yield (Mosier et al., 2006; Grassini and Cassman, 2012). The magnitude of GHG
483 emissions per unit of grain yield ranged from 65 to 648 kg C-eq Mg⁻¹ in different Chinese cropping systems.
484 This is significantly higher than that of 32–61 kg C-eq Mg⁻¹ in conventional irrigated maize systems in
485 Northeastern Colorado and Nebraska of the U.S., which was -35 kg C-eq Mg⁻¹ in conventional no-till
486 corn–soybean rotation in Northeastern Colorado of the U.S (Mosier et al., 2006; Grassini and Cassman,
487 2012), and 75 kg C-eq Mg⁻¹ in conventional wheat and double-cropped soybean in mid-Atlantic region of
488 the U.S. (Cavigelli et al., 2009), In China, the net GHG balance of rice production systems (DR, SRNE and
489 SRENE) was 2154–5342 kg C-eq ha⁻¹ yr⁻¹, close to that of 1718–5342 kg C-eq ha⁻¹ yr⁻¹ in Japan, USA and
490 Italy. The high net GHG balance of rice production in different countries resulted from high baseline of

491 CH₄ emissions (Hokazono and Hayashi, 2012). However, the GHGI of Chinese rice production (253–535
492 kg C-eq Mg⁻¹) is lower relative to GHGI for rice in Japan, USA and Italy (398–753 kg C-eq Mg⁻¹), because
493 rice yield reach 7.7–12.9 Mg ha⁻¹ in China (Table 3), but only 4.4–7.3 Mg ha⁻¹ in Japan, USA and Italy
494 (Hokazono and Hayashi, 2012). The high GHGI of upland cropping systems in China was caused by
495 over-use of fertilizer and over-irrigation, but with low yields of maize, wheat and soybean relative to the
496 U.S. (Mosier et al., 2006; Cavigelli et al., 2009; Grassini and Cassman, 2012). Many studies have indicated
497 that China has a large potential to produce more grain with lower GHG emissions by optimizing
498 fertilization and irrigation (Ju et al., 2009; Cui et al., 2013; Chen et al., 2014), and this could close the gap
499 of GHGI for grain production between China and low GHGI countries.

500

501 **Reducing total cropland GHG emissions**

502 The ratio of total GHG emissions to soil C sequestration was reported in this study, which expresses the
503 ability of soil C sequestration to offset the total GHG emissions of the soil-crop system. This showed that
504 total GHG emissions are about 12 times larger than soil carbon sequestration, indicating that non-CO₂ GHG
505 emissions need to be reduced substantially if Chinese crop production is to become GHG neutral with the
506 help of soil C sequestration. Major increases in yield have been achieved in Chinese cropping systems over
507 the time period for which soil carbon changes were assessed, but the yield increase came at the cost of
508 higher N₂O emissions. By evaluating the overall GHG balance, the environmental cost of these yield
509 increases can be seen, so that improved agronomic management practices can be identified to reduce these
510 impacts. This study shows that the main measures to reduce the net GHG balance of Chinese cropping
511 systems should focus on reducing N₂O emissions, chemical N fertilizer use, GHG emissions from fertilizer
512 manufacture, power use for irrigation, and CH₄ emissions in rice-based cropping systems, while at the same
513 time, increasing soil C sequestration. Moreover, emissions from the use of plastic film and pesticides
514 should also be considered in vegetable cropping systems.

515 Emissions of N₂O are normally positively correlated with N fertilizer inputs, and with N surpluses
516 (difference between total N input and crop N uptake) in cropping systems (van Groenigen et al., 2010;
517 Grassini and Cassman, 2012; Cui et al., 2013; Gao et al., 2015). Appropriate N fertilization not only
518 reduces N₂O emissions, but also reduces emissions associated with manufacture and distribution of N

519 fertilizer (Mosier et al., 2006; Huang and Tang, 2010; Gao et al., 2015). Chinese cropping systems usually
520 receive excessive amounts of N fertilizer, about two to even tens of times greater than the actual crop
521 demand (Ju et al., 2009; Chen et al., 2014; Nayak et al., 2015). It has been clearly demonstrated that N
522 fertilization rates can be reduced by knowledge-based N management practices without compromising crop
523 yields, and in some cases even causing an increase (Ju et al., 2009; Chen et al., 2014; Xia et al., 2016). In
524 addition to decreasing the quantity of N fertilizer applied, altering the timing of N fertilizer application can
525 increase N use efficiency and decrease N₂O emissions (Ju et al., 2009; Cui et al., 2013; Chen et al., 2014).
526 Practices such as deep placement of N fertilizer could also reduce N₂O emissions by 5–40% (Xia et al.,
527 2016). If a 30% reduction in N fertilization rate was achieved, a potential reduction in GHG emissions
528 would reach 16.4 Tg C-eq from production of paddy rice, wheat, maize and soybean (Cheng et al., 2015).
529 Although knowledge-based practices are available in China, there remain socioeconomic barriers, such as
530 small farm size that need to be addressed to facilitate their widespread implementation (Ju et al., 2016).

531 The reduction of emissions from power use for irrigation should concentrate on reducing energy
532 consumption, which depends on the efficiency of irrigation and power generation (Mosier et al., 2006;
533 Grassini and Cassman, 2012). Measures for reducing irrigation emissions include testing of soil water
534 content (Meng et al., 2012) and development of fertigation systems (Fan et al., 2014). These
535 knowledge-based irrigation practices could reduce irrigation emissions by 16–43% in Chinese cropping
536 systems (Cabangon et al., 2004; Meng et al., 2012; Fan et al., 2014). Energy use, both for the purposes of
537 irrigation and fertilizer manufacture, is currently associated with high GHG emissions as a consequence of
538 its dependence on fossil fuel-based energy sources. Therefore, substitution of these energy sources by low
539 emission renewable alternatives could significantly reduce the carbon footprint of food production (Schandl
540 et al., 2016).

541 Methane emissions were mainly affected by water regimes and organic amendments in paddy fields
542 (Wassmann et al., 2000; Shang et al., 2011; Nayak et al., 2015). Strategies for reducing CH₄ emissions
543 include mid-season drainage and intermittent irrigation (Wassmann et al., 2000; Zou et al., 2005; Ma et al.,
544 2013; Cheng et al., 2014; Nayak et al., 2015). These measures could reduce CH₄ emissions from paddy
545 fields by 36–65% (Zou et al., 2005; Ma et al., 2013). However, a trade-off between CH₄ and N₂O emissions
546 appeared from mid-season drainage and intermittent irrigation (Wassmann et al., 2000; Zou et al., 2005;

547 Ma et al., 2013). The increase of N₂O emissions offset the reduction of CH₄ emissions by 49.2% and 67.6%
548 for plots without and with wheat straw amendment in midseason drainage, respectively. Reductions in CH₄
549 emissions could be completely offset by increased N₂O emissions when the field was moist but not
550 waterlogged by intermittent irrigation, in comparison with the treatment that was frequently waterlogged
551 within the midseason drainage period (Zou et al., 2005). Therefore, Wassmann et al. (2000) proposed that
552 the changes in water regime are only recommended for rice systems with high baseline emissions of CH₄
553 from waterlogged and midseason drainage to intermittent irrigation.

554 There is considerable interest in the possibility of mitigating climate change by sequestering extra C
555 from atmosphere into soil through changes in land management (Smith et al., 2008; Powlson et al., 2011,
556 2018). Effective measures for increasing SOC stocks mainly include the return of crop residues to soils, the
557 application of biochar, conservation tillage, and mulch plants (Synder et al., 2009; Zhao et al., 2014; Qian
558 et al., 2015; Nayak et al., 2015; Zhou et al., 2017; Powlson et al., 2018). However, the direct return of straw
559 to rice paddy fields is not recommended, because CH₄ emissions are increased by a factor of 1.6–3.7 and
560 the net GHG emissions associated CH₄ and N₂O are greatly increased in rice paddy fields when receiving
561 organic amendments (Zou et al., 2005). Converting straw to biochar then applying it to soils is a possible
562 alternative to soil C sequestration, and could contribute to CH₄ mitigation, and improvements of soil and
563 crop productivity, without increasing N₂O emissions (Zhao et al., 2014). However, there are widely
564 divergent opinions in the scientific community about the practicalities and economics of biochar production
565 and use (Powlson et al., 2018). The global mean rate of SOC sequestration for conversion from
566 conventional tillage to no-till is 100–200 kg ha⁻¹ yr⁻¹ (Lal, 2007). Converting conventional tillage to
567 reduced tillage in rice-based cropping systems in China could sequester 213 kg C ha⁻¹ yr⁻¹ (Nayak et al.,
568 2015) but, again, the small size of most farms in China presents practical and economic barriers to adoption
569 of reduced tillage. Mulching different living plants could significantly increase SOC sequestration in
570 orchards soil (Qian et al., 2015).

571 Emissions associated with the manufacture of plastic film use in the GV system could be reduced by
572 prolonging its service life and recycling of the abandoned film (Chen et al., 2011). Further, the high rates of
573 pesticide application in Chinese GV systems could be reduced by controls on the occurrence of disease and
574 insect pests through technologies such as reduced temperature and humidity in greenhouses, and physical

575 controls (i.e. trapping and insect screens), advanced application equipment and drip irrigation (Wang and
576 Wang, 2016) and integrated pest management (Pretty and Bharucha, 2015).

577 Despite the soil carbon sink found in Chinese cropland soils, emissions of N₂O and CH₄ and upstream
578 CO₂-eq emissions associated with agronomic management are about one order of magnitude larger than the
579 soil carbon sink under current farmers' practices. Chinese croplands are therefore a net GHG source.
580 Over-fertilization with N and low energy use efficiency of irrigation and other agronomic management
581 practices are largely responsible for these high GHG emissions. To feed an increasingly wealthy population,
582 Chinese crop production is expected to continue to expand in the future, posing great challenges for
583 reducing GHG emissions. However, there is still much room for improving the net GHG balance of
584 Chinese croplands. Mitigation measures can focus on, but are not limited to, optimizing fertilizer
585 applications, better irrigation practices and conservation tillage.

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