Coagulation status of critically ill patients with and without liver disease assessed using a novel thrombin generation analyzer

Gael B. Morrow1 | James Beavis2 | Sarah Harper2 | Peter Baker2 | Michael J. R. Desborough3 | Nicola Curry1,2 | Simon J. Stanworth1,4 | Mike A. Laffan2,5

1Radcliffe Department of Medicine, University of Oxford, Oxford, UK
2Oxford Haemophilia and Thrombosis Centre, NIHR Oxford Biomedical Research Centre, Oxford University Hospitals NHS Foundation Trust, Oxford, UK
3Haemophilia and Thrombosis Centre, St Thomas’ Hospital, London, UK
4Transfusion Medicine, NHS Blood and Transplant, Oxford University Hospitals NHS Foundation Trust, Oxford, UK
5Centre for Haematology, Imperial College London, London, UK

Correspondence
Gael B. Morrow, Radcliffe Department of Medicine, Oxford Haemophilia & Thrombosis Centre, Churchill Hospital, University of Oxford, Oxford OX3 7LE, UK. Email: gael.morrow@ndcls.ox.ac.uk

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Abstract
The liver synthesizes the majority of pro- and anti-coagulant and fibrinolytic proteins, and during liver dysfunction synthesis of these proteins is reduced. The end point of conventional hemostatic tests, such as the prothrombin time (PT), occurs when only 5% of thrombin generation (TG) has taken place and is not sensitive to the effects of natural anti-coagulants. The aim of this study was to determine whether TG in the presence of thrombomodulin (TM) provides more useful information about coagulation potential, in comparison to the PT. Analysis was performed on ST Genesia, a novel TG analyzer from Diagnostica Stago. TG was measured using STG-Thromboscreen, a reagent containing an intermediate concentration of human tissue factor (TF) ± rabbit TM to account for anti-coagulant protein C (PC) activity. Platelet-poor plasma (PPP) samples were from the Intensive Care Study of Coagulopathy-2 (ISOC-2), which recruited patients admitted to critical care with a prolonged PT (3 seconds above the reference range). Despite a prolonged PT, 48.0% and 60.7% of patients in the liver and non-liver groups had TG parameters within the normal range. Addition of TM reduced TG by 34.5% and 41.8% in the liver and non-liver groups, respectively. Interestingly, fresh frozen plasma (FFP) transfusion had no impact on TG. Measurement of TG with addition of TM provides a more informative assessment of coagulation capacity and indicates that hemostasis is balanced in patients with liver disease during critical illness, despite conventional tests suggesting that bleeding risk is increased.

KEYWORDS critically ill, liver disease, thrombin, thrombomodulin, transfusion
Thrombin generation (TG) is an essential part of normal hemostasis and contributes to an individual’s risk of bleeding or thrombosis. Conventionally, TG is assessed using the prothrombin time (PT) and activated partial thromboplastin time (APTT). However, we now know that these basic coagulation tests provide limited assessment of thrombin generation in vivo and are poor at predicting clinical bleeding.\textsuperscript{1-5} This is due to several factors: (a) the tests record clotting time when only 5% of TG has occurred; (b) the tests reflect only the pro-coagulant factors, and do not take into account natural anti-coagulants;\textsuperscript{5-8} and (c) they are insensitive to modest, but clinically relevant reductions in factor concentrations.

As a consequence, there remains a need for better hemostatic tests to reliably predict whether patients with (or without) prolonged PTs are at risk of bleeding and whether they obtain any benefit from plasma transfusion prior to traumatic procedures. This study uses samples obtained from the Intensive Care Study of Coagulopathy 2 (ISOC-2), a heterogeneous group of critically ill patients on intensive care units (ICUs) in which there was uncertainty with regard to bleeding risk and requirements for fresh frozen plasma (FFP) transfusion.\textsuperscript{5,9} Introduction of a novel diagnostic assay to assess coagulation, such as TG, could avoid delays in interventional procedures, avoid complications of unnecessary plasma transfusion, and reduce bleeding. FFP is not without risk for the patient\textsuperscript{5,16} and yet is frequently administered to non-bleeding patients with mild or moderate abnormalities of PT, for example, as prophylaxis prior to invasive procedures, although evidence indicates negligible effects on correction of any PT prolongation when conventional doses are used.\textsuperscript{17-23}

A problem with the introduction of TG into clinical practice is that it is typically a research tool and performed retrospectively on batched blood samples. A novel TG analyzer (ST Genesia, Diagnostica Stago, Asnieres, France) provides the technology to deliver standardized and fully automated assays in vitro,\textsuperscript{24} helping to regulate temperature and eliminate manual pipetting errors and reagent variation. The TG measurement is based on the fluorescence principle originally described by Hemker et al.\textsuperscript{25} Quality control checks incorporate a reference plasma alongside a low, normal, or high TG plasma to allow validation of the patient results.

Three kits are available commercially: STG-BleedScreen (low TF) to evaluate bleeding risk in hemophilia patients; STG-DrugScreen (high TF) to monitor the effect of anti-thrombotic drugs, such as direct oral anti-coagulants (DOAC) and Vitamin K antagonists (VKA); and STG-ThromboScreen (intermediate TF) to evaluate thrombotic risk in patients with thrombophilia or recurrent deep vein thrombosis (DVT). In this study, we use the STG-ThromboScreen kit, which incorporates thrombomodulin (TM) into the TG test allowing activation of the potent PC anti-coagulant pathway and allowing assessment of both arms of hemostasis. Our earlier work on ISOC-2 identified that many patients had evidence of normal endogenous thrombin potential coagulopathy (ETP-coagulopathy), despite prolongation of PT, but did not incorporate TM in the TG assay.\textsuperscript{5,9}

TM is a transmembrane protein expressed on the surface of endothelial cells that forms a complex with thrombin, switching its function from pro- to anti-coagulant.\textsuperscript{26-28} The thrombin-TM complex activates PC, which in turn downregulates coagulation by cleavage of activated factor V (FVa) and factor VIII (FVIIIa).\textsuperscript{26-28} It also activates thrombin activatable fibrinolysis inhibitor (TAFI) to attenuate fibrinolysis.\textsuperscript{29-31} Addition of TM enables the TG measurement to test these aspects of the coagulation system in patients with complex hemostatic alterations, including those with liver disease. In liver disease, coagulation factor synthesis is decreased because the liver is responsible for synthesizing many anti-coagulant and fibrinolytic factors, as well as pro-coagulant factors.\textsuperscript{32} This group of patients is frequently regarded as hypocoagulable, although research has indicated that in fact hemostasis may be re-balanced in this group of patients.\textsuperscript{33-36} Here, we discuss the use of a novel TG analyzer to monitor critically ill patients with liver disease, to compare findings with patients without liver disease, and to determine the effects of plasma transfusion on TG parameters.

2 | METHODS

2.1 | Plasma samples

Platelet-poor citrated plasma (PPP) samples were obtained from the ISOC-2 trial,\textsuperscript{5} which recruited patients admitted to critical care with impaired coagulation. This was defined by a PT 3 seconds above the upper limit of the normal reference range within 48 hours of admission. Any patients with evidence of active clinical bleeding or receiving treatment-dose anti-coagulant therapy were excluded. Samples were not taken from lines used for heparin infusions or those blocked and flushed with fibrinolytic drugs. Anti-factor Xa
levels were performed in all samples to check for heparin contamination. One patient received prophylaxis with an anti-platelet agent (epoprostenol). Samples were taken upon admission, pre-plasma infusion, post-plasma infusion, and at the end of the study (5 days after entry). PPP samples were stored at −80°C since commencement of the original ISOC-2 study in 2014 and did not undergo any freeze-thaw cycles prior to the analysis performed in this substudy. This substudy focuses on patients with liver disease (n = 78) as assigned by the treating clinician, which are compared to those critically ill patients without liver disease (n = 94). Liver disease was defined by the referring clinician (Figure 1). Baseline clinical characteristics for both patient groups are described in Table 1. Normal reference ranges were calculated as mean ± 1.96 x standard deviation (SD) from 45 healthy volunteers.

2.2 | Thrombin generation

The ST Genesia incorporates a fully automated and standardized TG method. On each day of testing a new calibration test, three levels of quality control (low, normal, and high TM resistance), and a reference plasma to normalize parameters are assessed. PPP samples were thawed at 37°C for 10 minutes before beginning the TG test, which was performed using the STG-Thromboscreen kit, which contains pro-coagulant phospholipids, an intermediate picomolar concentration of human recombinant TF ± rabbit lung TM. TG was initiated by addition of the fluorogenic substrate and calcium chloride. Lag time, peak height, time to peak, velocity index, start tail, and ET, were extracted from the Thrombograms.

2.3 | Data analysis

Access to the full ISOC-2 case report form (CRF) allowed comparison of TG parameters with conventional laboratory tests and incidence of bleeding. Bleeding was defined using the Hemorrhage Measurement (HEME) assessment tool. Results are represented as individual data points and display the mean ± SD. Statistical analysis was performed using Graph Pad Prism 8.0 and normality assessed using a D’agostino-Pearson omnibus test. A non-parametric Mann-Whitney t-test was used to analyze the data. P < .05 was considered significant.

3 | RESULTS

3.1 | TM-ETP

The ST Genesia was used to measure TG in two groups of patients from the ISOC-2 study: those with liver disease (n = 78) and non-liver disease patients (n = 94; Figure 1, Table 1). Patients recruited to the study had abnormal routine clotting test results, but despite a prolonged PT, many of the patients had TG parameters within the normal range.

The ETP is the most commonly reported TG parameter and has been taken to represent an individual’s risk of bleeding or thrombosis. Despite a prolonged PT as a requirement for recruitment to the ISOC-2 study, 48% of patients in the liver group had normal ETP (912.4-1715.6 nmol/L/min), and the remaining 52% were below the normal limit (<912.4 nmol/L/min) (Figure 2A). In the non-liver group,
The effect of TM was evaluated in the remaining TG parameters (Figure 3). Addition of TM significantly altered the lag time, peak height, and ETP in both groups of patients, and time to peak in non-liver patients (Figure 3A-C, Figure 1A,B, P < .0001). TM did not influence the velocity index or start tail (Figure 3D-E).

Despite a prolonged PT, 79.5% of the liver patients and 56.6% in the non-liver group had a normal TM-lag time (1.3-4.6 minutes; Figure 4A). The TM lag time in patients with liver disease was significantly shorter than those without (3.8 ± 1.6 versus 5.9 ± 2.8 minutes, respectively; Figure 4A).

The TM peak height was not significantly different between the two patient groups, again suggesting that patients with liver disease do not have unduly impaired coagulation (Figure 4B). Interestingly, the TM time to peak was significantly shorter in the liver group than in the non-liver group: 6 ± 2 versus 8.8 ± 3.6 minutes, respectively (Figure 4C). Additionally, 75.6% of liver patients had a TM time to peak within the normal range (3.3-6.9 minutes) in comparison to only 46.4% in the non-liver group (Figure 4C). The difference in TM time to peak between liver and non-liver patients may be due to increased PC activation in the non-liver group. Activated PC (APC) limits the concentration of FVa, thus prolonging the time to peak, and the lack of effect on the TM-peak height may be explained by reduction in other anti-coagulant factors.

### 3.2 The effect of TM on TG parameters

Analysis of the remaining TG parameters revealed a strong positive correlation between TM peak height and TM-ETP ($r^2 = .6552$; Figure 5A). No correlation was observed between the other TG parameters, suggesting that each parameter describes a different aspect of coagulation.

Interestingly, no TG parameters correlated with conventional laboratory tests, including PT, APTT, von Willebrand factor (VWF), C-reactive protein (CRP), Clauss fibrinogen, or platelet count (Figure 5B). This was reflected in the $r^2$ correlation co-efficients with TM-ETP: .0036, .004, .0041, .00051, and .0061 for VWF, CRP, PT, platelet count, and fibrinogen, respectively (Figure 5B).
Samples taken upon enrolment to the study (Day 1) and at the end of the 5-day study period (Day 5) were not significantly different from one another when measuring TM-ETP (Figure 6). However, the PT measurement was significantly different between the two time points, showing a shortening of the PT over time (Figure 6). This may be explained by the contribution of anti-coagulant factors to the TG test, for which the PT test does not account.

Often patients are administered blood components or drug treatments based on routine coagulation test results. In our analysis, FFP transfusion had no identifiable effect on TG parameters (Figure 7A, \( P = .81 \)).

### 3.6 Assessment of bleeding events using TG parameters

As discussed in our earlier manuscript,\(^5\) 16 major bleeds, defined as blood loss >300 mL, were recorded and 4.9% of these patients were treated with FFP transfusion (Figure 7B). The majority of major bleeds (84%) were within the liver group. A further 28 bleeding events were recorded as minor bleeds of whom 3.8% received FFP (Figure 7B). Interestingly, only 42% of patients who received FFP transfusion had a prothrombin ratio > 2% and 75% had normal TG, defined as TM-ETP within 387.32 - 561.88 nmol/L/min.

Thrombin generation parameters were split into three categories: low, normal, and elevated TM-ETP. This was defined as < 387.3, 387.3 - 561.9, and > 561.9 nmol/L/min (Figure S1 in supporting information). Patients were then categorized as having low or high platelets (< or > 100 x 10\(^9\) plt/L) and low or high fibrinogen (< or > 2 g/L), and bleeding events analyzed in each category (Figure S1). Interestingly, bleeding events were identified across all categories, including patients with elevated TM-ETP, fibrinogen, or platelets (Figure S1).

### 4 DISCUSSION

It was previously thought that liver patients were hypocoagulable due to their decreased levels of measured coagulation factors and prolonged clotting in conventional tests. Our results support evidence\(^{33,41-48}\) that the reduction of pro-coagulant factors is balanced by the simultaneous reduction of anti-coagulant factors in liver disease. In the literature, PC is described as the key factor responsible for re-balancing hemostasis; however, it is important to note the contribution of other pro- and anti-coagulant factors.\(^{32,49-51}\) It has recently been shown that FVIII and VWF synthesis is increased,\(^{23,52,53}\) whereas antithrombin and tissue factor pathway inhibitor (TFPI)-protein S levels are decreased\(^{54}\) in liver disease. This provides a rationale for the addition of TM in the TG assay and our findings for longitudinal monitoring suggest
many patients have a stable profile of TG during admission, despite many changes in treatment and condition. A limitation of our study is the heterogeneous nature of the liver patient group, which includes post-transplant patients, sepsis associated with underlying liver disease, and cirrhosis; all which have different disease etiology (Figure 1).

**FIGURE 3** The effect of thrombomodulin (TM) on thrombin generation parameters. Thrombin generation (TG) was measured using ST Genesia and STG-Thromboscreen (STG-TBS) kits. STG-TBS initiates TG with an intermediate concentration of human recombinant tissue factor ± rabbit lung TM. Patients were split into two distinct groups: non-liver and liver patients. Lag time (A), peak height (B), time to peak (C), velocity index (D), and start tail (E) were measured. Normal reference ranges were calculated as mean ± 1.96 x standard deviation from 45 healthy volunteers (dotted line). **** P < .0001

**FIGURE 4** Thrombomodulin (TM) peak height is strongly correlated with TM-endogenous thrombin potential (ETP). Thrombin generation (TG) was measured using ST Genesia and STG-Thromboscreen (STG-TBS) kits. STG-TBS initiates TG with an intermediate concentration of human recombinant tissue factor in presence of rabbit lung TM. Patients were split into two distinct groups: non-liver and liver patients. Normal reference ranges were calculated as mean ± 1.96 x standard deviation from 45 healthy volunteers (dotted line). Lag time (A), peak height (B), and time to peak (C) were measured in both liver and non-liver patients. **** P < .0001 (D) Protein C antigen was measured using Berichrom Protein C kit (Siemens) and Sysmex CS-5100 haemostasis analyzer. ** P < .01
This study explored whether an algorithm could better predict bleeding in patients admitted to critical care with the aim to reduce unnecessary FFP transfusion. We were unable to develop a clear relationship between any of the coagulation parameters and bleeding risk (Figure S1), suggesting bleeding is multi-factorial, i.e., low platelets and high factor VIII or high VWF and low fibrinogen. Other reasons might include the limited number of bleeding events recorded, additional factors contributing to bleeding in an individual patient, and the circumstances around bleeding. It remains possible that any coagulation test, including TM-ETP, is insensitive to bleeding risk prediction and this may argue against emphasizing the need for coagulation testing in many patients, such as prior to invasive procedures. A limitation to our study was the absence of precise details of the bleeding events, and it is important to recognize that many of the bleeds may be associated with surgical or mechanical interventions, and not with hemostatic failure per se. The site of the bleed may be another factor determining outcomes, for example intracranial compared to wound-related or non-traumatic intra-articular bleeds.

A recent study carried out by the European Society of Intensive Care Medicine (ESICM) surveyed transfusion practice in the non-bleeding critically ill. The study found practice in plasma and platelet transfusion is heterogenous and local transfusion guidelines were lacking in the majority (71%) of ICUs. Our results indicate the ineffectiveness of FFP transfusion on TG and continues to provide reassurance to clinicians that it is not necessary for patients within near normal, conventional coagulation tests. Samples taken upon enrolment (Day 1) and at the end (Day 5) of the study were not significantly different when measuring TM-ETP (Figure 6). We were unable to determine the effect of prophylactic plasma transfusion on PT in this cohort; however, other studies have addressed this question and found no effect.

Although TG provides a different assessment of an individual's hemostatic status than conventional coagulation tests, and is a more representative test of what occurs in vivo, it remains incompletely physiological in some aspects. These include replacement of platelets with synthetic phospholipids, absence of cell and vessel wall components including endothelial cells (which is where TM is
expressed),\textsuperscript{56,57} and as this is a static system, the impact of flow, which removes activated factors, and alters thrombus formation and subsequently, structure.\textsuperscript{58-60} A disadvantage of using TM-ETP is that it cannot be calculated until TG has come to an end, ie, when all thrombin in the sample has been inhibited by anti-thrombin. In critically ill patients, this can take up to 120 minutes (Figure 4C). Thus, TM peak height may be beneficial as a predictor of TM-ETP and for use in clinical monitoring of critically ill patients. The lack of correlation of TM-ETP with conventional laboratory tests, such as VWF, CRP, platelet count, or fibrinogen, supports our hypothesis that current hemostatic tests provide only a limited assessment of hemostatic capacity.

Our data support previous observations that measurement of TG in the presence of TM provides a global assessment of pro- and anti-coagulant factors. Second, comparison of TM-ETP and ETP indicates that hemostasis is balanced in critically ill patients with liver disease, and that this results from their decreased levels of PC (Figure 2 A,B; Figure 4D). In summary, our results support the need for a novel diagnostic strategy based on TG, and the ST Genesia should be considered for future use in clinics to identify critically ill patients who do not require FFP transfusion.

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CONFLICTS OF INTEREST
Diagnostica Stago had no role in the design, conduct, analysis, or write-up of the study. MJRD received consultancy fees from Takeda.

GBM, JB, SH, PB, NC, SJS, and MAL have no conflicts of interest to declare.

AUTHOR CONTRIBUTIONS
GBM performed the research, analyzed the data, and wrote the manuscript; JB, SH, and PB performed the research; MJRD analyzed the data; NC, SJS, and ML supervised the research, analyzed the data, and wrote the manuscript.

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SUPPORTING INFORMATION
Additional supporting information may be found online in the Supporting Information section.


APPENDIX
ISOC-2 STUDY GROUP HOSPITAL SITES AND INDIVIDUALS

NHS Blood and Transplant Clinical Trials Unit: Lydia Iyamu Perisanidou, Heather Smethurst, Fiona Goddard, Lekha Bakrania, Charlotte Llewelyn, Tania Reed, Alison Dreart

Edinburgh: Louise Boardman, David Hope, Corrienne McCulloch, Gosha Wojcik, Claire Kydonaki, Michael Gillies, Heidi Dawson, Fiona Pollock, Joanne Thompson, Anthony Bateman, Kallirroi Kefala

Oxford: Paula Hutton, Penny Parsons, Alex Smith

Royal London: Eleanor McAlees, Kirsty Everingham, Marta Januszewska, Ena Warrington, Rupert Pearse

Cambridge: Stephen MacDonald, Esther Price, Petra Polgarova, Charlotte Bone, Amy McInery, Katarzyna Zamoscik