

## Estimated glomerular filtration rate and risk of poor outcomes after stroke

Journal:	<i>European Journal of Neurology</i>
Manuscript ID	EJoN-19-0232
Wiley - Manuscript type:	Original Article
Date Submitted by the Author:	23-Feb-2019
Complete List of Authors:	Vart, Priya; Radboud Universiteit Nijmegen, Health Evidence Barlas, Raphael; University of Aberdeen College of Life Sciences and Medicine, Epidemiology Bettencourt-Silva , Joao ; Norfolk and Norwich University Hospital, Stroke unit Metcalf, Anthony; Norfolk and Norwich University Hospital, Stroke unit Bowles , Kristian; Norfolk and Norwich University Hospital, Stroke unit Potter, J.F.; UEA, Medicine Myint, Phyoo; University of East Anglia, Ageing and Stroke Medicine; University of Cambridge, Clinical Gerontology Unit
Keywords:	eGFR, Stroke < Cerebrovascular diseases and cerebral circulation < NEUROLOGICAL DISORDERS, Prognosis, complications, mortality

1  
2  
3 **1 Estimated glomerular filtration rate and risk of poor outcomes after stroke**

4  
5  
6 2 *Priya Vart PhD<sup>1,2</sup>, Raphae S Barlas MA (Hons)<sup>1</sup>, Joao H Bettencourt-Silva PhD<sup>3,4</sup>, Anthony*  
7  
8 3 *K Metcalf MBChB<sup>3,4</sup>, Kristian M Bowles PhD<sup>3,4</sup>, John F Potter MD<sup>3,4</sup>, Phyo K Myint MD<sup>1,3,4</sup>*

9  
10  
11 4 <sup>1</sup>Ageing Clinical and Experimental Research, Institute of Applied Health Sciences, School of  
12  
13 5 Medicine, Medical Sciences & Nutrition, University of Aberdeen, Foresthill, Aberdeen,  
14  
15  
16 6 AB25 2ZD, UK

17  
18 7 <sup>2</sup>Department of Health evidence, Radboud University Medical Center, Nijmegen, the  
19  
20  
21 8 Netherlands

22  
23 9 <sup>3</sup>Stroke Research Group, Norfolk and Norwich University Hospital, Norwich, NR4 7UY, UK

24  
25 10 <sup>4</sup>Norwich Medical School, University of East Anglia, Norwich, NR4 7TJ, UK

26  
27 11  
28  
29 12 **Corresponding author:**

30  
31 13 Priya Vart

32  
33 14 Radboud university medical center

34  
35 15 Department for Health Evidence, Division of Biostatistics

36  
37 16 6500 HB Nijmegen, the Netherlands

38  
39 17 Tel: 0031(024)3667349

40  
41 18 **Email:** [priya.vart@radboudumc.nl](mailto:priya.vart@radboudumc.nl)

42  
43 19 **Running title:** eGFR and prognosis after stroke

44  
45 20 **Abstract word count:** 245

46  
47 21 **Manuscript word count:** 3,500 (title page, abstract, main text, acknowledgement)

48  
49 22 **Key words:** eGFR; stroke; prognosis; mortality; disability

50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 **23 Abstract**  
4  
5

6 **24 Background:** Relationship of estimated glomerular filtration rate (eGFR) with complications  
7  
8 **25** after stroke has not been fully characterized for entire clinical spectrum of eGFR and for the  
9  
10 **26** fluctuation in eGFR during hospital stay.

11  
12  
13 **27 Methods:** Data from the Norfolk and Norwich Stroke Registry recorded between January  
14  
15 **28** 2003 and April 2015 was analysed. eGFR was categorized into six clinically relevant  
16  
17 **29** categories as per Kidney Disease Improving Global Outcomes guidelines. Change in eGFR  
18  
19 **30** during acute admission was categorized into: within 5% change (ref.), 5-20% decline, >20%  
20  
21 **31** decline, 5-20% increase and >20% increase. All-cause mortality, recurrent stroke, incident  
22  
23 **32** myocardial infarction, prolonged hospital stay and stroke disability at discharge were  
24  
25 **33** outcomes of interest.

26  
27  
28  
29  
30 **34 Results:** 10,329 stroke patients (mean age 77.8 years) were followed for a mean of 2.9 years  
31  
32 **35** (30,126 person years). Multivariable adjusted hazard ratios (HRs) (95%CI) for all-cause  
33  
34 **36** mortality were 0.91 (0.80-1.04), 0.96 (0.83-1.11), 1.23 (1.06-1.43), 1.54 (1.31-1.82) and 2.38  
35  
36 **37** (1.91-2.97) for eGFR levels 60-89, 45-59, 30-44, 15-29 and <15 respectively, compared to  
37  
38 **38** eGFR  $\geq 90$  mL/min/1.73m<sup>2</sup>. The HR (95%CI) for eGFR change were 1.56 (1.36-1.79), 1.17  
39  
40 **39** (1.05-1.30), 1.47 (1.32-1.62) and 1.71 (1.55-1.88) for >20% decline, 5-20% decline, 5-20%  
41  
42 **40** increase and >20 % increase, respectively, compared to change within 5%. Results were  
43  
44 **41** similar for other outcomes except recurrent stroke.

45  
46  
47  
48  
49 **42 Conclusions:** Stroke patients with eGFR <45 mL/min/1.73m<sup>2</sup> at hospital admission and > 5%  
50  
51 **43** decline or increase in eGFR during hospital stay were at substantially high risk of poor  
52  
53 **44** outcomes, particularly all-cause mortality, myocardial infarction, prolonged hospital stay and  
54  
55 **45** disability at discharge.  
56  
57  
58  
59  
60

## 46 **Introduction**

47 Low estimated glomerular filtration rate (eGFR) (<60 mL/min/1.73m<sup>2</sup>) is highly prevalent in  
48 stroke. Indeed, more than a third of stroke patients has been found to have low eGFR at  
49 hospital admission [1-3]. Previous studies suggested that stroke patients with low eGFR are at  
50 increased risk of poor clinical outcomes including death, prolonged hospital stay and  
51 disability after hospital discharge [4-10]. However, there are few studies assessing the  
52 association between eGFR divided into all clinically relevant categories and stroke outcomes.  
53 Understanding the size and shape of the association across all clinically relevant categories of  
54 eGFR is not only essential for clinical decision making but is also vital in helping patients  
55 and their families understand the course of the disease. In addition, in previous studies eGFR  
56 was only assessed at single time point on admission, thus whether change in eGFR during  
57 hospital stay could be a prognostic factor in stroke patients is virtually unknown. Previously,  
58 short term change in eGFR has been shown to be associated with poor clinical outcomes in a  
59 general population [11].

60 This study aimed to examine the association of eGFR categorised as per recent  
61 Kidney Disease Improving Global Outcomes (KDIGO) guidelines [12] with complications  
62 including all-cause mortality, stroke recurrence, incident myocardial infarction, prolonged  
63 hospital stay and disability at hospital discharge in stroke patients. In addition, we examined  
64 the association between change in eGFR during hospital stay and aforementioned outcomes  
65 using a second assessment of eGFR at hospital discharge.

## 66 **Materials and Methods**

### 67 *Sample population*

68 Data of unselected consecutive patients from the Norfolk and Norwich Stroke Registry at the  
69 Norfolk and Norwich University Hospital which serves a population of ~750,000 were used.  
70 Methods of data collection have been described elsewhere [13]. In summary, data were  
71 obtained from paper-based, reviewed and entered onto the register database by the hospital  
72 stroke data team and data linkage with electronic records [14]. Newcastle and Tyneside  
73 National Health Service (NHS) Research Ethics Committee delivered ethical approval  
74 (12/NE/0170) and the Steering Committee of the Norfolk and Norwich Stroke Register  
75 approved the study protocol.

76 Between January 2003 and April 30, 2015, 10,683 stroke patients (age  $\geq 18$  years)  
77 with either ischemic or hemorrhagic stroke were admitted to the hospital. Because  
78 biochemistry data were electronically available only after January 2003, patients were  
79 included from the beginning of 2003. Patients with missing information of serum creatinine  
80 were excluded (n=354). Complete information was available on comorbidities including  
81 diabetes, hypertension, heart failure, hypercholesterolemia, coronary heart disease, atrial  
82 fibrillation, and pneumonia. After exclusion, final analytic sample included 10,329 stroke  
83 patients.

### 84 *Estimated glomerular filtration rate*

85 Two serum creatinine measurements, on hospital admission and near to hospital discharge  
86 (alive or dead) using the Jaffe method and standardized to isotope dilution mass spectrometry  
87 values. The Chronic Kidney Disease-Epidemiology collaboration equation was used to  
88 estimate kidney function [15]. Although data for race were not available, misclassification of  
89 eGFR was expected to be minimal because less than 2% of the Norwich population is of non-

1  
2  
3 90 white ethnic origin [16]. As per KDIGO guidelines [12], admission eGFR was categorized  
4  
5 91 into following stages: <15, 15-29, 30-44, 45-59, 60-89 and  $\geq 90$  mL/min/1.73m<sup>2</sup>.  
6  
7

8 92 *Covariates*  
9

10 93 Data on age, sex, history of stroke, type of stroke (ischemic or hemorrhagic), pre-stroke  
11  
12 94 modified Rankin Score (mRS) (modified by the UK transient ischemic attack investigators)  
13  
14 95 [17] (0-5), and Oxfordshire Community Stroke Project (OCSP) Classification were collected  
15  
16 96 by specialist stroke nurses or doctors. For each patient, when admitted data on the pre-stroke  
17  
18 97 mRS were collected from nursing and medical records. Co-morbidities including diabetes,  
19  
20 98 hypertension, dyslipidaemia, heart failure, atrial fibrillation, coronary heart disease and  
21  
22 99 pneumonia were obtained through record linkage.  
23  
24  
25

26  
27 100 *Outcome(s)*  
28

29 101 All-cause mortality, recurrent stroke, incident myocardial infarction, prolonged hospital stay,  
30  
31 102 and stroke disability were selected as outcomes for the study purpose. Mortality status was  
32  
33 103 recorded at discharge to record in-hospital mortality. Linkage with the Office of National  
34  
35 104 Statistics was established in UK National Health Service order to obtain follow-up mortality  
36  
37 105 data. Information on recurrent stroke and post stroke incidence of myocardial infarction was  
38  
39 106 obtained through electronic record linkage. Recurrent stroke cases were additionally  
40  
41 107 identified by assessing repeated admission(s) of a patient for stroke recorded in the registry.  
42  
43 108 Prolonged hospital stay was defined as hospital stay longer than median days of hospital stay.  
44  
45 109 Disability at discharge was assessed using mRS scores at hospital discharge and was  
46  
47 110 classified into three groups: mild (0-1), moderate (2-3) and severe (4-6). For all-cause  
48  
49 111 mortality, recurrent stroke and myocardial infarction, patients were followed until May 30,  
50  
51 112 2015 so as to have minimal follow up of one month. For clinical relevance, the risk of <30,  
52  
53 113 30-365 and >365 day mortality was examined separately in addition to overall mortality over  
54  
55  
56  
57  
58  
59  
60 114 the whole follow up.

1  
2  
3 115 *Statistical analysis*  
4

5 116 Cox proportional hazards regression analysis was performed to estimate hazard ratios (HRs)  
6  
7 117 for the association between eGFR categories (with eGFR  $\geq 90$  mL/min/1.73 m<sup>2</sup> as the  
8  
9 118 reference group) and all-cause mortality. Competing risk regression analysis (using Fine and  
10  
11 119 Gray's method) [18] was performed to calculate sub-distribution HRs for recurrent stroke and  
12  
13 120 incident myocardial infarction, considering all-cause mortality as a competing risk. Bi-  
14  
15 121 nominal logistic regression analyses was performed for prolonged hospital stay and Ordinal  
16  
17 122 logistic regression for stroke disability at discharge. Since death may skew analyses for  
18  
19 123 prolonged hospital stay, this analyses was performed for patients that were alive at discharge.  
20  
21 124 Multivariable models were constructed to adjust for potential confounders. Model 1 was  
22  
23 125 adjusted for demographic factors (age and sex). Model 2 was additionally adjusted for  
24  
25 126 previous stroke, pre-stroke mRS, stroke type, stroke severity (using OCSP classification), and  
26  
27 127 the comorbid conditions listed above. Test of models assumptions indicated that our models  
28  
29 128 fit the data well.  
30  
31  
32  
33  
34  
35

36 129 The proportional hazards assumption was tested with log-log survival curves. Parallel  
37  
38 130 lines for eGFR categories implied that the proportional-hazards assumption was not violated  
39  
40 131 (eFigure 1). For competing risk regression analysis, proportional hazard assumption was  
41  
42 132 tested by examining the interaction between individual covariates and follow-up time. Model  
43  
44 133 did not violate proportional hazard assumption (p for interaction for each covariate was  
45  
46 134  $>0.05$ ). Hosmer and Lemeshow's goodness-of-fit test [19] for binary logistic regression and  
47  
48 135 Log-likelihood ratio as goodness-of-fit test for ordinal logistic regression indicated that our  
49  
50 136 model fitted the data well (p=0.12 and 0.34, respectively).  
51  
52  
53  
54

55 137 In addition, we explored the association between eGFR distribution and outcomes by  
56  
57 138 dividing eGFR into groups spanning 10 mL/min/1.73 m<sup>2</sup>:  $<20$ , 20-29, 30-39, 40-49, 50-59,  
58  
59 139 60-69, 70-79, 80-89, 90-99 (reference), 100-109 and  $\geq 110$  mL/min/1.73 m<sup>2</sup>. There were

1  
2  
3 140 fewer incident myocardial infarction and recurrent stroke due to multiple groups. Thus, a  
4  
5 141 combined end point of myocardial infarction, recurrent stroke and all-cause mortality was  
6  
7  
8 142 used in this analysis.  
9

10  
11 143 To assess the association between change in eGFR during hospital stay and outcomes  
12  
13 144 after hospital discharge, percentage change in eGFR during hospital stay  $((\text{eGFR}_{\text{discharge}} -$   
14  
15 145  $\text{eGFR}_{\text{admission}})/\text{eGFR}_{\text{admission}}) \times 100$  was categorized into the following categories: change  
16  
17 146 within 5% (reference), 5-20% decline, >20% decline, 5-20% increase and >20% [20].  
18  
19  
20 147 Association was examined for patients who were alive at discharge (N=8,021). This  
21  
22 148 association was additionally adjusted for length of hospital stay. For recurrent stroke and  
23  
24 149 incident myocardial infarction, analysis was performed for patients who did not develop these  
25  
26  
27 150 outcomes during hospital stay (N=7,928 and N=7,937, respectively).  
28  
29

30 151 Multiple imputation was performed by chained equations with 10 iterations to impute  
31  
32 152 missing data (previous stroke (n=253), stroke severity (n=631) and pre-stroke modified  
33  
34 153 Rankin score (n=674)) [21]. The following variables were incorporated into the model for  
35  
36 154 imputations: age, sex, history of stroke, stroke type, serum creatinine, diabetes, hypertension,  
37  
38  
39 155 hypercholesterolemia, heart failure, atrial fibrillation, and coronary heart disease.  
40  
41

42 156 A two tailed p-value of <0.05 was considered significant. All analyses were  
43  
44 157 performed using Stata/SE version 14.0.  
45  
46

#### 47 158 *Additional analyses*

48  
49 159 First, previous studies reported differences in the association between eGFR and adverse  
50  
51 160 outcomes by high risk groups [5,20]. Thus, we tested for interaction and presented the results  
52  
53  
54 161 by stratifying according to two major high risk groups including diabetes and hypertension  
55  
56 162 status (no/yes). Second, to investigate study period effect, we evaluated the interaction  
57  
58  
59 163 between eGFR and study period (i.e. 2003-2006, 2007-2010 and 2011-2015) for the risk of  
60



1  
2  
3 164 adverse clinical outcomes. Third, we examined association between eGFR change and  
4  
5 165 outcomes when adjusting for baseline eGFR. Finally, for change in eGFR during hospital  
6  
7 166 stay, we examined association when using different cut-off of change in eGFR (i.e. 5%  
8  
9 167 (reference), 5-25% decline, >25% decline, 5-25% increase and >25% increase).  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

For Peer Review

## 168 **Results**

### 169 *Baseline characteristics*

170 Characteristics of the sample population are presented according to eGFR levels in Table 1.

171 Median duration of hospital stay was 8 days (inter-quartile interval 4-18 days). The mean  
172 eGFR was  $63.7 \pm 22.1$  mL/min/1.73 m<sup>2</sup>. Low levels of eGFR were more prevalent in patients  
173 aged  $\geq 65$  years compared to patients aged  $< 65$  years. A similar pattern was observed for  
174 females and those with ischemic stroke.

### 175 *Clinical Outcomes by eGFR Levels*

176 Incidences of clinical outcomes assessed across eGFR categories are shown in Table 2. In  
177 general, incidence of adverse outcomes was higher in patients with eGFR  $< 90$   
178 mL/min/1.73m<sup>2</sup>. However, in the case of recurrent stroke, the incidence tended to have an  
179 inverse 'U' shaped distribution across eGFR categories.

### 180 *Association between eGFR and adverse outcomes*

181 In the age and sex adjusted model, compared to eGFR level of  $\geq 90$  mL/min/1.73 m<sup>2</sup>, lower  
182 levels of eGFR ( $< 15$ , 15-29 and 30-44 mL/min/1.73 m<sup>2</sup>) were associated with increased risks  
183 of all clinical outcomes, including all-cause mortality, incident myocardial infarction,  
184 prolonged hospital stay and post stroke disability but not with recurrent stroke. In the  
185 multivariable adjusted models, these associations remained statistically significant. Similar to  
186 overall risk of mortality, generally lower levels of eGFR ( $< 15$ , 15-29 and 30-44  
187 mL/min/1.73m<sup>2</sup>) were associated with increased risk of  $< 30$ , 30-365 or over 365-day  
188 mortality (Table 3).

189 The association between clinical outcomes and eGFR categories stratified by 10  
190 mL/min/1.73 m<sup>2</sup> showed that, compared to eGFR category of 90-99 mL/min/1.73m<sup>2</sup>, risk of  
191 all-cause mortality was high in eGFR categories of  $< 20$ , 20-29 and 30-39 mL/min/1.73m<sup>2</sup> and

1  
2  
3 192 risk also appeared to be high in eGFR category of  $\geq 109$  mL/min/1.73m<sup>2</sup>. A similar trend in  
4  
5 193 associations was observed for the composite end point, prolonged hospital stay and stroke  
6  
7  
8 194 disability at discharge (Figure 1).  
9

10  
11 195 Regarding change in eGFR during hospital stay, greater than 5% decline or increase  
12  
13 196 in eGFR during hospital stay, was associated with an increased risk of all-cause mortality,  
14  
15 197 and stroke disability at discharge. For myocardial infarction, >20% change in eGFR tended to  
16  
17 198 be associated with increased risk. No statistically significant association was observed for  
18  
19  
20 199 recurrent stroke (Table 4).  
21

#### 22 23 200 *Additional analyses*

24  
25 201 No statistical interaction was observed for diabetes and hypertension status (no/yes) for any  
26  
27 202 of the outcomes (eTable 1-eTable 4). Similarly, no statically significant interaction was  
28  
29 203 observed between eGFR and study period for the risk of adverse clinical outcomes (p=0.21,  
30  
31 204 0.18, 0.58 and 0.25 for all-cause mortality, composite outcome, prolonged hospital stay and  
32  
33 205 post stroke disability at discharge, respectively) . Association with outcomes was essentially  
34  
35 206 similar to our main results when adjusting for eGFR at admission for this predictor (eTable 5)  
36  
37 207 and using different cut-off of eGFR change (eTable 6).  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

## 208 Discussion

209 In this large unselected prospective cohort of stroke patients, low eGFR levels of <15, 15-29  
210 and 30-44 mL/min/1.73m<sup>2</sup> which clinically correspond to very severely (or kidney failure),  
211 severely or moderate to severely impaired kidney function, respectively, were associated with  
212 increased risk of poor clinical outcomes. This association was particularly strong for the risk  
213 of all-cause mortality, incident myocardial infarction, prolonged hospital stay and disability  
214 at discharge. In addition,  $\geq 5\%$  change in eGFR during hospital stay was associated with  
215 increased risk of adverse clinical outcomes except recurrent stroke.

216 Whilst a number of previous studies have examined the association between eGFR  
217 and risk of adverse clinical outcomes in stroke patients [4-10, 21], our study expands current  
218 knowledge in a number of ways. Firstly, our study provides risk (both absolute and relative)  
219 of poor outcomes across all clinically relevant categories of eGFR which is critically  
220 important for clinical decision making. Secondly, this study confirms the association between  
221 eGFR and poor clinical outcomes in a relatively large Western population of stroke patients  
222 where average age of stroke onset is higher than in Asian populations where a number of  
223 previous studies were conducted. Thirdly, to best of our knowledge, this is the first study to  
224 examine the association between change in eGFR during hospital stay and relevant and  
225 important clinical outcomes, and demonstrates that both significant increase and decline in  
226 eGFR during hospital stay may indicate poor prognosis in stroke patients.

227 While our findings show higher risk of poor outcomes for moderately to severely  
228 reduced eGFR but lower risk for mildly and mild to moderately decreased eGFR. This is  
229 likely due to severity of illness of patients in eGFR category of  $\geq 90$  mL/min/1.73m<sup>2</sup>. Due to  
230 loss of muscle mass in chronically ill patients, serum creatinine based eGFR may over-  
231 estimate their kidney function. Thus, patients in creatinine based eGFR category of  $\geq 90$

1  
2  
3 232 mL/min/1.73m<sup>2</sup> may actually be at increased risk of poor clinical outcomes. This was  
4  
5 233 apparent when association was examined between eGFR divided into groups spanning 10  
6  
7 234 mL/min/1.73 m<sup>2</sup> and outcomes, indicating that along with the increased risk of poor  
8  
9 235 outcomes in low eGFR levels, this risk also tended to be higher in eGFR category of 100-109  
10  
11 236 and  $\geq 110$  compared to eGFR category of 90-99 mL/min/1.73m<sup>2</sup> (Figure 1). Moreover,  
12  
13 237 tendency for reduced risk of short term mortality and increased risk of long term mortality in  
14  
15 238 eGFR category of 60-89 and 45-59 mL/min/1.73m<sup>2</sup> (Table 3) also indicate likelihood of  
16  
17 239 overestimation of kidney function in these patients.  
18  
19  
20  
21

22 240 Another finding of our study was that change ( $\geq 5\%$ ) in eGFR during hospital stay also  
23  
24 241 predicted prognosis in stroke patients. Importantly, this association was independent of eGFR  
25  
26 242 at admission. Decline in kidney function during hospital stay likely signifies deteriorating  
27  
28 243 kidney function and consequently poor prognosis in these patients. Causes of increased risk  
29  
30 244 for poor prognosis with increase in eGFR during hospital stay is unclear to us. However, it  
31  
32 245 may be because of withdrawal of antihypertensive agents (especially ACEi/ARB) as is  
33  
34 246 commonly done in the patients presenting with acute stroke Furthermore it is also possible  
35  
36 247 that an increase in eGFR during hospital stay may not indicate true improvement in kidney  
37  
38 248 function but may indicate deterioration of their physical condition which may have resulted  
39  
40 249 in overestimation of their kidney function at second measurement closer to discharge. We  
41  
42 250 further explored the relationship and found that during hospital stay there was greater  
43  
44 251 increase in mRS in patients with increase in eGFR ( $>5\%$ ) compared to patients with stable  
45  
46 252 eGFR ( $<5\%$ ) (mean mRS score increase 3.1 vs 2.1, respectively).  
47  
48  
49  
50  
51  
52

53 253 In this study we did not find a statistically significant association between low eGFR  
54  
55 254 with recurrent stroke. This could be due to fewer number of recurrent stroke events in low  
56  
57 255 eGFR categories. Moreover, higher short-term mortality may be obscuring the true  
58  
59 256 relationship. Indeed, previous studies that observed this association either examined fatal and  
60

1  
2  
3 257 non-fatal re-occupant stroke together [8,12,14] or found association with stroke reoccurrence  
4  
5 258 only in a composite outcome analysis [22]. Similar to our study, one study that examined  
6  
7  
8 259 non-fatal stroke recurrence found no association between low eGFR and stroke recurrence  
9  
10 260 [23]. Although we accounted for mortality occurring before stroke recurrence in our analysis,  
11  
12 261 we cannot entirely rule out possibility of this phenomenon.  
13  
14

15 262 This study has a number of clinical and research implications. Our findings suggest  
16  
17 263 that in stroke management, eGFR may be used as an additional early biomarker to identify  
18  
19 264 high risk patients for complications. Our findings of independent association between change  
20  
21 265 in eGFR during hospital stay and clinical outcomes provide an additional tool in prediction of  
22  
23 266 prognosis in stroke patients. Since this association was also independent of eGFR at baseline,  
24  
25 267 a second assessment of kidney function at/around discharge may be valuable in predicting  
26  
27 268 longer term prognosis. Our findings also suggest that there should be caution in interpreting  
28  
29 269 high eGFR values at hospital admission (when estimated using serum creatinine) in stroke  
30  
31 270 patients in routine clinical practice since they may not always mean better kidney function  
32  
33 271 and thus a better prognosis, particularly in chronically ill patients.  
34  
35  
36  
37  
38

39 272 The present study has some limitations. First, eGFR was estimated using serum  
40  
41 273 creatinine which is influenced by muscle mass [24], and thus may not provide the most  
42  
43 274 reliable assessment of kidney function, particularly in chronically ill patients. Other  
44  
45 275 biomarkers of kidney function that are less dependent on muscle mass (e.g. Cystatin C) may  
46  
47 276 be used for more accurate assessment of kidney function [25]. However, in routine clinical  
48  
49 277 practice serum creatinine still remains the main biomarker for assessment of kidney function  
50  
51 278 and thus highlights the usefulness of our findings in routine patient care. Second, data was  
52  
53 279 not available on smoking and alcohol intake, and body mass index. However, we adjusted for  
54  
55 280 major determinants of eGFR and poor outcomes including diabetes, hypertension and CVDs  
56  
57 281 which are also linked to lifestyle factors listed above and thus likely have reduced  
58  
59  
60

1  
2  
3 282 confounding related to the unmeasured lifestyle factors. It should also be noted that the aim  
4  
5 283 of this study was not to establish causation but to investigate the association between eGFR  
6  
7  
8 284 and poor outcomes which is potentially useful in providing additional prognostic marker for  
9  
10 285 early identification of stroke patients that are at increased risk of complications.  
11  
12

13 286 This study also has a number of strengths. Our large sample population allowed us to  
14  
15 287 conduct a rigorous analysis, so as to examine the size and shape of the association between  
16  
17 288 eGFR and poor clinical outcomes across all clinically relevant categories of eGFR. In  
18  
19 289 addition, we were also able to examine the consistency of these association across various  
20  
21 290 sub groups. The availability of information on a number of clinical outcomes allowed us to  
22  
23 291 comprehensively assess the association of admission eGFR with stroke prognosis.  
24  
25 292 Furthermore, as a study using data from a hospital-based disease register, the patient  
26  
27 293 population under evaluation represents real world clinical events. Finally, the current study  
28  
29 294 included a second measurement of serum creatinine, which allowed analysis of the  
30  
31 295 importance of change in eGFR during hospital stay in stroke prognosis.  
32  
33  
34  
35  
36

37 296 In summary, stroke patients with low levels of eGFR at hospital admission  
38  
39 297 (particularly in categories of <15, 15-29 and 30-44 mL/min/1.73m<sup>2</sup>) and greater than 5%  
40  
41 298 change in eGFR during hospital stay (decline or increase) were associated with increased risk  
42  
43 299 of poor clinical outcomes of all-cause mortality, myocardial infarction, prolonged hospital  
44  
45 300 stay and stroke disability at discharge. These findings emphasize the importance of assessing  
46  
47 301 eGFR in stroke patients so as to aid in management and prediction of prognosis in routine  
48  
49 302 care.  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60

1  
2  
3 303 **Acknowledgements**  
4  
5

6 304 We thank the data team of the Norfolk and Norwich University Hospital Stroke Services.  
7  
8

9 305 **Funding**  
10

11 306 Authors did not receive specific funding for this project.  
12  
13

14 307 **Disclosure**  
15

16 308 None.  
17  
18

19 309 **Conflicts of interests**  
20

21 310 None.  
22  
23

24 311 **Contributors**  
25

26 312 Study conception, literature search, data analysis, and drafting the manuscript: PV; Data  
27  
28 313 acquisition and data management: JHBS; Supervisor or mentorship: PKM, AKM, KMB and  
29  
30 314 JFP; All authors contributed in interpretation of results. Each author contributed important  
31  
32 315 intellectual content during manuscript drafting or revision.  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60



316 **References:**

- 317 1. Yahalom G, Schwartz R, Schwammenthal Y, et al. Chronic kidney disease and  
318 clinical outcome in patients with acute stroke. *Stroke*. 2009;40(4):1296-1303.
- 319 2. Ovbiagele B, Schwamm LH, Smith EE, et al. Patterns of care quality and prognosis  
320 among hospitalized ischemic stroke patients with chronic kidney disease. *J Am Heart*  
321 *Assoc*. 2014;3(3):e000905.
- 322 3. Zheng D, Sato S, Arima H, et al. Estimated GFR and the Effect of Intensive Blood  
323 Pressure Lowering After Acute Intracerebral Hemorrhage. *Am J Kidney Dis*.  
324 2016;68(1):94-102.
- 325 4. Ovbiagele B, Bath PM, Cotton D, Sha N, Diener HC; PROFESS Investigators. Low  
326 glomerular filtration rate, recurrent stroke risk, and effect of renin-angiotensin system  
327 modulation. *Stroke*. 2013;44(11):3223-3225.
- 328 5. Luo Y, Wang X, Matsushita K, et al. Associations between estimated glomerular  
329 filtration rate and stroke outcomes in diabetic versus nondiabetic patients. *Stroke*.  
330 2014;45(10):2887-2893.
- 331 6. Kim HJ, Kim JK, Oh MS, Kim SG, Yu KH, Lee BC. A low baseline glomerular  
332 filtration rate predicts poor clinical outcome at 3 months after acute ischemic stroke. *J*  
333 *Clin Neurol*. 2015;11(1):73-79.
- 334 7. Yeh SJ, Jeng JS, Tang SC, et al. Low estimated glomerular filtration rate is associated  
335 with poor outcomes in patients who suffered a large artery atherosclerosis stroke.  
336 *Atherosclerosis*. 2015;239(2):328-334.
- 337 8. Wang X, Wang Y, Wang C, et al. Association between estimated glomerular filtration  
338 rate and clinical outcomes in patients with acute ischaemic stroke: results from China  
339 National Stroke Registry. *Age Ageing*. 2014;43(6):839-845.

- 1  
2  
3 340 9. Yang J, Arima H, Zhou J, et al. Effects of low estimated glomerular filtration rate on  
4  
5 341 outcomes after stroke: a hospital-based stroke registry in China. *Eur J Neurol*.  
6  
7 342 2014;21(8):1143-1145.
- 8  
9  
10 343 10. Luo Y, Wang X, Wang Y, et al. Association of glomerular filtration rate with  
11  
12 344 outcomes of acute stroke in type 2 diabetic patients: results from the China National  
13  
14 345 Stroke Registry. *Diabetes Care*. 2014;37(1):173-179.
- 15  
16  
17 346 11. Turin TC, James MT, Jun M, et al. Short-term change in eGFR and risk of  
18  
19 347 cardiovascular events. *J Am Heart Assoc*. 2014;3(5):e000997.
- 20  
21 348 12. Levey AS, de Jong PE, Coresh J, et al. The definition, classification, and prognosis of  
22  
23 349 chronic kidney disease: a KDIGO Controversies Conference report. *Kidney Int*.  
24  
25 350 2011;80(1):17-28.
- 26  
27  
28 351 13. Kwok CS, Skinner J, Metcalf AK, Potter JF, Myint PK. Prior antiplatelet or  
29  
30 352 anticoagulant therapy and mortality in stroke. *Heart*. 2012;98:712-717.
- 31  
32  
33 353 14. Bettencourt-Silva J, De La Iglesia B, Donell S, Rayward-Smith V. On creating a  
34  
35 354 patient-centric database from multiple hospital information systems. *Methods Inf Med*  
36  
37 355 2012; 51:210–220.
- 38  
39  
40 356 15. Levey AS, Stevens LA, Schmid CH, et al. A new equation to estimate glomerular  
41  
42 357 filtration rate. *Ann Intern Med* 2009;150: 604-612.
- 43  
44  
45 358 16. United Kingdom Census 2011. Office of National Statistics.  
46  
47 359 <http://www.ons.gov.uk/census/2011census> (Accessed May 20, 2018)
- 48  
49 360 17. Farrell B, Godwin J, Richards S, Warlow C. The United Kingdom transient ischemic  
50  
51 361 attack (UK-TIA) aspirin trial: final results. *J Neurol Neurosurg Psychiatry*  
52  
53 362 1991;54:1044e54.
- 54  
55  
56 363 18. Fine J, Gray R. A Proportional Hazards Model for the Subdistribution of a Competing  
57  
58 364 Risk. *Journal of the American Statistical Association* 1999, 94(446), 496-509.
- 59  
60

- 1  
2  
3 365 19. Hosmer DW, Hosmer T, Le Cessie S, Lemeshow S. A comparison of goodness-of-fit  
4  
5 366 tests for the logistic regression model. *Stat Med.* 1997 May 15;16(9):965-980.  
6  
7  
8 367 20. Turin TC, Coresh J, Tonelli M, et al. Change in the estimated glomerular filtration  
9  
10 368 rate over time and risk of all-cause mortality. *Kidney Int.* 2013;83(4):684-691.  
11  
12 369 21. Royston P, White I. Multiple Imputation by Chained Equations (MICE):  
13  
14 370 Implementation in Stata. *Journal of Statistical Software* 2011, 45(4), 1 - 20.  
15  
16  
17 371 22. Ovbiagele B. Chronic kidney disease and risk of death during hospitalization for  
18  
19 372 stroke. *J Neurol Sci.* 2011;301(2):46-50.  
20  
21 373 23. Miyagi T, Koga M, Yamagami H, et al. Reduced estimated glomerular filtration rate  
22  
23 374 affects outcomes 3 months after intracerebral hemorrhage: the stroke acute  
24  
25 375 management with urgent risk-factor assessment and improvement-intracerebral  
26  
27 376 hemorrhage study. *J Stroke Cerebrovasc Dis.* 2015;24(1):176-182.  
28  
29  
30 377 24. Vart P, Grams ME. Measuring and Assessing Kidney Function. *Semin Nephrol.*  
31  
32 378 2016;36(4):262-272.  
33  
34  
35 379 25. Traynor J, Mactier R, Geddes CC, Fox JG. How to measure renal function in clinical  
36  
37 380 practice. *BMJ.* 2006;333:733-737.  
38  
39  
40  
41  
42  
43  
44  
45  
46  
47  
48  
49  
50  
51  
52  
53  
54  
55  
56  
57  
58  
59  
60



1  
2  
3 381 **Figure 1: HRs (95% CIs) for: A) all-cause mortality; B) combined end point, and ORs (95% CIs) for; C) prolonged hospital stay and;**  
4  
5 382 **D) stroke disability at discharge, according to the level of eGFR categorized by 10 mL/min/1.73 m<sup>2</sup> difference, with eGFR of 90-99**  
6  
7 383 **mL/min/1.73 m<sup>2</sup> serving as the reference group**  
8  
9

10  
11 384 **A) All-cause mortality**

**B) Combined end point**

12 385

13 386

14 387

15 388

16 389

17 390

18 391

19  
20  
21  
22 392 **C) Prolonged hospital stay**

**D) Stroke disability at hospital**

23

24

25

26

27

28

29

30

31

32

33

34

35

36

37

38

39

40

41

42

43

44

45

46

393 **Table 1: Characteristics of sample population by level of estimated glomerular filtration rate**

	Overall (N=10,329)	Level of estimated glomerular filtration rate (mL/min/1.73m <sup>2</sup> )						p
		≥90 (n=1,106)	60-89 (4,866)	45-59 (2,177)	30-44 (1,427)	15-29 (n=596)	<15 (n=157)	
<b>Age (years)</b>	77.8 ± 11.9	59.7 ± 12.8	77.1 ± 10.4	81.8 ± 8.9	84.2 ± 7.9	83.8 ± 8.5	78.5 ± 12.1	<0.001
<b>Sex (male)</b>	47.5 (4,902)	62.8 (731)	48.9 (2,425)	42.6 (938)	38.5 (556)	40.8 (247)	50.3 (79)	<0.001
<b>History of stroke*, %(n)</b>	24.6 (2,474)	16.3 (173)	23.2 (1,100)	26.8 (572)	28.2 (394)	33.4 (196)	26.5 (39)	<0.001
<b>Stroke type (Ischemic), %(n)</b>	86.6 (8,942)	80.4 (889)	84.9 (4,135)	88.3 (1,923)	90.7 (1,294)	93.3 (556)	92.4 (145)	<0.001
<b>OSCP classification*</b>								<0.001
-TACS	20.9 (2,028)	14.5 (149)	19.7 (895)	22.8 (470)	23.8 (322)	27.5 (157)	23.8 (35)	
-PACS	33.2 (3,215)	29.1 (299)	33.1 (1,503)	34.9 (720)	35.3 (478)	31.2 (178)	25.2 (37)	
-LACS	22.6 (2,188)	24.7 (253)	23.6 (1,072)	21.9 (452)	20.9 (284)	18.3 (104)	15.7 (23)	
-POCS	16.8 (1,629)	24.3 (249)	17.6 (799)	14.3 (294)	13.4 (181)	14.4 (82)	16.3 (24)	
-Other	6.6 (638)	7.3 (76)	5.9 (269)	6.1 (127)	6.5 (89)	8.5 (49)	19.1 (28)	
<b>Pre-stroke mRS*</b>								
-0	63.3 (6,113)	76.7 (779)	67.8 (3,121)	60.7 (1,236)	51.9 (677)	42.1 (230)	39.7 (50)	<0.001
-1	12.1 (1,167)	9.9 (103)	12.3 (566)	11.2 (228)	12.8 (167)	15.4 (84)	15.1 (19)	
-2	8.2 (794)	5.7 (59)	7.4 (341)	8.9 (182)	10.1 (131)	12.1 (66)	11.9 (15)	
-3	9.5 (918)	4.4 (46)	7.4 (339)	11.4 (232)	14.0 (183)	17.8 (97)	16.7 (23)	
-4	4.8 (466)	2.1 (22)	3.7 (171)	4.9 (100)	8.3 (108)	10.3 (56)	7.1 (9)	
-5	2.0 (197)	1.3 (13)	1.4 (63)	2.9 (58)	2.9 (38)	2.4 (13)	9.5 (12)	
<b>Diabetes, %(n)</b>	14.6 (1,505)	11.6 (128)	11.6 (564)	13.4 (291)	15.3 (218)	21.1 (126)	28.0 (44)	<0.001
<b>Hypertension, %(n)</b>	51.8 (5,354)	32.0 (354)	46.2 (2,249)	48.9 (1,064)	52.6 (750)	59.4 (354)	59.9 (94)	<0.001
<b>Dyslipidemia, %(n)</b>	10.3 (1,062)	11.5 (127)	9.8 (478)	8.5 (186)	7.6 (109)	8.1 (48)	9.6 (15)	0.059
<b>Coronary heart disease, %(n)</b>	23.4 (2,417)	9.5 (105)	18.7 (912)	23.3 (507)	29.4 (419)	35.2 (210)	37.6 (59)	<0.001
<b>Heart failure, %(n)</b>	11.8 (1,219)	3.4 (38)	7.5 (365)	12.4 (271)	17.8 (254)	28.0 (167)	27.4 (43)	<0.001
<b>Atrial fibrillation, %(n)</b>	26.9 (2,786)	8.1 (89)	22.6 (1,098)	29.2 (635)	32.4 (463)	36.1 (215)	27.4 (43)	<0.001
<b>Length of hospital stay (days)</b>	8 (4 – 18)	6 (2 – 12)	7 (3 – 16)	10 (4 – 20)	11 (4 – 21)	11 (5 – 23)	8 (3 – 18)	<0.001

394 Abbreviations: OSCP=Oxfordshire Community Stroke Project; TACS: total anterior circulation stroke; PACS: partial anterior circulation stroke; LACS: lacunar stroke; POCS: posterior  
395 circulation stroke; mRS=modified Rankin score  
396 Continuous variables with normal distribution are presented as mean ± standard deviation and non-normal distribution are presented as median (interquartile interval); categorical variables are  
397 presented as percentages (n)  
398 \*253 participants missing information on previous stroke, 631 on OSCP classification and 674 on mRS  
399

400 **Table 2: Clinical outcomes in patients with stroke during follow-up according to eGFR level**

	Level of eGFR (mL/min/1.73m <sup>2</sup> )					
	≥90 (N=10,329) (n=1,106)	60-89 (4,866)	45-59 (2,177)	30-44 (1,427)	15-29 (n=596)	<15 (n=157)
<b>All-cause mortality</b>						
- % (n)	27.0 (299)	45.8 (2229)	57.5 (1251)	69.7 (995)	80.5 (480)	86.6 (136)
- incidence rate (1000-person years)	71 (63 – 80)	143 (138 – 149)	196 (186 – 207)	335 (315 – 357)	563 (514 – 615)	760 (642 – 899)
<b>Recurrent stroke</b>						
- % (n)	7.1 (79)	8.7 (423)	8.8 (192)	8.7 (124)	5.9 (35)	4.5 (7)
- incidence rate (1000-person years)	20 (16 – 24)	28 (26 – 31)	32 (28 – 37)	45 (38 – 53)	43 (31 – 60)	41 (19 – 85)
<b>Myocardial infarction</b>						
- % (n)	1.1 (12)	1.9 (95)	2.5 (55)	2.4 (34)	3.0 (18)	3.2 (5)
- incidence rate (1000-person years)	3.3 (1.9 – 5.6)	7.4 (6.1 – 8.9)	9.9 (7.7 – 12.6)	13 (9 – 18)	24 (15– 37)	28 (12 – 68)
<b>Prolonged hospital stay, % (n)</b>						
- above median (>8 days)	35.0 (387)	45.8 (2,227)	54.4 (1,182)	57.9 (827)	57.9 (345)	49.0 (77)
<b>Stroke disability*†, % (n)</b>						
- Mild (0-1)	46.9 (379)	32.4 (1,074)	22.7 (304)	18.6 (172)	12.0 (48)	14.2 (18)
- Moderate (2-3)	21.6 (175)	23.8 (790)	22.1 (296)	17.8 (165)	14.8 (59)	14.9 (19)
- Severe (4-6)	31.5 (255)	43.8 (1,454)	55.3 (742)	63.6 (589)	73.2 (292)	70.9 (90)

401 Abbreviation: eGFR=estimated glomerular filtration rate

402 \*assessed using modified Rankin score; †N=6,921 as 3,408 patients were missing information on stroke disability at discharge

403 **Table 3: Association of eGFR at admission with clinical outcomes during follow-up in patients with stroke**

404 Abbreviation: eGFR=estimated glomerular filtration rate. Missing information was handled with multiple imputation.

	Level of eGFR (mL/min/1.73m <sup>2</sup> )					
	≥90 (n=1,106)	60-89 (4,866)	45-59 (2,177)	30-44 (1,427)	15-29 (n=596)	<15 (n=157)
	<b>Hazard ratio (95% Confidence interval)<sup>†</sup></b>					
<b>All-cause mortality</b>						
-Model 1	Ref.	0.81 (0.71 – 0.93)	0.87 (0.75 – 1.00)	1.18 (1.02 – 1.37)	1.56 (1.33 – 1.84)	2.64 (2.14 – 3.26)
-Model 2	Ref.	0.91 (0.80 – 1.04)	0.96 (0.83 – 1.11)	1.23 (1.06 – 1.43)	1.54 (1.31 – 1.82)	2.38 (1.91 – 2.97)
<b>&lt;30 day</b>						
-Model 1	Ref.	0.66 (0.55 – 0.80)	0.69 (0.56 – 0.84)	0.84 (0.67 – 1.04)	1.19 (0.95 – 1.51)	2.15 (1.61 – 2.87)
-Model 2	Ref.	0.77 (0.64 – 0.94)	0.80 (0.64 – 0.98)	1.00 (0.81 – 1.25)	1.26 (0.99 – 1.60)	2.19 (1.62 – 2.96)
<b>30-365 day</b>						
-Model 1	Ref.	0.74 (0.57 – 0.96)	0.82 (0.62 – 1.08)	1.08 (0.81 – 1.43)	1.53 (1.13 – 2.08)	2.65 (1.75 – 4.00)
-Model 2	Ref.	0.81 (0.63 – 1.05)	0.87 (0.66 – 1.15)	1.13 (0.85 – 1.51)	1.43 (1.05 – 1.96)	2.47 (1.63 – 3.75)
<b>Over 365 day</b>						
-Model 1	Ref.	1.19 (0.92 – 1.54)	1.29 (0.98 – 1.69)	1.71 (1.28 – 2.27)	2.42 (1.76 – 3.34)	3.45 (2.16 – 5.52)
-Model 2	Ref.	1.21 (0.94 – 1.57)	1.27 (0.97 – 1.68)	1.67 (1.25 – 2.23)	2.10 (1.51 – 2.91)	2.64 (1.63 – 4.28)
<b>Recurrent stroke</b>						
-Model 1	Ref.	1.11 (0.85 – 1.44)	1.13 (0.83 – 1.53)	1.43 (1.03 – 2.00)	1.25 (0.82 – 1.92)	1.23 (0.55 – 2.74)
-Model 2	Ref.	1.05 (0.81 – 1.38)	1.06 (0.77 – 1.43)	1.33 (0.95 – 1.87)	1.14 (0.74 – 1.77)	1.21 (0.54 – 2.69)
<b>Myocardial infarction</b>						
-Model 1	Ref.	1.46 (0.81 – 2.63)	1.74 (0.91 – 3.32)	2.10 (1.05 – 4.21)	3.60 (1.70 – 7.63)	4.74 (1.65 – 13.65)
-Model 2	Ref.	1.33 (0.74 – 2.40)	1.56 (0.82 – 2.97)	1.82 (0.91 – 3.63)	2.96 (1.37 – 6.39)	4.06 (1.40 – 11.75)
	<b>Odds ratio (95% Confidence interval)</b>					
<b>Prolonged hospital stay*</b>						
- Model 1	Ref.	0.88 (0.75 – 1.04)	1.14 (0.94 – 1.37)	1.35 (1.09 – 1.67)	1.63 (1.23 – 2.15)	2.12 (1.28 – 3.51)
- Model 2	Ref.	0.95 (0.81 – 1.13)	1.19 (0.97 – 1.49)	1.44 (1.15 – 1.80)	1.60 (1.20 – 2.13)	1.99 (1.19 – 3.35)
<b>Stroke disability</b>						
- Model 1	Ref.	0.84 (0.74 – 0.97)	1.03 (0.88 – 1.21)	1.24 (1.03 – 1.48)	1.94 (1.56 – 2.41)	3.73 (2.57 – 5.40)
- Model 2	Ref.	0.98 (0.85 – 1.13)	1.14 (0.94 – 1.38)	1.34 (1.09 – 1.66)	1.93 (1.54 – 2.44)	3.47 (2.32 – 5.21)

405 <sup>†</sup>For recurrent stroke and myocardial infarction it is sub-distribution hazard ratio from competing risk regression analysis

406 \*N=8,054, as patients who were dead at discharge were excluded (n=2,275)

407 Model 1: Age and sex

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46

408 Model 2: Model 1 + history of stroke, stroke type, pre-stroke modified Rankin score, stroke severity, diabetes, hypertension, dyslipidemia, atrial fibrillation, heart failure,  
409 coronary heart disease, pneumonia  
410

For Peer Review



411 **Table 4: Association of change in eGFR during hospital stay with clinical outcomes in patients with stroke**

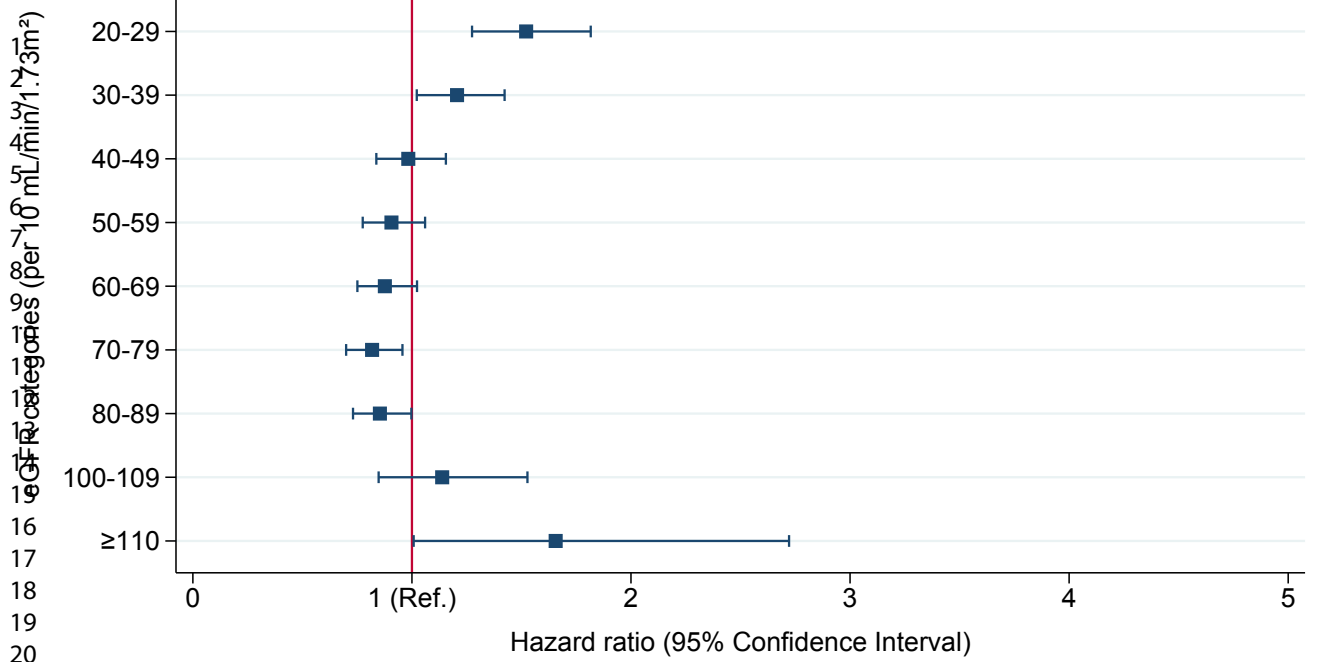
	<b>Change in eGFR during hospital stay</b>				
	<b>Decline &gt;20%</b>	<b>Decline 5-20%</b>	<b>Within 5% of eGFR at admission</b>	<b>Increase 5-20%</b>	<b>Increase &gt;20%</b>
	<b>Hazard ratio (95% Confidence interval)*</b>				
<b>All-cause mortality (N= 8,021)<sup>†</sup></b>	484	1,296	3,721	1,263	1,257
<b>-Events, %(n)</b>	52.7 (255)	38.0 (493)	27.9 (1,041)	46.5 (587)	56.2 (706)
-Model 1	1.52 (1.32 – 1.74)	1.17 (1.05 – 1.30)	Ref.	1.40 (1.27 – 1.56)	1.58 (1.43 – 1.76)
-Model 2	1.56 (1.36 – 1.79)	1.17 (1.05 – 1.30)	Ref.	1.47 (1.32 – 1.62)	1.71 (1.55 – 1.88)
<b>Recurrent stroke (N=7,928)</b>	483	1,292	3,637	1,260	1,256
<b>-Events, %(n)</b>	13.2 (64)	10.5 (135)	11.3 (412)	10.9 (138)	7.4 (93)
-Model 1	1.11 (0.85 – 1.45)	0.85 (0.70 – 1.03)	Ref.	0.98 (0.80 – 1.19)	0.69 (0.55 – 0.87)
-Model 2	1.08 (0.82 – 1.41)	0.83 (0.69 – 1.02)	Ref.	0.97 (0.80 – 1.18)	0.68 (0.54 – 0.86)
<b>Myocardial infarction (N=7,937)</b>	483	1,293	3,643	1,261	1,257
<b>-Events, %(n)</b>	4.6 (22)	2.8 (36)	2.9 (107)	2.6 (33)	3.1 (39)
-Model 1	1.68 (1.10 – 2.57)	0.91 (0.63 – 1.32)	Ref.	0.93 (0.63 – 1.36)	1.22 (0.85 – 1.74)
-Model 2	1.66 (1.08 – 2.54)	0.92 (0.64 – 1.34)	Ref.	0.98 (0.67 – 1.45)	1.34 (0.93 – 1.93)
	<b>Odds ratio (95% Confidence interval)</b>				
<b>Stroke disability (N=6,921)</b>	529	796	3,511	963	1,122
<b>-Events (severe), %(n)</b>	68.1 (360)	37.6 (299)	40.7 (1,430)	53.4 (514)	72.9 (819)
-Model 1	2.06 (1.62 – 2.61)	1.47 (1.26 – 1.71)	Ref.	2.79 (2.39 – 3.26)	4.89 (4.13 – 5.78)
-Model 2	1.93 (1.52 – 2.46)	1.38 (1.18 – 1.61)	Ref.	2.54 (2.18 – 2.97)	4.14 (3.39 – 4.91)

412 Abbreviation: eGFR=estimated glomerular filtration rate. Missing information was handled with multiple imputation

413 \*sub-distribution hazard ratios for recurrent stroke and myocardial infarction from competing risk regression analysis, <sup>†</sup>excluded patients who were dead at discharge

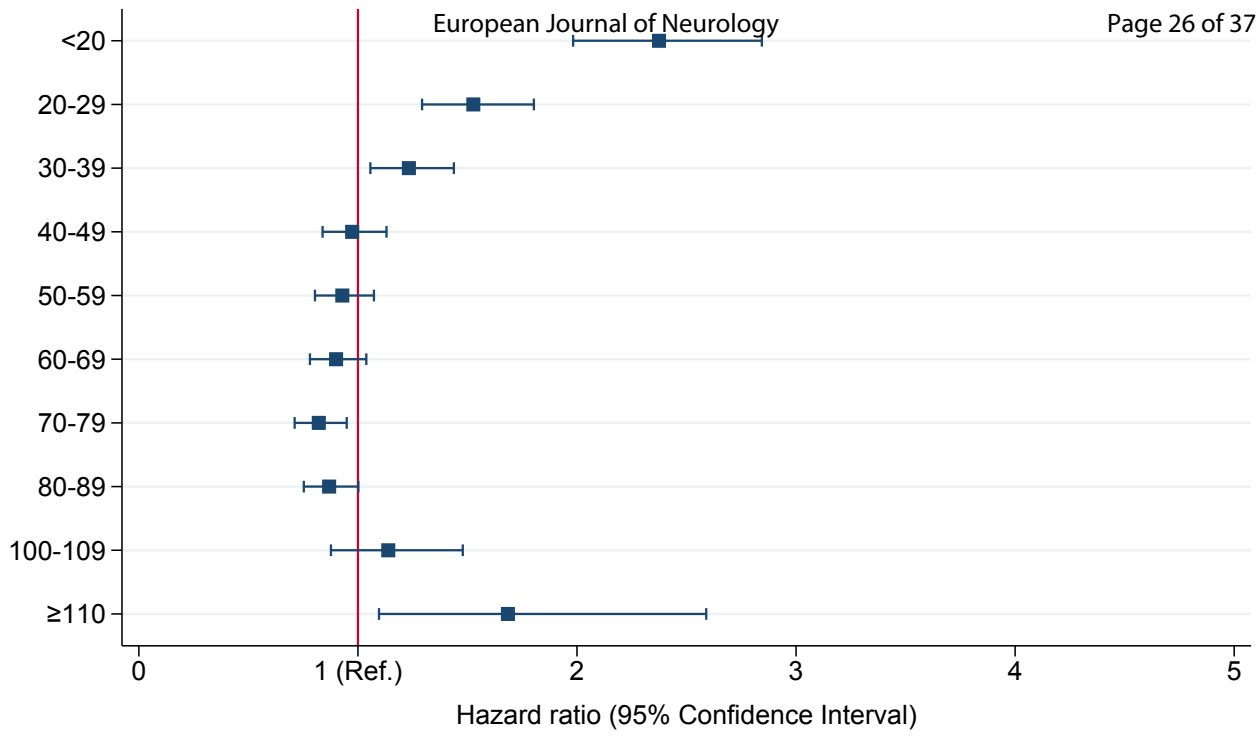
414 Model 1: Age, sex and length of hospital stay

415 Model 2: Model 1 + history of stroke, stroke type, pre-stroke modified Rankin score, stroke severity, diabetes, hypertension, dyslipidemia, atrial fibrillation, heart failure,  
416 coronary heart disease, pneumonia

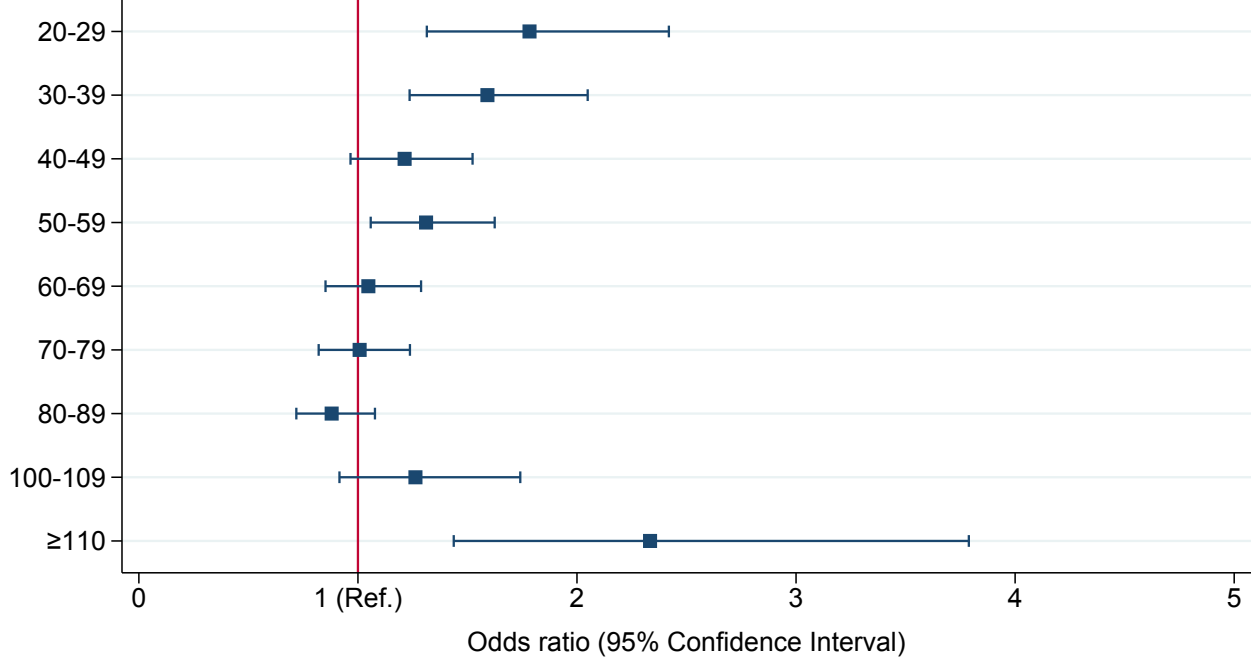


20  
19  
18  
17  
16  
15  
14  
13  
12  
11  
10  
9  
8  
7  
6  
5  
4  
3  
2  
1  
0

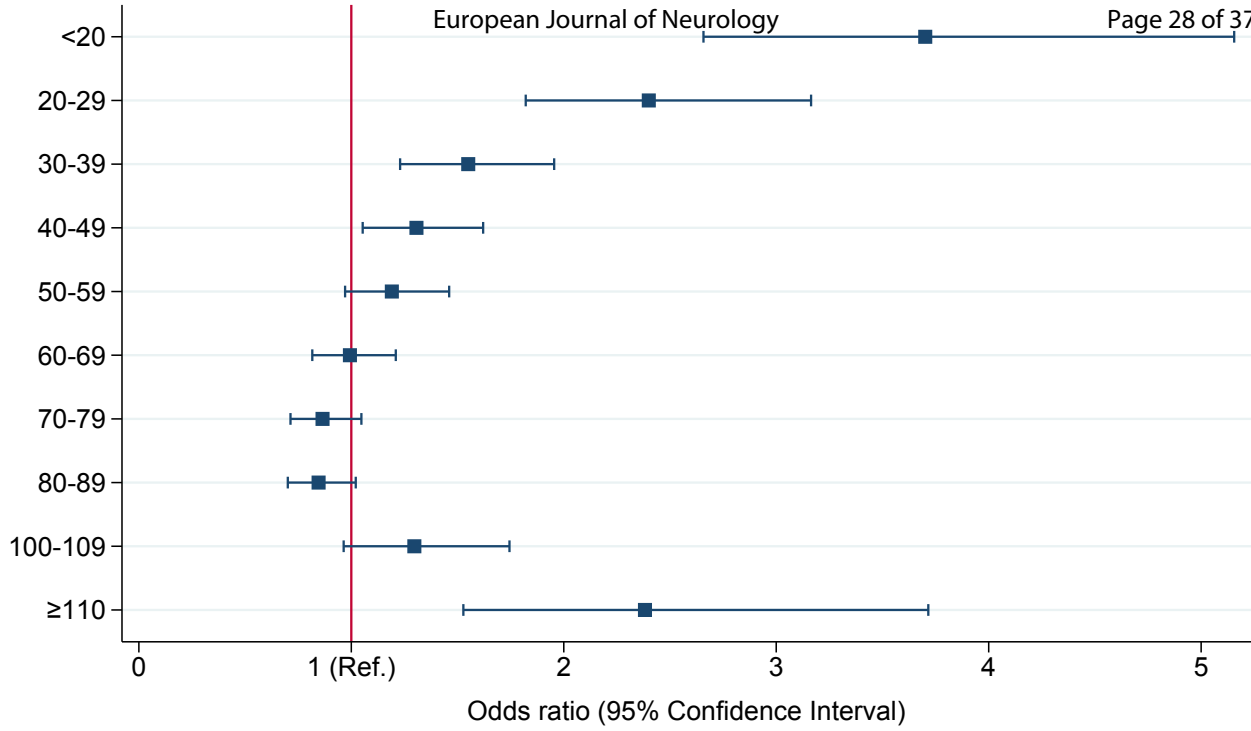
20  
19  
18  
17  
16  
15  
14  
13  
12  
11  
10  
9  
8  
7  
6  
5  
4  
3  
2  
1  
0



1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20



20  
19  
18  
17  
16  
15  
14  
13  
12  
11  
10  
9  
8  
7  
6  
5  
4  
3  
2  
1  
0



Odds ratio (95% Confidence Interval)

## Supplemental material

### Estimated glomerular filtration rate and risk of poor outcomes after stroke

#### Contents

**eTable 1:** Association between estimated glomerular filtration rate and all-cause mortality in stroke patients stratified by high risk groups

**eTable 2:** Association between estimated glomerular filtration rate and composite outcome (all-cause mortality, recurrent stroke and myocardial infarction) in stroke patients stratified by high risk groups

**eTable 3:** Association between estimated glomerular filtration rate and prolonged hospital stay in stroke patients stratified by high risk groups

**eTable 4:** Association between estimated glomerular filtration rate and disability at discharge in stroke patients stratified by high risk groups

**eTable 5:** Results after adjusting for estimated glomerular filtration rate at admission for the association between change in estimated glomerular filtration rate during hospital stay and clinical outcomes in patients with stroke

**eTable 6:** Results after using a different cut-off of change in estimated glomerular filtration rate during hospital stay for its association with clinical outcomes in patients with stroke

**eFigure 1:** Proportional hazard assumption test for all-cause mortality

**Table S1: Association between estimated glomerular filtration rate and all-cause mortality in stroke patients stratified by high risk groups**

(N=10,329)	Level of estimated glomerular filtration rate (mL/min/1.73m <sup>2</sup> )						<i>p</i> for interaction
	≥90 (n=1,106)	60-89 (4,866)	45-59 (2,177)	30-44 (1,427)	15-29 (n=596)	<15 (n=157)	
<b>Hazard ratio (95% Confidence interval)*</b>							
<b>Diabetes</b>							
- No	Ref.	0.85 (0.74 – 0.98)	0.90 (0.77 – 1.05)	1.14 (0.97 – 1.34)	1.47 (1.23 – 1.76)	2.34 (1.83 – 2.99)	0.64
- Yes	Ref.	1.17 (0.82 – 1.68)	1.13 (0.77 – 1.64)	1.50 (1.02 – 2.21)	1.76 (1.17 – 2.65)	2.55 (1.59 – 4.09)	
<b>Hypertension</b>							
- No	Ref.	0.81 (0.68 – 0.95)	0.80 (0.67 – 0.97)	1.00 (0.82 – 1.21)	1.42 (1.13 – 1.78)	1.85 (1.34 – 2.57)	0.17
- Yes	Ref.	1.07 (0.85 – 1.35)	1.17 (0.92 – 1.48)	1.50 (1.18 – 1.92)	1.75 (1.35 – 2.26)	3.07 (2.25 – 4.21)	

\*results are from fully adjusted model (adjusted for Age, sex, history of stroke, pre-stroke modified Rankin score, stroke severity, diabetes, hypertension, dyslipidemia, heart failure, arterial fibrillation, coronary heart disease, pneumonia)

**Table S2: Association between estimated glomerular filtration rate and composite outcome (all-cause mortality, recurrent stroke and myocardial infarction) in stroke patients stratified by high risk groups**

(N=10,329)	Level of estimated glomerular filtration rate (mL/min/1.73m <sup>2</sup> )						p for interaction
	≥90 (n=1,106)	60-89 (4,866)	45-59 (2,177)	30-44 (1,427)	15-29 (n=596)	<15 (n=157)	
<b>Hazard ratio (95% Confidence interval)*</b>							
<b>Diabetes</b>							
- No	Ref.	0.85 (0.74 – 0.96)	0.89 (0.77 – 1.03)	1.11 (0.96 – 1.29)	1.42 (1.20 – 1.68)	2.19 (1.72 – 2.79)	0.66
- Yes	Ref.	1.17 (0.84 – 1.63)	1.17 (0.82 – 1.65)	1.54 (1.08 – 2.20)	1.83 (1.25 – 2.68)	2.37 (1.51 – 3.71)	
<b>Hypertension</b>							
- No	Ref.	0.84 (0.72 – 0.98)	0.85 (0.72 – 1.01)	1.07 (0.89 – 1.29)	1.49 (1.19 – 1.85)	1.89 (1.37 – 2.61)	0.19
- Yes	Ref.	0.96 (0.79 – 1.17)	1.03 (0.83 – 1.26)	1.29 (1.04 – 1.60)	1.52 (1.21 – 1.91)	2.50 (1.87 – 3.33)	

\*results are from fully adjusted model (adjusted for Age, sex, history of stroke, pre-stroke modified Rankin score, stroke severity, diabetes, hypertension, dyslipidemia, heart failure, arterial fibrillation, coronary heart disease, pneumonia)



**Table S3: Association between estimated glomerular filtration rate and prolonged hospital stay in stroke patients stratified by high risk groups**

(N=10,329)	Level of estimated glomerular filtration rate (mL/min/1.73m <sup>2</sup> )						p for interaction
	≥90 (n=1,106)	60-89 (4,866)	45-59 (2,177)	30-44 (1,427)	15-29 (n=596)	<15 (n=157)	
<b>Hazard ratio (95% Confidence interval)*</b>							
<b>Diabetes</b>							
- No	Ref.	0.94 (0.78 – 1.13)	1.22 (0.99 – 1.51)	1.43 (1.12 – 1.82)	1.67 (1.21 – 2.31)	2.59 (1.35 – 4.99)	0.75
- Yes	Ref.	1.10 (0.69 – 1.75)	1.28 (0.77 – 2.14)	1.61 (0.92 – 2.79)	1.47 (0.77 – 2.82)	1.55 (0.62 – 3.87)	
<b>Hypertension</b>							
- No	Ref.	1.07 (0.86 – 1.34)	1.37 (1.04 – 1.79)	1.80 (1.30 – 2.49)	1.42 (0.89 – 2.26)	2.91 (1.11 – 7.58)	0.47
- Yes	Ref.	0.81 (0.62 – 1.06)	1.05 (0.79 – 1.41)	1.15 (0.84 – 1.58)	1.53 (1.05 – 2.24)	1.64 (0.87 – 3.09)	

\*results are from fully adjusted model (adjusted for Age, sex, history of stroke, pre-stroke modified Rankin score, stroke severity, diabetes, hypertension, dyslipidemia, heart failure, arterial fibrillation, coronary heart disease, pneumonia)

**Table S4: Association between estimated glomerular filtration rate and disability at discharge in stroke patients stratified by high risk groups**

(N=10,329)	Level of estimated glomerular filtration rate (mL/min/1.73m <sup>2</sup> )						p for interaction
	≥90 (n=1,106)	60-89 (4,866)	45-59 (2,177)	30-44 (1,427)	15-29 (n=596)	<15 (n=157)	
<b>Odds ratio (95% Confidence interval)*</b>							
<b>Diabetes</b>							
- No	Ref.	0.89 (0.75 – 1.07)	1.13 (0.92 – 1.40)	1.41 (1.12 – 1.78)	2.41 (1.77 – 3.28)	2.83 (1.71 – 4.69)	0.49
- Yes	Ref.	1.10 (0.69 – 1.75)	1.28 (0.77 – 2.14)	1.61 (0.92 – 2.79)	1.47 (0.77 – 2.82)	1.55 (0.62 – 3.87)	
<b>Hypertension</b>							
- No	Ref.	1.07 (0.86 – 1.34)	1.37 (1.04 – 1.79)	1.80 (1.30 – 2.49)	1.42 (0.89 – 2.26)	2.91 (1.11 – 7.58)	0.65
- Yes	Ref.	0.80 (0.64 – 0.99)	1.10 (0.83 – 1.45)	1.51 (1.09 – 2.08)	2.49 (1.57 – 3.96)	3.75 (1.77 – 7.92)	

\*P<0.05 for interaction for all subgroups in fully adjusted model (adjusted for Age, sex, history of stroke, pre-stroke modified Rankin score, stroke severity, diabetes, hypertension, dyslipidemia, heart failure, arterial fibrillation, coronary heart disease, pneumonia)

**Table S5: Results after adjusting for estimated glomerular filtration rate at admission for the association between change in estimated glomerular filtration rate during hospital stay and clinical outcomes in patients with stroke**

	Change in eGFR during hospital stay				
	Decline >20%	Decline 5-20%	Within 5% of eGFR at admission	Increase 5-20%	Increase >20%
	<b>Hazard ratio (95% Confidence interval)*</b>				
<b>All-cause mortality (N= 8,021)<sup>†</sup></b>	484	1,296	3,721	1,263	1,257
- Events, % (n)	52.7 (255)	38.0 (493)	27.9 (1,041)	46.5 (587)	56.2 (706)
- Model 1	1.58 (1.37 – 1.81)	1.23 (1.10 – 1.37)	Ref.	1.52 (1.37 – 1.68)	1.72 (1.55 – 1.91)
- Model 2	1.50 (1.31 – 1.73)	1.19 (1.06 – 1.32)	Ref.	1.42 (1.28 – 1.58)	1.52 (1.37 – 1.69)
<b>Recurrent stroke (N=7,928)</b>	483	1,292	3,637	1,260	1,256
- Events, % (n)	13.2 (64)	10.5 (135)	11.3 (412)	10.9 (138)	7.4 (93)
- Model 1	1.09 (0.83 – 1.42)	0.85 (0.70 – 1.03)	Ref.	0.96 (0.79 – 1.16)	0.63 (0.50 – 0.80)
- Model 2	1.09 (0.78 – 1.53)	0.87 (0.73 – 1.04)	Ref.	0.92 (0.76 – 1.11)	0.72 (0.55 – 0.93)
<b>Myocardial infarction (N=7,937)</b>	483	1,293	3,643	1,261	1,257
- Events, % (n)	4.6 (22)	2.8 (36)	2.9 (107)	2.6 (33)	3.1 (39)
- Model 1	1.62 (1.06 – 2.48)	0.91 (0.63 – 1.32)	Ref.	0.88 (0.60 – 1.29)	0.97 (0.67 – 1.41)
- Model 2	1.60 (1.04 – 2.46)	0.93 (0.64 – 1.34)	Ref.	0.95 (0.65 – 1.40)	1.13 (0.77 – 1.66)
	<b>Odds ratio (95% Confidence interval)</b>				
<b>Prolonged hospital stay (N=8,021)<sup>†</sup></b>	491	1,293	3,656	1,264	1,264
- Events, % (n)	68.2 (335)	57.9 (749)	29.5 (1,080)	67.9 (859)	82.8 (1,046)
- Model 1	4.58 (3.72 – 5.63)	3.25 (2.84 – 3.72)	Ref.	4.96 (4.31 – 5.71)	11.08 (9.30 – 13.20)
- Model 2	4.49 (3.63 – 5.56)	3.27 (2.85 – 3.75)	Ref.	4.53 (3.92 – 5.25)	9.17 (7.67 – 10.97)
<b>Stroke disability (N=6,921)</b>	529	796	3,511	963	1,122
- Events (severe), % (n)	68.1 (360)	37.6 (299)	40.7 (1,430)	53.4 (514)	72.9 (819)
- Model 1	2.17 (1.70 – 2.79)	1.50 (1.27 – 1.77)	Ref.	2.97 (2.52 – 3.49)	6.16 (5.09 – 7.46)
- Model 2	2.12 (1.64 – 2.75)	1.48 (1.24 – 1.75)	Ref.	2.62 (2.21 – 3.11)	5.03 (4.11 – 6.15)

\*sub-distribution hazard ratios for recurrent stroke and myocardial infarction from competing risk regression analysis, <sup>†</sup>excluded patients who were dead at discharge

Model 1: Age, sex and length of hospital stay

Model 2: Model 1 + history of stroke, stroke type, pre-stroke modified Rankin score, stroke severity, diabetes, hypertension, dyslipidemia, atrial fibrillation, heart failure, coronary heart disease, pneumonia

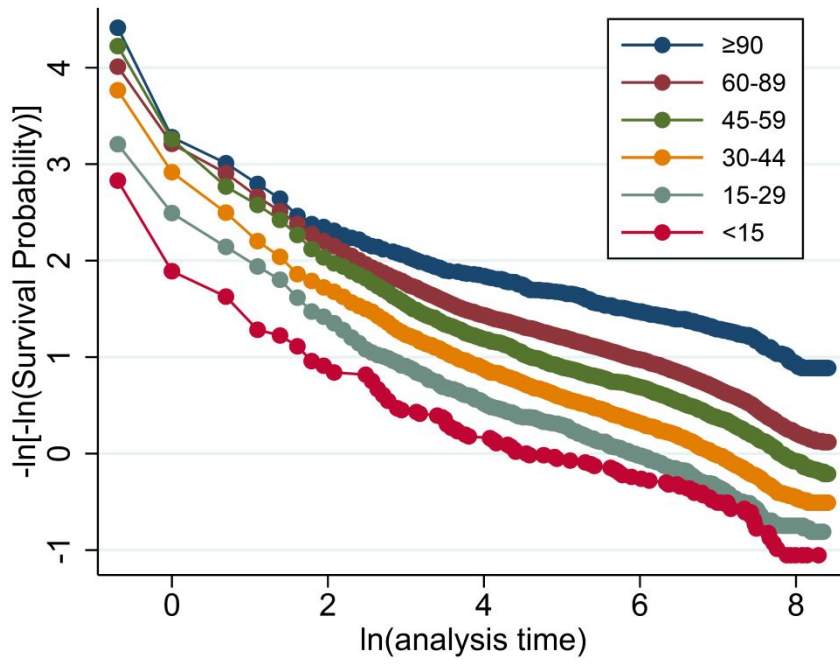
**Table S6: Results after using a different cut-off of change in estimated glomerular filtration rate during hospital stay for its association with clinical outcomes in patients with stroke**

	Change in eGFR during hospital stay				
	Decline >25%	Decline 5-25%	Within 5% of eGFR at admission	Increase 5-25%	Increase >25%
	<b>Hazard ratio (95% Confidence interval)*</b>				
<b>All-cause mortality (N= 8,021)<sup>†</sup></b>	296	1,484	3,721	1,506	1,014
- Events, % (n)	53.4 (158)	39.7 (590)	27.9 (1,041)	47.1 (710)	57.5 (583)
- Model 1	1.63 (1.38 – 1.93)	1.27 (1.15 – 1.41)	Ref.	1.55 (1.41 – 1.71)	2.01 (1.82 – 2.23)
- Model 2	1.53 (1.29 – 1.81)	1.22 (1.11 – 1.36)	Ref.	1.43 (1.30 – 1.58)	1.75 (1.58 – 1.95)
<b>Recurrent stroke (N=7,928)<sup>†</sup></b>	295	1,480	3,637	1,503	1,013
- Events, % (n)	12.9 (38)	10.9 (161)	11.3 (412)	10.4 (156)	7.4 (75)
- Model 1	1.14 (0.81 – 1.60)	0.88 (0.74 – 1.06)	Ref.	0.92 (0.77 – 1.11)	0.73 (0.57 – 0.94)
- Model 2	1.06 (0.96 – 1.17)	0.83 (0.69 – 1.02)	Ref.	0.97 (0.80 – 1.18)	0.68 (0.58 – 0.95)
<b>Myocardial infarction (N=7,937)<sup>†</sup></b>	295	1,481	3,643	1,504	1,014
- Events, % (n)	3.4 (10)	3.2 (48)	2.9 (107)	2.7 (40)	3.2 (32)
- Model 1	1.58 (1.03 – 2.48)	1.00 (0.71 – 1.41)	Ref.	0.89 (0.62 – 1.28)	1.21 (0.81 – 1.81)
- Model 2	1.53 (1.00 – 2.45)	0.93 (0.64 – 1.34)	Ref.	0.95 (0.65 – 1.40)	1.13 (0.77 – 1.66)
	<b>Odds ratio (95% Confidence interval)</b>				
<b>Stroke disability (N=6,921)<sup>†</sup></b>	401	924	3,511	1,138	947
- Events (severe), % (n)	72.6 (291)	39.8 (368)	40.7 (1,430)	56.3 (641)	73.1 (692)
- Model 1	4.06 (3.22 – 5.12)	1.14 (0.99 – 1.30)	Ref.	2.07 (1.81 – 2.36)	3.92 (3.34 – 4.60)
- Model 2	4.01 (3.12 – 5.17)	1.22 (1.05 – 1.42)	Ref.	1.87 (1.62 – 2.17)	3.54 (2.96 – 4.22)

\*sub-distribution hazard ratios for recurrent stroke and myocardial infarction from competing risk regression analysis, <sup>†</sup>excluded patients who were dead at discharge

Model 1: Age, sex and length of stay

Model 2: Model 1 + history of stroke, stroke type, pre-stroke modified Rankin score, stroke severity, diabetes, hypertension, dyslipidemia, atrial fibrillation, heart failure, coronary heart disease, pneumonia

**Figure S1: Proportional hazard assumption test for all-cause mortality**

Peer Review

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31  
32  
33  
34  
35  
36  
37  
38  
39  
40  
41  
42  
43  
44  
45  
46

For Peer Review