

1 **Does adherence to inhaled corticosteroids predict asthma-related outcomes over time? A cohort**
2 **study**

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1 **Abstract**

2 Inhaled corticosteroids (ICS) adherence is important for asthma management. Current evidence on the
3 impact of ICS adherence on outcomes is mostly based on correlational analyses of between-person data.
4 Although it is widely acknowledged that asthma outcomes fluctuate over time, evidence on predictors of
5 within-person change is scarce. We aimed to quantify these fluctuations and the longitudinal relationships
6 between ICS adherence and outcomes at both between- and within-person levels.
7

8 A prospective cohort of persistent asthma patients in France and the United Kingdom (N = 847, age 6–40
9 years) provided 3756 reports over up to 2 years via computer-assisted telephone interviews and text
10 messages on ICS adherence, asthma control, reliever medication use, and exacerbations. We examined
11 adherence–outcome relations via longitudinal models, controlling for confounders, including severity.
12

13 Considerable within-person variability was found for exacerbations (91%), asthma control (59%), and
14 reliever use (52%); 431 (11.5%) reports signalled exacerbations and 2046 (54.5%) poor control. At
15 between-person level, patients with higher average adherence were more likely to report asthma control
16 (OR=1.25 95%CI[1.06–1.47]) but not asthma exacerbations (OR=0.99 [0.87–1.12] or lower reliever use
17 (b=-.0004 [-0.089–0.088]). At within-person level, higher-than-usual adherence was associated with
18 higher concomitant reliever use (b=0.092 [0.053–0.131]) and lower subsequent reliever use (b=-0.047 [-
19 0.005– -0.088]); it was unrelated to asthma control (OR=0.93 [0.84–1.02]) or exacerbations (OR=1.04
20 [0.94–1.16]).

21 Patients maintaining high ICS adherence over time have better asthma control. Temporarily increasing
22 ICS adherence tends to be simultaneous to higher reliever use and reduces reliever use later on. Causes
23 of within-person variation in outcomes require more investigation.
24

25 **Take home message:**

26 Cohort study in routine care finds large variability in asthma outcomes over time. Patients with higher
27 mean ICS adherence report better asthma control. ICS adherence and reliever use tend to increase at
28 the same time and reduce use of relievers later on.

29 **Plain language summary:**

30 Taking inhaled corticosteroids as prescribed is important for managing asthma. For people who suffer
31 from asthma, symptoms vary over time. We wanted to know whether differences between people in how
32 they use their inhalers are related to how they experience symptoms, and also whether their symptoms
33 change when they use their inhalers differently than usual. We found that people who keep taking their
34 inhaled corticosteroids inhalers regularly as prescribed experience less symptoms in the long term. At
35 times when they increase the use of their inhaled corticosteroids they also tend to use their reliever
36 inhalers more, which does not have a large impact on symptoms or exacerbations but tends to result in
37 less reliever use later on.

38 **Keywords:** asthma; adherence to medications; inhaled corticosteroids; asthma control; asthma
39 exacerbations; routine care

1 INTRODUCTION

2 Inhaled corticosteroids (ICS) are a pillar of asthma management (1–3). Clinical guidelines recommend
3 assessing and improving ICS adherence (1), yet current interventions achieve limited benefits (4). For
4 interventions to be effective, they would need to rely on understanding adherence variations in routine
5 care and their effects on outcomes both between persons (do patients who maintain on average higher
6 adherence have better outcomes?) and within persons over time (do patients have better outcomes *when*
7 they improve their adherence compared to their average level?). To date, research evidence has focused
8 on the between-person level, mostly with cross-sectional designs, which have provided inconsistent
9 results (5,6). As asthma is a variable condition, patients may experience substantial changes in
10 symptoms and medication intake across time (7,8), therefore studying adherence as a dynamic time-
11 varying process is more appropriate (9). As cross-sectional studies are known to provide limited insight
12 into causal links, a longitudinal examination of ICS adherence and its relationships with asthma-related
13 outcomes would establish to what degree adherence is important both between and within persons over
14 time.

15 Within a European Commission-funded prospective cohort study in asthma (ASTRO-LAB) conducted in
16 the United Kingdom (UK) and France (10), we investigated ICS adherence variations between and within
17 persons and their relationships with three outcomes commonly used in asthma research: asthma control,
18 reliever use, and asthma exacerbations. Asthma control and exacerbations are considered key endpoints
19 of asthma management and capture distinct types of variation in clinical manifestations of asthma in
20 response to treatment (11). Reliever use, while often used to indicate loss of control or moderate
21 exacerbations (11,12), is also a self-management behaviour influenced by clinical factors as well as
22 psychological factors (13,14), which varies across time and may impact on asthma control and
23 exacerbations (15). We therefore also investigated between- and within-person variations of reliever use
24 and their links with asthma control and exacerbations. We examined three research questions separately
25 for each outcome. First, how was the variation in the asthma-related outcome distributed at between- and
26 within-person levels (RQ1)? Second, were between-person differences in ICS adherence (and reliever
27 use, if applicable) associated with the outcome (RQ2)? Third, were within-person current or prior

1 fluctuations in ICS adherence and reliever use associated with variations in outcomes (i.e. at the same
2 time or at the next measurement) (RQ3).

3 **METHODS**

4 **Study design and participants**

5 The ASTRO-LAB study protocol, including sample size determination and regulatory approvals, was
6 described elsewhere (10). Briefly, we enrolled French and British patients with persistent asthma, meeting
7 the following criteria: 6-40 years old, ≥ 6 months of prescribed use of controller inhalers during a 12-month
8 baseline period (ICS or long-acting beta-agonists [LABA] in monotherapy, or ICS and LABA in distinct
9 inhalers or fixed-dose combinations); no chronic oral corticosteroids (OCS) use (≥ 15 consecutive days 3
10 months before enrollment); no omalizumab use during the baseline period; no concomitant respiratory
11 disease; and no asthma exacerbations 2 months before enrollment.

12 Included participants were followed for 12-24 months via computer-assisted telephone interviews ('regular
13 interviews') every 4 months, and monthly text messages. Adults and teenagers (12-40 years) and parents
14 of children (6-11 years) reported on asthma control, adherence to controller medication, reliever use, and
15 exacerbation occurrence. Monthly text messages inquired about new exacerbations since last contact,
16 and positive answers triggered additional 'post-exacerbation interviews' (see Figure 1 for an overview).

17 Primary care records, i.e. study-specific electronic records completed by participating general
18 practitioners in France and THIN data (16) in the UK, were used to extract socio-demographic information
19 (gender, age, country, primary care practice identifier) and compute asthma severity markers at baseline.

20 For this analysis, we selected patients and reports with ICS inhalers prescribed for regular use, as
21 detailed below.

22 _____

23 INSERT Figure 1 ABOUT HERE

24 _____

25 **Measures**

26 ***Asthma exacerbations***

1 Exacerbations were defined as: OCS courses of ≥ 2 days, unscheduled primary care, or hospital contacts
2 (emergency room visits and/or overnight hospitalizations), or death due to asthma. Interviewers described
3 asthma exacerbations to patients as 'asthma attacks' ('situations when asthma gets worse, for example
4 when someone becomes too breathless to speak, and reliever/normal inhalers do not help enough'),
5 assessed self-reported occurrence, identified dates of any exacerbations and ensured they were not
6 previously recorded.

7 ***Asthma control***

8 Asthma control was measured via the 5-item Asthma Control Questionnaire symptoms-only (ACQ; (17))
9 for adults and teenagers. ACQ-5 assesses presence and intensity of night symptoms, morning symptoms,
10 activity limitations, shortness of breath, and wheezing during the past week; mean scores < 0.75 were
11 coded as 'well-controlled asthma' (18). As the ACQ-5 is not available for children, we adapted for parent
12 report the Royal College of Physicians three questions (RCP3Q; (19), which evaluate night symptoms,
13 day symptoms (cough, wheeze, chest tightness, breathlessness) and activity limitations over the past
14 month; sum scores equal to 0 were considered 'well-controlled asthma' (20).

15 ***Reliever use***

16 To facilitate recall during the interview conversations, we developed and pilot-tested two questions on
17 reliever use (short-acting beta agonists and anticholinergics). We asked how often relievers were used
18 over the past 4 weeks ('every day', 'almost every day', 'once or twice every week' and 'less than once a
19 week'), then more details on the number of inhalations and times which were used to estimate the daily
20 average number of inhalations (Supplementary Online Material 1; SOM1); values were winsorised (range
21 0 – 6) for model convergence.

22 ***ICS adherence***

23 We developed and validated the Medication Intake Survey - Asthma (MIS-A), a new instrument for
24 telephone interviews, which assesses adherence separately for each controller inhaler based on self-
25 reported prescription start date, daily dosage recommendations, and 6 questions on controller use over
26 increasing time periods (1 day to 4 months); percentages of medication used versus prescribed are

1 calculated first for each question and subsequently as composite scores (21). In the present analysis, we
2 used 1-week composite scores based on: (Q1) inhalations used the day before; (Q2) days on which no
3 inhalations were used in the past 7 days; (Q3) days on which all prescribed inhalations were used in the
4 past 7 days. We computed scores for each inhaler and then averaged across inhalers for reports when
5 patients used >1 ICS.

6 For asthma control, reliever use and ICS adherence, reporting was required for the period immediately
7 prior to the interview (regular reports in regular interviews) or before the exacerbation (pre-exacerbation
8 reports, in regular or post-exacerbation interviews).

9 ***Patient characteristics***

10 Asthma severity at baseline was: 1) the number of OCs courses prescribed 12 months before the first
11 interview, from primary care records, and 2) the ICS daily dose prescribed self-reported at first interview
12 (beclometasone equivalent doses (22)). Type of ICS-based treatment was grouped into 3 categories: ICS
13 in fixed dose combination with LABA (FDC; reference group), single ICS inhaler ('ICS only') and a third
14 category ('ICS plus') for reports of ≥ 1 ICS (single or FDC) and a LABA (in a separate inhaler) and/or
15 leukotriene antagonists (LTRA). Gender, country (UK or France), and age at enrollment coded in three
16 categories -adults (18-40, reference group), teenagers (12-17) and children (6-11)- were extracted from
17 primary care records.

18 **Analysis**

19 Data were analysed using R (23). We identified variables that predicted missing interviews (22.28%
20 planned regular interviews were skipped and 33.52% of SMS texts did not receive a reply), and included
21 them as predictors in the main models. Missing data in recorded reports were rare due to compulsory
22 completion rules, and replaced by mode, median, or closest value (SOM2). To isolate the effects of the
23 implementation stage of ICS adherence (24), i.e. the extent to which patients take the doses prescribed
24 while on treatment, we censored the follow-up of patients (i.e. we kept only their previous reports in the
25 dataset) when they had a report with no daily ICS prescribed (no ICS prescribed at all, ICS ended

1 recently without any other ongoing/started ICS, ICS prescribed as needed, or only daily LABA prescribed)
2 or in which they reported being prescribed other asthma controllers (e.g., tiotropium).

3 Continuous time-varying predictors (adherence and reliever use) were decomposed into three variables
4 to distinguish between-person effects and simultaneous and sequential within-person effects. **Average**
5 **adherence/use** was calculated as the mean score for each patient across all reports (one score per
6 patient) and used for examining whether differences in adherence/use between patients predict
7 outcomes. **Current fluctuation** was the difference between patient's average adherence/use and the
8 score in a given report (multiple scores per patient) and helped examine whether changes in
9 adherence/use within patients are associated with concomitant changes in outcome (i.e. measured in the
10 same report). **Prior fluctuation** was computed as lagged variable, i.e. the difference between patient'
11 average and the score in their previous report (25), usually 4 months earlier (thus, also multiple scores
12 per patient); similar to 'current fluctuation', this variable aimed to examine whether changes in
13 adherence/use predict outcomes measured in the subsequent report.

14 Descriptive statistics were calculated for patient characteristics, adherence and outcomes, and bivariate
15 relations between adherence variables were examined between and within-person. We followed
16 established procedures for hierarchical longitudinal modelling (25). Two-level longitudinal mixed models
17 (LMM; reports within patients) were built separately for asthma control and exacerbation occurrence
18 (logistic models), and reliever use (linear models). We conducted visual data exploration fitting non-
19 parametric lowess functions (see SOM2), which supported the appropriateness of linear modelling. First,
20 unconditional means models were built to assess the proportion of variance at different levels via
21 Variance Partition Coefficients (VPC) for logistic models, or intra-class correlation coefficients (ICC) for
22 linear models (RQ1). A cut-off of .05 indicated substantial variance (26). Practice was initially modeled as
23 third level, and excluded for not meeting this criterion. Several variance-covariance structures of residuals
24 (compound symmetry, first-order autoregressive, general correlation matrix) were compared for the linear
25 models and the best fitting selected; logistic models specified unstructured covariance. Next,
26 unconditional growth models were tested, with time modelled as days since the first interview per patient
27 (random and fixed); models were compared and selected based on fit and parsimony. Conditional growth

1 models added covariates (including reliever use for asthma control and exacerbation models) and
2 adherence predictors (personal average, current effect, lagged effect). Residuals of the full models were
3 examined for normality.

4 Exploratory analyses were also performed to examine possible moderators of adherence-outcomes
5 relationships: age, type of ICS, country, and severity. Sensitivity analyses were performed with 1-month
6 adherence scores (SOM3).

7 **RESULTS**

8 **Sample characteristics**

9 Of 4647 reports from 934 patients collected between May 2013 and January 2016, 3756 reports (847
10 patients) were included (see flowchart in Figure 2). There were 1-13 reports per patient (median = 4,
11 inter-quartile range(IQR) = 4); resulting in mean (SD) follow-up time of 406 (249) days, and maximum 758
12 days. Patients were predominantly French (80.4%), with good gender and age representation (47.6%
13 female; 56.6% adults). Of 3756 CATI reports, 1929 (51.4%) were about FDC, 785 (20.9%) about ICS in
14 single inhalers, and 1042 (27.7%) were prescribed LABA and/or LTRA in addition to ICS. Exacerbations
15 were reported by 246 patients in 433 (11.5%) reports. Median 1-week adherence was 85.71% (IQR =
16 50%). Patients indicated ICS adherence above 80% in 55.88% reports. Uncontrolled asthma was
17 reported by 683 patients in 2046 (54.5%) reports. Median reliever use was 0.18 inhalations per day
18 (range 0 to 6). Sample characteristics are reported in Table 1.

19 _____

20 INSERT Figure 2 ABOUT HERE

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23 _____

24 INSERT Table 1 ABOUT HERE

1 _____

2 **Longitudinal associations between ICS adherence and asthma outcomes**

3 Table 2 presents results for the composite 1-week adherence score (similar results with 1-month scores
4 available in SOM3). Most variation in outcomes was present at within-person level; the proportion of
5 variation between-person was 41% for asthma control, 9% for exacerbations, and 48% for reliever use.
6 *Asthma control.* Patients with higher average ICS adherence were more likely to report controlled asthma
7 (OR 1.25 [95% CI, 1.06-1.47] per 1 SD=26%). At within-person level, current and prior fluctuations in ICS
8 adherence had no significant association with asthma control (OR 0.93 [95% CI, 0.84-1.02] and 1.05
9 [95% CI, 0.95-1.15]). Controlled asthma was also more likely in patients who on average used less
10 relievers (OR 0.30 [95% CI, 0.24-0.37] per 1 SD=1.23 times/day). Current increases in reliever use were
11 associated with decreased likelihood of controlled asthma (OR 0.50 [95% CI, 0.43-0.58] per 1 SD=1
12 time/day); prior fluctuations had no effects on asthma control (OR 1.04 [95% CI, 0.94-1.16]). Of note,
13 when reliever use variables were excluded from the model (see SOM3), current fluctuations in ICS
14 adherence were weakly associated with asthma control; since ICS adherence and reliever use were
15 associated and both reacted to changes in symptoms, this suggests that common variance in asthma
16 control was explained here by fluctuations in reliever use. Well-controlled asthma was less likely for
17 children compared to adults, for patients in the UK compared to France, for patients taking ICS with add-
18 on medication compared to FDC, and for patients with higher dose of ICS at baseline. In exploratory
19 analyses, we identified age as a moderator for the effect of average ICS adherence on asthma control,
20 which was weaker for children and adolescents (see SOM3). Asthma control increased during the study.
21 *Exacerbations.* Average ICS adherence scores and prior or simultaneous fluctuations were not
22 associated with exacerbation occurrence (OR 0.99 [95% CI, 0.87-1.12], OR 1.04 [95% CI, 0.94-1.16] and
23 0.99 [95% CI, 0.89-1.11]). Patients with higher average reliever use were more likely to report an
24 exacerbation (OR 1.46 [95% CI, 1.30-1.63] per 1 SD=1.23 times/day); current and prior fluctuations in
25 reliever use were unrelated to exacerbations (OR 1.08 [95% CI, 0.98-1.19] and 1.00 [95% CI, 0.91-1.10]).
26 Exacerbations were more likely to occur earlier in the study, in children, women, in France, for patients
27 taking add-on medication, and with higher asthma severity.

1 *Reliever use.* Average ICS adherence scores were unrelated to reliever use ($b=-0.0004$, [95% CI, -0.089-
2 0.088]). When patients increased their ICS adherence (current fluctuation) they also reported higher
3 reliever use simultaneously ($b=0.092$, [95% CI, 0.053-0.131] per 1 SD=20%), and lower reliever use in
4 the next interview (prior fluctuation; $b=-0.047$, [95% CI, -0.005- -0.088] per 1 SD=20%). Reliever use was
5 higher for British patients, and those with higher asthma severity.

6 _____

7 INSERT Table 2 ABOUT HERE

8 _____

9 **DISCUSSION**

10 This study presents evidence on the long-term role of ICS adherence in asthma routine care, based on
11 detailed patient-reported data collected by trained interviewers via computer-assisted telephone
12 interviews from participants aged 6 to 40 years in two European countries. Hierarchical longitudinal
13 models disentangled effects of both average (between-person) levels and within-person fluctuations of
14 ICS adherence on asthma control, exacerbations, and reliever use. The role of reliever use was also
15 examined using the same approach.

16 Regarding Research Question 1, we found considerable variation in asthma outcomes and reliever use
17 due to within-person fluctuations (91% of the chances of reporting exacerbations; 59% of asthma control;
18 52% of reliever use) rather than between-person differences. These fluctuations can only be explained by
19 factors changing within patients over time and not by stable differences between patients. This indicates
20 that commonly-used between-person designs are not suited to explaining the full variation in asthma
21 outcomes, and highlights the need to also focus on within-person variation. Previous findings from the
22 Astrolab cohort (21) indicate substantial within-person variability in ICS adherence scores as well (41-
23 71%). We recommend using hierarchical modeling more broadly in respiratory research, especially given
24 that longitudinal data are increasingly collected in routine care via digital technologies (27). These results
25 also highlight the importance for clinical practice to assess not only average levels of medication use and
26 outcomes across time, but also how these change between consultations. Moreover, interventions

1 would need to identify and target personal and context factors that changed during or before this period
2 and possibly caused changes in the patient's behaviours and health status.

3 Separating effects of long-term average levels from temporary fluctuations in medication use allowed us
4 to answer two related but distinct questions regarding ICS adherence and reliever use. Regarding
5 Research Question 2, we found that between-person differences in ICS adherence were associated with
6 better asthma control (patients *who* were on average 26% more adherent to ICS were 25% more likely to
7 report controlled asthma), but not exacerbations or reliever use. These results can be interpreted
8 following the Asthma Care logic Model (ACM; (28): ICS adherence is temporally more proximal to asthma
9 control than exacerbations, and patient behaviours during symptom aggravation, including reliever use,
10 may have independent contributions to exacerbation occurrence and severity.

11 Regarding Research Question 3 focusing on within-person fluctuations in ICS adherence and reliever use
12 both prior and concurrent to a given report, we found that *at times when* patients increased their ICS
13 temporarily they tended to increase simultaneously their reliever use, and to report less reliever use
14 *following these times* (with an increase of 20% in ICS adherence corresponding to using relievers 1 time
15 more than usual in 11 days in the same period and using them 1 time in 21 days less than average in the
16 next report). Temporary fluctuations in ICS adherence were unrelated to asthma control or exacerbations.
17 Prior studies have mostly reported a protective effect of ICS adherence on outcomes, yet some found
18 either positive or no associations (5,6). Increasing ICS adherence in response to worsening symptoms
19 has been proposed as an explanation for these paradoxical results (29,30). Our findings are consistent
20 with this possibility, and start building a more nuanced picture of the dynamic interplay between asthma
21 medication use and health status, which is undetectable with a between-person design. Importantly, they
22 concur with recent calls for reconsidering the role of relievers (short-acting beta agonists) in asthma
23 management following concerns of preferential use in place of controller inhalers, which may mask
24 underlying inflammation by providing only symptom relief; in contrast, improving ICS adherence (in
25 response to symptom aggravation or proactively as part of a self-management plan) reduces
26 inflammation and therefore future need for symptom relief (31).

1 Several findings on other predictors of asthma outcomes are important to highlight. Men reported less
2 exacerbations, consistent with recent findings on large medical records data in the UK (32). There were
3 less exacerbations and more reliever use reported in the UK, possibly explained by better implementation
4 of self-management support in primary care (33), which includes increasing controller and reliever use as
5 a first step before OCs use (1). Patients who had at least one ICS prescribed (single or FDC) and a LABA
6 and/or LTRA reported less control and more exacerbations compared to FDC, consistent with clinical
7 recommendations for stepwise asthma treatment (1). All associations with the two severity markers were
8 in the expected direction, except a nonsignificant effect of number of OC courses during the baseline year
9 on asthma control. The alignment of these results with previous research supports the validity of the main
10 findings.

11 Our findings need to be interpreted in light of several limitations. First, given the prospective cohort
12 design, we were only able to examine the role of average levels of adherence and fluctuations from
13 average in usual care. Our results therefore do not exclude the possibility that a systematic effort to raise
14 average levels of adherence long term may well have a positive effect on asthma outcomes. Second, we
15 found that, as the study progressed, patients reported better outcomes, partly driven by selective attrition
16 of participants with worse asthma control (see missing value analyses in SOM2); moreover, differences in
17 proxy versus self-report and asthma control measures may have contributed to more reports of
18 uncontrolled asthma and exacerbations in children. Controlling for time (days since first interview) and
19 age in our models adjusted for these sources of bias. Third, we grouped treatment regimens based on
20 commonly-used categories and did not consider possible variations in pharmacokinetic and
21 pharmacodynamic profiles of ICS formulations (34), and interactions with LABA in FDC (35); we
22 encourage replications of this approach on specific medications. Fourth, adherence was measured by
23 self-report. The interview questions were carefully worded to improve recall and reduce social desirability,
24 and they were previously validated against objective measures (21). Nevertheless, there are limitations
25 related to the use of self-reports over 4-month time intervals when studying continuous processes. In the
26 not-too-distant future, similar studies could be conducted with user-friendly electronic monitors for both
27 adherence and outcomes (e.g., asthma control). Finally, a 4-month lag between measurements was most
28 feasible given the study context, yet it can only capture medium-term variation. Clinical outcomes have

1 been shown to improve within weeks from starting ICS, and return to baseline levels within weeks after
2 treatment cessation or reduction (36–38). Variation in medication use for different time intervals, lags and
3 data sources need to be further studied, as the feasibility of data collection will increase with the
4 development of digital technologies.

5 This study demonstrated a novel approach to examining ICS adherence in asthma routine care. By
6 separating between- and within-person variation, we captured a potentially protective role of ICS
7 adherence for asthma control long term, and an interplay between ICS and reliever use short term, which
8 deserves further investigation. These findings suggest three recommendations for clinicians aiming to
9 help patients improve their asthma management. First, clinicians should expect that medication use and
10 health status fluctuate over time, and routinely assess these in a factual, non-judgmental manner, for
11 example using the questions in Table 3 (adapted from Astrolab interviews). Second, they should clarify
12 how patients use both controllers and relievers in relation to symptoms and agree on asthma action
13 plans. And third, they should support patients to work towards high average levels of adherence to the
14 agreed ICS daily dosage for long-term control.

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16 INSERT Table 3 ABOUT HERE

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1 **Table 1. Sample characteristics - descriptive statistics**

Characteristic	Statistic
Patient level (N=847)	
Country (% French)	681 (80.4)
Gender (% women)	403 (47.6)
Age (% adults)	479 (56.6)
(% children)	206 (24.3)
(% teenagers)	162 (19.1)
Baseline severity (number of OC courses; median[range])	0.00 [0.00, 7.00]
Baseline severity (number of ICS and LABA canisters; median[range])	12.00 [2.00, 60.00]
Baseline severity (ICS daily dose at first interview; median[range])	500.00 [100.00, 10000.00]
Report level (n=3756)	
Treatment type (% FDC)	1929 (51.4)
(% ICS single inhaler)	785 (20.9)
(% ICS plus LABA/LTRA)	1042(27.7)
Asthma control (% uncontrolled)	2046 (54.5)
Exacerbations (% occurrence)	433 (11.5)
Time - days since first CATI (mean(SD))	261.19 (220.16)
Reliever use (median[range])	0.18 [0.00, 6.00]
1-month adherence – composite (median[range])	85.71 [0.00, 100.00]
1-week adherence - composite (median[range])	85.71 [0.00, 100.00]
1-day taking adherence (median[range])	100.00 [0.00, 1250.00]
1-week therapeutic coverage (median[range])	100.00 [0.00, 100.00]
1-week correct dosing (median[range])	85.71 [0.00, 100.00]
1-month therapeutic coverage (median[range])	92.86 [0.00, 100.00]

4-month drug holidays (median[range])

100.00 [0.00, 100.00]

1 Note: Abbreviations: OC, oral corticosteroids; ICS, inhaled corticosteroids; LABA, long-acting beta agonists; LTRA,
2 leukotriene antagonists; FDC, fixed dose combination; SD, standard deviation.

3

Table 2. Multilevel models of asthma control, AE (logistic) and reliever use (linear)

	<i>Dependent variable:</i>		
	Asthma control (OR[CI])	Exacerbation occurrence (OR[CI])	Reliever use (b(SE))
Intercept	0.78 [@] [0.59 – 1.04]	0.15 ^{***} [0.12 - 0.19]	0.819 ^{***} (0.079)
Time (days since first CATI) ^a	1.31 ^{***} [1.16 - 1.48]	0.58 ^{***} [0.5 - 0.67]	-0.109 ^{***} (0.027)
Gender (male)	1.24 [0.89 - 1.71]	0.70 ^{**} [0.54 - 0.90]	-0.017 (0.091)
Age (child)	0.45 ^{***} [0.30 - 0.68]	1.68 ^{**} [1.23 - 2.29]	-0.074 (0.112)
Age (teenager)	0.81 [0.52 - 1.25]	0.98 [0.68 - 1.43]	-0.180 (0.120)
Country (UK)	0.87 [0.52 - 1.44]	0.56 [*] [0.35 - 0.90]	0.441 ^{***} (0.136)
Treatment type (ICS only [#])	1.26 [0.85 – 1.86]	0.85 [0.60 - 1.21]	0.021 (0.102)
Treatment type (ICS plus [#])	0.71 [*] [0.51 – 0.99]	1.54 ^{**} [1.18 – 2.02]	0.038 (0.086)
Baseline severity (number of OC courses) ^a	1.15 [@] [0.98 - 1.34]	1.27 ^{***} [1.14 - 1.41]	0.117 ^{**} (0.045)
Baseline severity (ICS daily dose at first interview) ^a	0.61 ^{***} [0.50 - 0.74]	1.17 ^{**} [1.05 - 1.31]	0.109 [*] (0.046)
1-week ICS adherence			
Average adherence ^{a,b}	1.25 ^{**} [1.06 - 1.47]	0.99 [0.87 - 1.12]	-0.0004 (0.045)
Current fluctuation ^a	0.93 [0.84 - 1.02]	1.04 [0.94 - 1.16]	0.092 ^{***} (0.020)
Prior fluctuation ^a	1.05 [0.95 - 1.15]	0.99 [0.89 - 1.11]	-0.047 [*] (0.021)
Reliever use			
Average use ^{a,b}	0.30 ^{***} [0.24 - 0.37]	1.46 ^{***} [1.30 - 1.63]	
Current fluctuation ^a	0.50 ^{***} [0.43 - 0.58]	1.08 [0.98 - 1.19]	
Prior fluctuation ^a	1.04 [0.94 - 1.16]	1.00 [0.91 - 1.10]	
VPC (logistic); ICC(linear)	0.4075	0.0891	0.4765
Observations	2,909	2,909	2,909
Log Likelihood	-1,618.598	-1,104.696	-4,793.214
AIC	3,271.195	2,243.392	9,622.429
BIC	3,372.780	2,344.977	9,729.989

Notes: [@] p<.1; ^{*} p<.05; ^{**} p<.01; ^{***} p<.001; ^a variable standardized before inclusion into regression model to facilitate interpretation and model convergence (z-scores); ^b Average denotes individual mean across the follow-up period; [#] Reference group = ICS with LABA in fixed dose combination, ICS only = single ICS inhaler, and ICS plus = at least one ICS and a LABA in separate inhaler and/or LTRA; Abbreviations: OR, odds ratio; CI, confidence intervals; b, b coefficient; SE, standard error; UK, United Kingdom; ICS, inhaled corticosteroids; OC, oral corticosteroids; LABA, long-acting beta agonists; LTRA, leukotriene antagonists; VPC, Variance Partition Coefficient; ICC, Intra-class correlation; AIC, Akaike Information Criterion; BIC, Bayesian Information Criterion.

Table 3. Example questions for assessing asthma control, ICS adherence and reliever use

Variable	Question examples
<p>Asthma control (RCP3Q; 19)</p>	<p>In the last month,</p> <ul style="list-style-type: none"> - Have you had difficulty sleeping because of your asthma symptoms (including cough)? - Have you had your usual asthma symptoms during the day (cough, wheeze, chest tightness or breathlessness)? - Has your asthma interfered with your usual activities (e.g. housework, work, school, etc.) <p>Never/rarely/every week/ every day</p> <p>(answers 'rarely' or more for at least one question indicate uncontrolled asthma)</p>
<p>ICS adherence</p>	<ul style="list-style-type: none"> - On how many days did you not use your ICS inhaler at all, for example because you forgot or did not want to use it? <p>(number of days x 100 / 28 = % ICS adherence)</p>
<p>Reliever use</p>	<ul style="list-style-type: none"> - How often have you usually taken [your reliever inhaler]? <p>Every day/ almost every day/ once or twice every week / less than once a week</p> <ul style="list-style-type: none"> - How many puffs how many times per day/week, on average? <p>(average times per day = average times per week/ 4)</p>

Captions

Figure 1. Example illustration of study timeline and data collection schedule – hypothetical example for a participant with 7 regular computer-assisted telephone interviews and two interviews after asthma exacerbations were identified by text messages (SMSs) at month 2 (M2) and 21 (M21). Primary care records were used at baseline to extract patient socio-demographic and medical history variables.

Figure 1 provided in separate file

Figure 2. Flowchart for the selection of interview reports and patients meeting analysis criteria (Note: reports were censored, therefore part of the patients with excluded reports remained in the sample; abbreviation: LABA, long-acting beta agonists).

Figure 2 provided in separate file
