

1 Coal matrix pores analysis using nano-scale SEM and direct capillary pressure curves simulation

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4 5 **Abstract**

6 This paper presents an analysis of matrix pore size distribution and simulation of fluid flow in the coal
7 matrix in intermediate rank coal. The study utilised scanning electron microscopy (SEM) images,
8 nuclear magnetic resonance (NMR) and mercury injection capillary pressure (MICP) data which were
9 used to reconstruct the 3D coal matrix model and to analyse the distribution of pores in coal matrix.
10 Reconstructed 3D model of coal matrix pore space was further utilised to simulate capillary dominated
11 two-phase flow for capillary pressure curves and fluid configuration calculation. The analysis showed
12 that there is a good congruence between the simulated and measured MICP curves, which could mean
13 that the described simulation method could potentially be used for modelling of fluid flow in coal.
14 Simulation approach which was described in the paper can potentially be implemented to model fluid
15 flow in dual-pore single-permeability or dual-pore dual-permeability models. Results confirm that the
16 contribution of the coal matrix to the permeability and fluid flow is negligible due to the poor
17 connectivity of the pore system in the coal matrix of the studied samples.

18 19 **Keywords**

20 Nano-Scale SEM; Capillary pressure curves; Level-set method; Coal matrix

21 22 **Introduction**

23 Pore and fractures are important morphological features of the coal matrix and provide not only the
24 main storage but also important conduits for coal bed methane production (Fang et al., 2019). Based
25 on the pore diameter, pores can be classified as supermicropores (<2nm), micropores (2-10nm),
26 mesopores (10-100nm), macropores (100-1000nm), supermacropores (10³-10⁴nm) and
27 microfractures (>10⁴nm) (Hodot, 1966; Cai et al., 2013). Different factors control the size and volume
28 of pores in coal (Mastalerz et al., 2008) amongst which coal rank is one of the most important. It was
29 previously observed (Gan et al., 1972; Clarkson and Bustin, 1996) that medium volatile bituminous
30 rank and higher coal micropores are the main contributors to the total porosity, while for high volatile
31 bituminous rank coal the bulk of porosity represented by micropores and mesopores. In turn, in
32 subbituminous rank and below coal macroporosity is dominant. Pore volume and pore size
33 distribution are also influenced by maceral composition. It was generally observed that the pore
34 surface area increases with increased vitrinite content (e.g. Thomas and Damberger, 1976). It was

35 concluded based on the study of different coal samples that vitrinite to be micro- and mesoporous,
36 inertinite mostly mesoporous, and liptinite is the least porous coal maceral (Harris and Yust, 1979;
37 Unsworth et al., 1989; Lamberson and Bustin, 1993; Clarkson and Bustin, 1996).

38 The prevailing conception in coal geology states that the cleat network offers the permeability for fluid
39 flow while matrix pores have negligible contribution if any (Clarkson and Bustin, 1996; Moore, 2012;
40 Puri et al., 1991). Previous researchers (e.g. Karacan and Okandan, 2000) modelled gas flow in pores
41 in two phases: absorbed gas in coal matrix diffuses to the coal cleats, and then viscous flow in cleat
42 network becomes dominant (Giffin et al., 2013). However, in the current research, it was decided to
43 analyse whether coal matrix porosity can also contribute to viscous flow, thus increasing cleat
44 permeability. There are different methods to simulate flow in porous space (Blunt et al., 2013). One
45 of the popular approaches is the lattice Boltzmann method (e.g. Chen and Doolen, 1998) which is one
46 of the particle-based methods, where particles representing packets of fluid are tracked through the
47 flow domain used for computations (Blunt et al., 2013). There are also other techniques designed
48 mostly to simulate capillary-controlled displacement. For example, for the current research, the level-
49 set method can demarcate an interface between fluids and model its propagation in a quasi-static
50 displacement (Sussman et al., 1994; Prodanovic et al., 2010). The main advantage of this method is
51 that the complex boundaries between fluids can be handled naturally, and there are no constraints
52 on the topology of the interface.

53

54 Orthogonal nano-scale SEM images were used to reconstruct a 3D piece of coal sample suitable for
55 fluid flow simulation to determine the computational flow domain. SEM technology is widely used 2D
56 imaging method for pore distribution and morphology analysis (e.g. Giffin et al., 2013). Still, in the
57 course of the current research, it was also used for 3D reconstruction using statistical methods. For
58 the research described in this paper, the stochastic pore space reconstruction approach which is based
59 on Markov chain method (Wu et al., 2006) is adopted to reconstruct 3D images from 2D nano-scale
60 SEM image. Generally, this method is based on the idea of using a small number of local features to
61 predict global conditions based on available 2D or 3D images (Wu et al., 2004). This approach takes
62 into account the relationship between several near neighbours to generalise the features of the
63 porous medium. This method was previously successfully implemented to reconstruct both
64 homogeneous and heterogeneous samples and also allowed to analyse the reconstructed porous
65 medium quantitatively, and it demonstrated good results for the studied coal (Roslin et al., in press).
66 The research described in this paper focused on the analysis of the multiphase flow simulation in the
67 matrix pore space based on the reconstructed 3D model. The approach which was implemented in

68 the current research considers direct modelling of fluid flow using the level-set method as it is
69 explained below.

70
71

72 **Input data**

73 A sample of intermediate rank coal from Southern Qinshui Chinese coal bed methane basin was
74 obtained and examined using nano-scale SEM technology. The main characteristics of the analysed
75 coal sample acquired from coal proximate analysis are listed in Table 1.

76
77

Table 1. Coal sample characteristics.

Sample ID	Sample (%)			Organic matter (%)			Vitrinite Reflectance ^o _{ran} (%)
	Organic matter	Pyrite	Others	Vitrinite	Inertinite	Liptinite	
PL3#-2	79.87	0.17	19.97	77.52	22.48	0.00	1.68

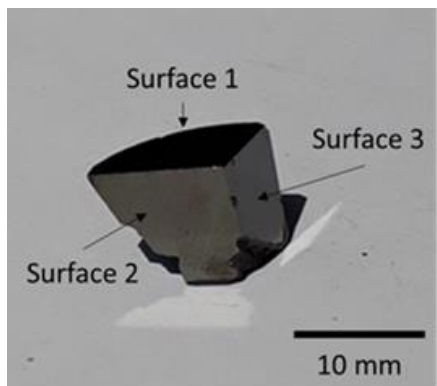
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79 Previous studies (e.g. Wang et al., 2016; Cai et al., 2011) show that the coal from this basin contains
80 0.59 – 3.54% moisture, 3.5 – 15.54% ash yield, 73.62 – 88.92% fixed carbon and 2.14 – 4.04%
81 hydrogen, with C/H ratios in the range of 19.96 – 36.25. The vitrinite reflectance ranges from 1.95 to
82 3.49%.

83

84 For the research, the sample was divided into two pieces: the upper part of the sample was used to
85 perform NMR (nuclear magnetic resonance) and later MICP (mercury injection capillary pressure). This
86 part of the sample was also divided into two pieces, cut, polished in three perpendicular to each other
87 directions and carbon-coated (Figure 1). This was required to obtain SEM data in three directions for
88 better 3D reconstruction of pore space. The lower part of the sample was also used for NMR and MICP
89 laboratory experiments, but it was not implemented into SEM analysis.

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92

Figure 1. Coal core sample polished and carbon-coated for SEM analysis.

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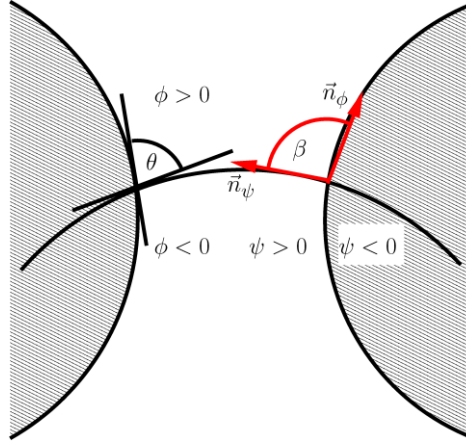
94 **Methodology**

95 First of all, the samples were scanned using SEM microscopy, and ZEISS Gemini EVO MA10 SEM
96 Microscopy was exploited to obtain the images of the nanoscale under high vacuum condition
97 (magnification range of x20 – x50,000). For the current research, these images were exploited to
98 reconstruct several 3D cubes (Roslin et al., in press) which contained 400^3 voxels using the previously
99 established stochastic algorithm (Wu et al., 2006). It is an iterative algorithm which decides the state
100 of each pixel (matrix or pore) based on the knowledge about the state of the neighbouring pixels (Wu
101 et al., 2004). Reconstructed 3D cubes (with the resolution of the cubes between 6 and 28 nm) were
102 then used to extract pore network and to analyse the flow and to simulate pressure curves using a
103 network simulator developed by Ahmed Boujelben at Heriot-Watt University
104 (https://github.com/ahboujelben/numSCAL_basic). Simulation of the capillary pressure curves
105 demonstrated that there is a big discrepancy between simulated and MICP pressure curves (Roslin et
106 al., in press). The reconstructed model was then exploited for simulation of fluid flow using direct
107 pore-scale modelling using the level-set method as it is explained below.

108

109 Capillary dominated primary drainage were performed in the reconstructed 3D coal sample using the
110 contact angle level-set method (Jettestuen et al., 2013). The level set method was initially introduced
111 by Osher and Sethian (1988) to explicitly track interface where the level set function equals to 0. This
112 method was initially adopted by Prodanović and Bryant (2006) to model critical displacements events
113 during drainage and imbibition as well as complete capillary pressure curves in 3D rock images
114 (Prodanović and Bryant, 2009; Prodanović et al., 2010) at the strongly water-wet condition. It was
115 then extended to include contact angle, define at the three-phase contact line, to investigate the
116 wettability on fluid distribution and capillary pressure curves in 3D rock images (Jettestuen et al.,
117 2013). In this method, two level-set functions, ψ and ϕ , are used to represent the solid and fluid,
118 respectively. A sketch of the simulation setup is shown in Figure 2. As shown in this figure, the shaded
119 regions represent the solid, $\psi = 0$ represents the pore boundary, and $\phi = 0$ denotes the interface
120 between wetting and non-wetting phase, θ is the contact angle defined at the three-phase contact
121 point. And $\beta = \pi - \theta$ is defined as the angle between the surface normal of ψ and ϕ at the contact
122 point.

123



124

125 Figure 2. The figure shows an illustration of the setup. The shaded regions represent the solid, $\psi = 0$
 126 represents the pore boundary, and $\phi = 0$ denotes the interface between wetting and non-wetting
 127 phase, θ is the contact angle defined at the three-phase contact point (adopted from Jettestuen et
 128 al., 2013).

129 For a given capillary pressure, $P_c = \sigma\kappa_0$ (σ is the surface tension and κ_0 is the interface curvature),
 130 the equilibrium fluid configuration is obtained by solving numerically the evolution equation of the
 131 level set function with velocity contribution from normal, advective and diffusive/parabolic term as
 132 given in Eq. (1) (Jettestuen et al., 2013),

133

$$134 \quad (\phi)_t + H(\psi)(\kappa_0 - \kappa_\phi)|\nabla\phi| + H(-\psi)S(\psi)(\nabla\psi \cdot \nabla\phi - \cos\beta|\nabla\psi||\nabla\phi|) = 0 \quad (1)$$

135 where κ_ϕ is the curvature of the level sets, H is the Heaviside step function defined as,

$$136 \quad H(x) = \begin{cases} 0 & x < -\varepsilon, \\ \frac{1}{2} + \frac{x}{2\varepsilon} + \frac{x}{2\pi} \sin\left(\frac{\pi x}{\varepsilon}\right) & -\varepsilon \leq x \leq \varepsilon, \\ 1 & x > \varepsilon, \end{cases} \quad (2)$$

137 and S is the signed distance function defined by:

138

$$139 \quad S(x) = \frac{x}{\sqrt{x^2 + |\nabla x|^2 (\Delta x)^2}} \quad (3)$$

140

141 Capillary controlled fluid displacements in the reconstructed 3D coal sample are simulated by solving
 142 equation (1) until steady-state is achieved for a given interface curvature, κ_0 . The numerical algorithm
 143 is the parallelized by OpenMPI to allow more considerable size computation. The detailed numerical
 144 implementation could be found in Jettestuen et al., (2013). The wetting phase saturation at the given
 145 interface curvature, κ_0 , is then estimated by:

146

$$S_w = \frac{\int_{\Omega} H(\psi) H(\phi) dV}{\int_{\Omega} H(\psi) dV} \quad (3)$$

148 Where V is the volume of the sample, and the non-wetting phase, e.g., mercury, saturation (S_m) is
149 obtained by $S_m = 1 - S_w$.

150 The full mercury injection capillary pressure curves are simulated by change the fluids interface
151 curvature, κ_0 , stepwise until the wetting phase (air) saturation reaches 0.05, or the maximum capillary
152 pressure reaches 200 MPa.

153

154 Mercury injection capillary pressure (MICP) method is typically used to understand the relationship
155 between capillary pressure and the porous structure of the sample (Purcell, 1949). In MICP the pores
156 of the sample are emptied (usually by drying the sample) and then filled with mercury with increasing
157 pressure. The volume of mercury at each given pressure is recorded, and the resulting capillary
158 pressure curves can be compared to the modelled pressure curves.

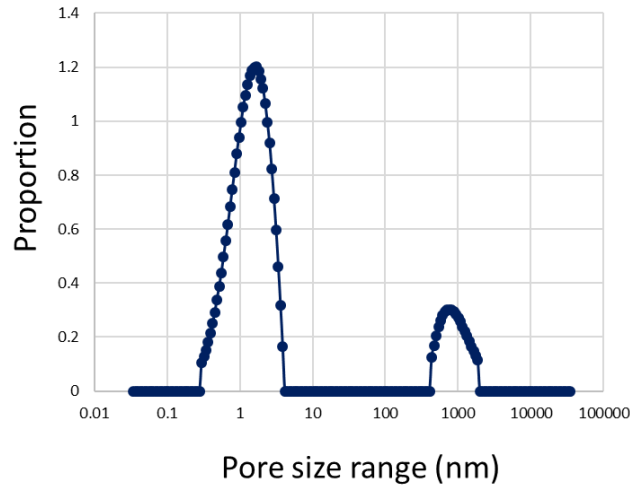
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160 **Results**

161 In the course of the current research, an intermediate rank coal sample was analysed using SEM
162 technologies with the support of NMR and MICP data to determine pore size distribution. Histogram
163 of NMR analysis pore size distribution demonstrated two distinctive peaks about 1nm and 1 micron
164 (Figure 3). MICP data are in conjunction with NMR data and shows that the majority of pores are
165 smaller than 25 nm or bigger than 1 micron (Figure 4). However, MICP data also demonstrated that
166 there is some noticeable percentage of pores in the range of 25–100 nm. These results are congruent
167 with the outcome of the SEM reconstructed model (Figure 5), which shows that the majority of pores
168 are in the range 40-70nm and throats are in the range 10-50nm. So, according to a combined
169 classification from IUPAC (IUPAC, 1982) and Hodot (Hodot, 1966) for coal pore size, the pore system
170 is mostly presented by micropores and mesopores, but some distinctive amount of macropores and
171 microfractures were also observed. Those pores are elongated (Figure 6).

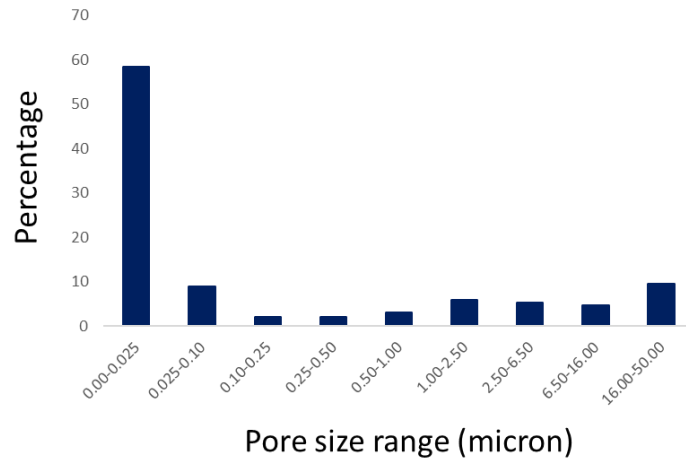
172 The results obtained for coal samples from other regions predicted that the studied coal should
173 contain mostly micropores but may have some amount of mesopores. The assessment of pore size
174 distribution is in good conjunction with these observations.

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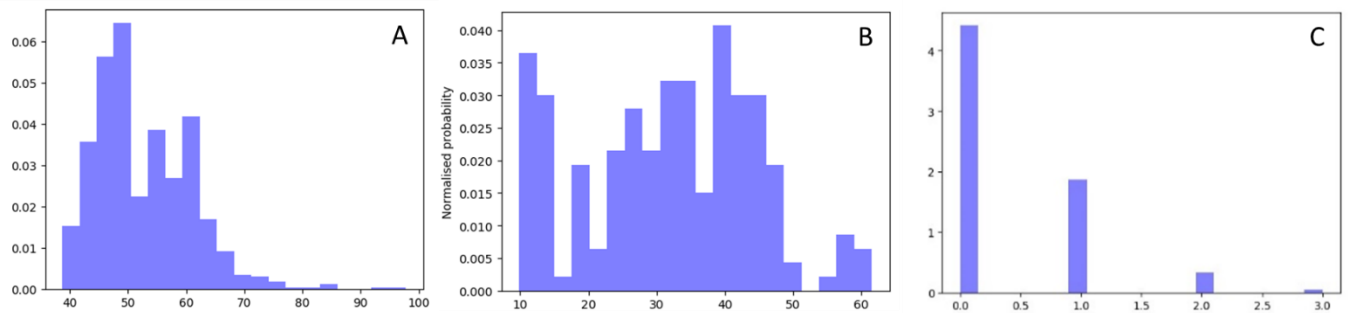
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Figure 3. Pore size distribution obtained from NMR analysis.



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Figure 4. Pore size distribution obtained from MICP data.



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Figure 5. Pore size (A), throat size (B) distribution and coordination number (C) obtained from the reconstructed 3D model (Roslin et al., in press).

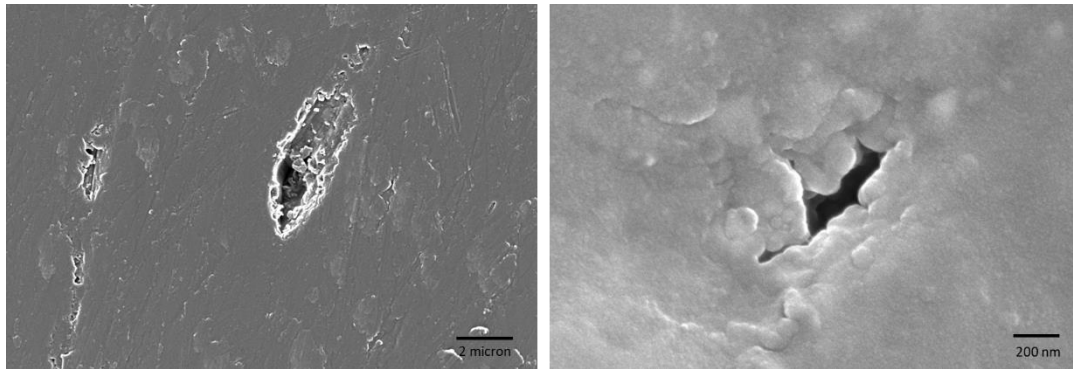


Figure 6. Example of SEM image with pores at a different magnification.

Another critical issue related to the matrix pores was to estimate the connectivity of pores and especially, the connectivity of macropores and microfractures. Those macropores and microfractures might have a significant contribution to fluid flow if they were connected. The microfractures were beyond the resolution of micro-CT scanner because of their size (length <10 microns and width <100 nm). Some microscopic sections were made in different directions and analysed to estimate the connectivity of the microfractures. Detailed microscopy scanning analysis of microfractures distribution and propagation demonstrated that the fractures do not form any connected network system and are rather isolated in a 3D space. Analysis of the statistics (Figure 5) of the reconstructed 3D model revealed that most of the pores are connected to one or less than one neighbour, and only little amount of pores has two or more connections. Also, no clear relationship between pore size and connectivity was observed, i.e. micropores, mesopores and macropores have very similar connectivity numbers (Figure 7).

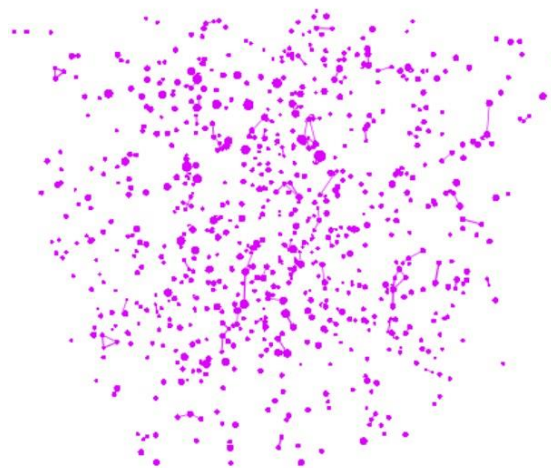


Figure 7. Extracted pore network for the reconstructed 3D model (model size is 8*8*8 micron).

After the statistical analysis of pore size distribution and connectivity, the main conclusion was that the matrix pores do not contribute to the fluid flow and coal permeability. However, NMR and MICP

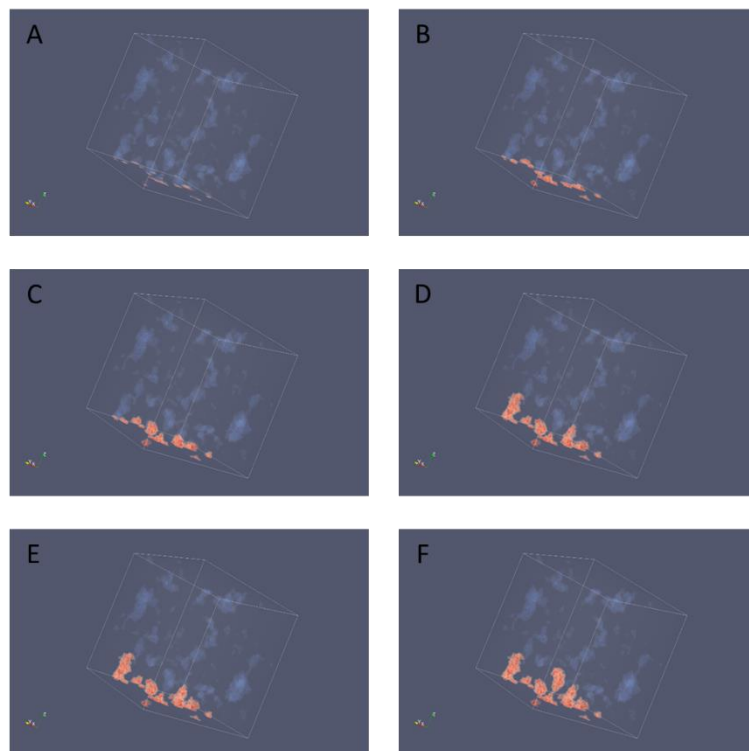
205 analysis demonstrated that a massive portion of pores is in the range between 0.5 and 5nm, that is
206 beyond the resolution of SEM images. To estimate whether these pores have a significant contribution
207 to the fluid flow, we performed fluid flow simulation on the reconstructed 3D model and compared
208 the resulting capillary pressure curves to MICP pressure curves to estimate whether there is any
209 discrepancy.

210

211 The capillary pressure curve for the reconstructed 3D coal sample is simulated using the contact angle
212 level-set method as described above. The contact angle, θ , is given as 40° and the surface tension
213 between mercury and air is set as 400mN/m.

214 The results are demonstrated in Figure 8. Figure 8 shows that the fluid occupies only a small portion
215 of pores and then the process of mercury sorption stops.

216



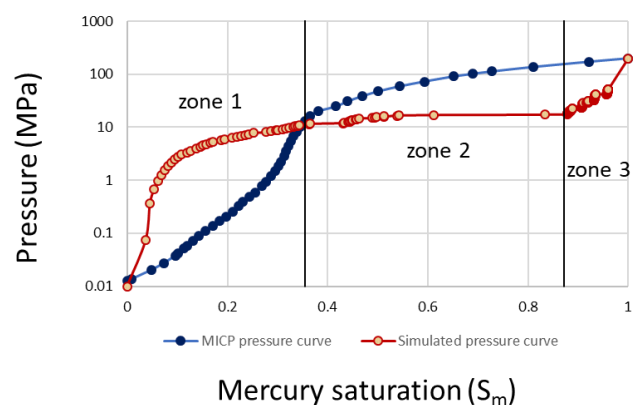
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218 Figure 8. Fluid configuraton simulated at different capillary pressures: A) $P_c=0.01$ MPa; B) $P_c=0.96$
219 MPa; C) $P_c=14$ MPa; D) $P_c=20$ MPa; E) $P_c=30$ MPa; F) $P_c=55$ MPa. Blue colour represents wetting
220 phase, red colour represents non-wetting phase.

221

222 Figure 9 demonstrates the comparison of simulated and MICP pressure curves. As can be seen, there
223 is a reasonable congruence between the simulated and MICP curves. The observed congruence is
224 particularly important because the previous pore network simulation (Roslin et al., in press)

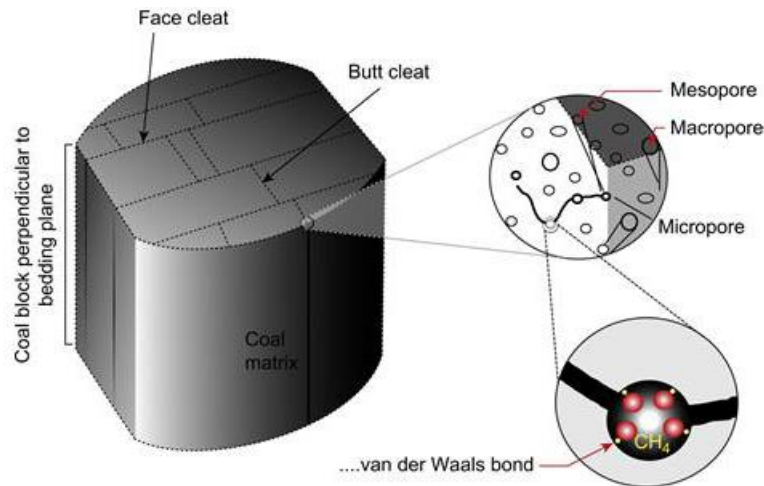
225 demonstrated a significant discrepancy between simulated and MICP curves. However, the observed
 226 discrepancy of current simulation results should be assessed and explained in detail.
 227 Figure 9 demonstrates three main zones which can be highlighted in Figure 9. The main difference
 228 between simulated and MICP curves is observed in zone 1, where the simulated curve is more abrupt
 229 compared to the MICP curve. A graduate increase of capillary pressure characterises this zone. For
 230 the current research, the drainage process (non-wetting phase replaces the wetting phase) is
 231 simulated. So it can be concluded that big pores are invaded by mercury at low pressures, then, when
 232 the pressure increases, the small pores are also invaded. As can be seen, mercury saturation increases
 233 gradually in case of laboratory data and abruptly in case of simulated data. It can probably be
 234 explained by the fact that images used for 3D model reconstructions had only a few macropores and
 235 microfractures, which then does not contribute much to mercury saturation in case of simulation.
 236 These big pores were invaded very quickly, and the simulated curve reached the plateau (zone 2)
 237 earlier than the MICP curve. Plateau zone is more significant for the simulated curve, which can be
 238 explained by the fact that the 3D model contained mostly mesopores which are responsible for the
 239 mercury saturation. In turn, zone 3 probably reflects the presence of some minor amount of
 240 micropores in the reconstructed model, which are invaded at the last stage of the drainage process.
 241 The pore size distribution analysis also supports the conclusion that the discrepancy between the
 242 simulated and the laboratory pressure curves in zone 2 may be caused by the fact that mostly
 243 mesopores were included in the reconstructed model. This analysis demonstrated that the size of
 244 pores determined from the reconstructed model is about 2-3 times bigger than the size of pores
 245 determined by mercury porosimetry data. The obtained results are discussed in the next section of
 246 the paper.
 247



248
 249 Figure 9. Level set simulation of mercury injection capillary pressure curves. Mercury saturation
 250 equal to 1 means that the whole amount of invaded pores is occupied by mercury.
 251

252 **Discussion**

253 Contribution of the coal matrix pore system is usually considered to be negligible if any (Clarkson
254 and Bustin, 1996). However, it is possible to assume that in the case of matrix pore connectivity, the
255 matrix can no longer be ignored (Flores, 2014) (see Figure 10).
256



257
258 Figure 10. Diagram showing pore distribution and connectivity in the coal matrix, and adsorbed
259 methane in a micropore (Flores, 2014).

260 SEM analysis of coal sample surface demonstrated that the studied sample contains some amount of
261 microfractures (Figure 11) which means that coal matrix may have some contribution to fluid flow.
262 The intermediate coal sample has been cut in different directions and scanned using SEM technology
263 to check whether this assumption is correct. SEM images showed that some microfractures were
264 elongated and formed some sort of canals in the body of the coal matrix (Figure 11). Since it was not
265 possible to perform 3D scanning of the samples with the desired resolution, it was decided to cut the
266 sample in different directions and to perform as many SEM scans as it was required to reconstruct
267 representative volumes for the studied sample. As a result, five different 3D models were built based
268 on the SEM images from different parts of the sample: some parts of the sample contained mostly
269 micropores and mesopores, while some other parts also had macropores and microfractures.
270 However, the analysis of pore size distribution demonstrated almost identical histograms for all five
271 models, which means that macropores and microfractures made the minority of matrix pores.

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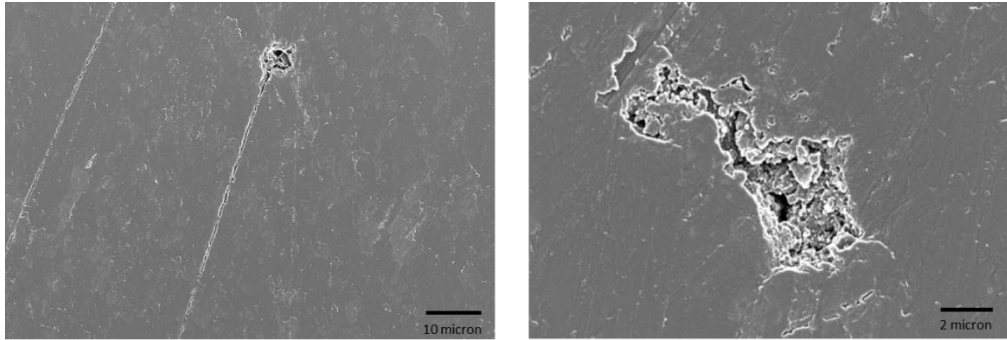


Figure 11. Example of SEM images at a different resolution.

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274

275 Matrix pore connectivity was another parameter which needed to be estimated in the course of the
276 research. Analysis of pore connectivity statistics revealed that the majority of pores are connected to
277 less than two neighbours, and almost half of all pores are not connected at all. It means that even in
278 the presence of a large number of pores and microfractures, they don't contribute to the fluid flow in
279 matrix and permeability. The origin of the matrix pores can probably explain the poor connectivity of
280 coal matrix pores. Although the origin of pores is not yet wholly understood except that they are likely
281 to be inherited from the original peat structures or formed during coalification (Flores, 2014). Thus,
282 macropores are probably voids of residual cell structures of precursor plants (Lin, 2010; Zhang et al.,
283 2010; Wildman and Derbyshire, 1991) and mesopores are very similar to the macropores in origin
284 (Zhang, 2001). In turn, the micropores possibly resulted from gelification of organic matter during
285 coalification and compression of some large pores to smaller pores (Clarkson and Bustin, 1996; Marsh,
286 1987; Zhang, 2001).

287

288 Analysis of pore size distribution and connectivity led to the conclusion that coal matrix pore network
289 doesn't contribute to the fluid flow. However, the conclusion was made based on the 3D
290 reconstructed model. It was decided to perform fluid flow modelling on the reconstructed pore
291 network and compare to the MICP pressure curves to confirm this conclusion. The comparison showed
292 a correlation between the two pressure curves. As it was shown the discrepancy between the
293 simulated and MICP pressure curves could probably be explained by the fact that the simulated curve
294 was built on statistically reconstructed pore network model which did not contain all the variety of
295 pores in the sample. For the current research, that was not critical because it was not planned to
296 reconstruct the model which would replicate the exact structure of the coal matrix but to estimate
297 the potential contribution of the coal matrix pores into the fluid flow especially in the presence of
298 macropores and microfractures. If it is required to reconstruct the internal structure of the coal matrix,
299 it is recommended to utilise more SEM images for 3D reconstruction to increase the reconstructed
300 volume of investigation. It is also desired to use not three orthogonal surfaces for SEM analysis, but
301 more surfaces made at different angles to improve the predictive ability of modelling.

302

303 Although the contribution of the coal matrix pores to the total permeability of the studied coal sample
304 was negligible, the current paper demonstrated that free fluid flow in coal matrix may take place to
305 some extent, especially if micro-fractures are present. It is well-known that the fluid still propagates
306 through the coal matrix but mostly by diffusion. If micro-fractures and macro-pores are present in coal
307 matrix, it can be assumed that a combination of free fluid flow and diffusion takes place in coal matrix.
308 The approach presented in the paper could therefore be important for understanding the mechanisms
309 of fluid flow in coal, and for combining free fluid flow and diffusion simulation into a single model for
310 flow characterisation of coals.

311

312 Another consideration which should be taken into account working with the coal samples is the
313 applicability of mercury injection. For the current research, mercury injection was suitable because of
314 two factors: first of all, the studied samples represented the coal of intermediate rank which was
315 characterised by quite a significant amount of mesopores, and some amount of macropores and
316 microfractures. It was demonstrated that mercury could invade those pores without breaking the
317 sample. In the case of high-rank coal, mercury injection capillary pressure can be too high (since
318 micropores present the majority of pores) and the sample can be broken.

319

320 **Conclusion**

321 In this paper, we present a systematic study of pore size distribution and capillary pressure curves
322 modelling in coal matrix. The main conclusion of the research described in this paper is that, for the
323 studied sample of intermediate rank coal, the pore matrix network doesn't make any significant
324 contribution to free fluid flow. The hypothesis that the pore network of coal matrix may have a
325 negligible contribution to the permeability of coal was previously expressed in the literature (e.g.
326 Clakston and Bustin, 1996). However, it was also anticipated that the presence of relatively large
327 microfractures observed under the microscope might create additional canals for fluid flow.
328 Connectivity analysis and fluid flow simulation proved that the above hypothesis is correct for the
329 studied samples and demonstrated that although some fluid flow may take place, poor connectivity
330 doesn't allow fluid to propagate deep into the coal matrix. However, the paper also demonstrates that
331 free fluid flow takes place in coal matrix to some extent, which means that it may be required in future
332 to work on a modelling approach which will combine Darcy's flow and diffusion simulation in order to
333 improve the understanding of the behaviour of fluids in coal reservoirs.

334

335 **Acknowledgement**

336 This paper utilised opportunistic coal samples and characterisation data as a part of a study into
337 multiphase flow in coal for Southern Qinshui coal basin. The measurement of this work was supported
338 by the Royal Society Edinburgh through the National Natural Science Foundation of China Cost Share
339 Project, and Alexandra Roslin thanks the Ministry of Education of Russia to support her PhD work at
340 the University of Aberdeen. The School of Engineering and School of Geosciences at the University of
341 Aberdeen are thanked for their support.

342

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