

1 Does treatment guided by fractional exhaled nitric oxide improve outcomes in subgroups of children
2 with asthma?

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28 **ABSTRACT**

29 Introduction. Fractional exhaled nitric oxide (F_ENO), a biomarker of eosinophilic airway
30 inflammation, may be useful to guide asthma treatment. F_ENO guided treatment may be more
31 effective in certain subgroups for improving asthma outcomes compared to standard treatment.

32 Methods. An individual patient data analysis was performed using data from seven randomised
33 clinical trials (RCT) which used F_ENO to guide asthma treatment. The incidence of an asthma
34 exacerbation and loss of control, and the time to first exacerbation and loss of control were
35 described between five subgroups of RCT participants.

36 Results. Data were available in 1112 RCT participants. Among those not treated with Leukotriene
37 Receptor Antagonist (LTRA), but not among those who were treated with LTRA, F_ENO guided
38 treatment was associated with reduced exacerbation risk (odds ratio (OR) 0.68 [95% CI 0.49, 0.94]),
39 longer time to first exacerbation (hazard ratio (HR) 0.76 [0.57, 0.99]) and borderline reduced risk for
40 loss of control (OR 0.70 [0.49, 1.00]). Non-obese children, compared to obese children, were less
41 likely to lose asthma control when treatment was guided by F_ENO (OR 0.69 [0.48, 0.99]) and time to
42 loss of control was longer (HR 0.77 [0.61, 0.99]).

43 Conclusions. Asthma treatment guided by F_ENO may be more effective in achieving better asthma
44 outcomes for patients who are not treated with LTRA and who are not obese compared to standard
45 practice.

46 Keywords: Asthma, Child, Monitoring, Nitric oxide

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51 **INTRODUCTION**

52 Asthma is a common chronic condition which affects one million children in the UK [1], six million in
53 the US[2] and 235 million children and adults around the world [3]. There is effective treatment to
54 control asthma symptoms and guidelines recommend that treatment should be titrated to asthma
55 symptoms[4-6]. There remains a widely accepted recognition that an objective measurement to
56 guide asthma treatment is required [7].

57 Fractional exhaled nitric oxide ($F_{E}NO$) in exhaled breath has many of the characteristics required of
58 an objective tool to measure asthma symptoms. For example, $F_{E}NO$ rises before symptoms occur
59 [8,9], falls when asthma treatment is administered [10,11], can be measured with minimal
60 discomfort to the patient and results are available within a few minutes using commercially available
61 apparatus [12]. A meta-analysis including eight clinical trials in children and young adults found that
62 addition of $F_{E}NO$ measurements to symptom-guided treatment did not reduce asthma symptoms
63 [13], but that $F_{E}NO$ guided treatment reduced asthma exacerbations [13].

64 Asthma is a heterogeneous condition and what we do not know is whether there are patient sub-
65 groups in whom using $F_{E}NO$ to guide asthma treatment may be beneficial [7]. In one randomised
66 controlled trial (RCT), the intervention was more effective in participants who had more positive skin
67 tests and who were obese, but age, sex, asthma severity and initial $F_{E}NO$ concentration were not
68 associated with a different outcome from the intervention [14]. In a second RCT there was no
69 evidence of improved outcomes between individuals who were concordant or discordant for $F_{E}NO$
70 and symptoms [15].

71 Our group has pooled the data collected from seven of the eight published RCTs where the efficacy
72 of $F_{E}NO$ used to guide asthma treatment was examined, compared to standard management [16].
73 Here we use data from 1112 participants to test the hypothesis that there are particular subgroups
74 of patients where $F_{E}NO$ guided treatment is more effective in improving asthma outcomes
75 compared to standard treatment.

76

77 **METHODS**

78 **Study design**

79 Authors of all published RCTs where measurements of F_ENO were used to guide asthma treatment in
80 children [17] were contacted and asked to provide data as previously described [16]. The children
81 who took part in the studies were recruited from hospital clinics and were followed up for between
82 six and 12 months. The primary outcome was the presence of any asthma exacerbation during
83 follow up [13]. Secondary outcomes were loss of control among those who were initially controlled
84 and time to first exacerbation and time to first loss of control. Institutional ethical approval was
85 provided for each trial which contributed data.

86 **Details of each population (also see table one)**

87 Fritsch *et al* [18] undertook a study of 47 children with asthma attending a hospital asthma clinic in
88 Vienna, Austria and collected data (including F_ENO, asthma symptom score and history of recent
89 exacerbations) at six-week intervals over six months. Peirsman *et al* [19] recruited 99 participants
90 with persistent asthma attending hospital asthma clinics across Belgium and collected data at three-
91 month intervals over twelve months. Petsky *et al* [20] recruited 63 children from hospital clinics in
92 Australia and Hong Kong, and data were collected on eight occasions over twelve months (one, two,
93 three, four, six, eight, ten and twelve months). Pijnenburg *et al* [21] included 86 participants
94 attending a single hospital clinic in the Netherlands and data were collected at baseline, three, six,
95 nine and twelve months. Pike *et al* [22] recruited 90 participants clinics in four UK hospitals and
96 collected data at two-month intervals over a year. Szeffler *et al* [14] recruited 546 participants from
97 the community in the USA and collected post-randomisation information over 46 weeks including at
98 three months, six months, eight months and ten months. Voorend-van Bergen *et al* [23] undertook
99 a study of 181 participants attending hospital clinics the Netherlands and collected data at four-

100 month intervals over a year. The treatment algorithms in F_ENO-guided and standard practice arms in
101 each RCT was different to other RCTs.

102 Table one. A summary of characteristics of the randomised controlled trials whose data were used for the present analysis.

	Mean age (SD), y	Inclusion criteria (in addition to child diagnosed with asthma)	Definition of asthma control	Treatment strategy for intervention group	Treatment strategy for control group	Treatment options (same for both groups in all studies)	What did the trial find? (F _E NO treatment compared to standard care)
Fritsch <i>et al</i> 2006 ¹ Austria	11.5 (3.1)	Age 6-18 years. Sensitised to inhaled allergens. No systemic corticosteroids one month before recruitment.	FEV ₁ >80% predicted, <6 doses of SABA over 14 days and no or mild symptoms	Step up if control lost regardless of F _E NO. Step down if controlled and F _E NO ≤20ppb. If F _E NO>20ppb and controlled step up unless already on ICS (in which case no change)	Step up if either FEV ₁ <80% or symptoms severe or ≥6 doses of SABA over previous 14 days. Step down if controlled	Four treatments steps	Higher mid expiratory flow, higher dose of ICS
Peirsman <i>et al</i> 2014 ² Belgium	10.7 (2.1)	Sensitised to inhaled allergens. No exacerbation or systemic corticosteroids three month before recruitment	A score of ≤3 from the first four (of seven) questions on ACT*, FEV ₁ >80% and ≤2 doses of SABA over a week	Step up if F _E NO>20ppb regardless of control. Consider stepping up if F _E NO ≤20ppb and partly/fully uncontrolled. Step down if controlled and F _E NO ≤20ppb.	Step up if uncontrolled, consider stepping up if partly controlled. Step down if controlled.	Step up and down options if on the following preventers: ICS alone; LTRA alone; ICS+LABA; ICS+LTRA	Reduced exacerbations, increased LTRA and ICS dose. No difference in primary outcome
Petsky <i>et al</i> 2015 ³ Australia	10.0 (3.2)	Aged >4 years. Prescribed asthma preventer. Adherent to treatment	Symptom score† no more than 15% higher than previous assessment (only used for the control group)	Step up or down based only on F _E NO> or ≤10 ppb for non atopic, > or ≤12ppb with one positive skin test, > or ≤20 for	Step up if symptoms score >15% higher than previously. Step down if symptoms score <10.	Seven steps (none including LTRA)	Reduced exacerbation, increased ICS dose

				>1 positive skin test			
Pijnenburg <i>et al</i> 2005 ⁴ Netherlands	12.3 (2.8)	Aged 6-18 years. Sensitised to inhaled allergens. ICS dose unchanged for ≥3 months at recruitment	Score of >14 on validated symptom diary‡	Step up if F _E NO>30ppb regardless of control. Treatment stepped down if symptoms controlled and F _E NO≤30ppb. No change if symptoms not controlled but F _E NO≤30ppb	Step up if symptoms uncontrolled, step down if controlled for second assessment. No change if controlled on first assessment	Nine steps (none including LABA or LTRA)	Reduced F _E NO and bronchial hyperresponsiveness No increase in ICS dose
Pike <i>et al</i> 2013 ⁵ UK	11.9 (2.6)	Aged 6-17 years. Prescribed ≥400 microg ICS daily (budesonide equivalent). Adherent to treatment. No history of life-threatening asthma or requiring maintenance oral corticosteroids.	Modified validated symptom diary¥, FEV ₁ ≥80% and < 1 SABA dose per week	Step up ICS if F _E NO≥25ppb (or >twice baseline value) regardless of control or FEV ₁ . Also step up with LABA if poorly controlled and F _E NO <25ppb. Step down if F _E NO ≤15 ppb and controlled on two consecutive assessments.	Step up if uncontrolled. Step down if controlled on two consecutive assessments.	Eight treatment steps	No differences in outcomes
Szefer <i>et al</i> 2008 ⁶ USA	14.4 (2.1)	Aged 12-20 years. Living in community where ≥20% households were below poverty threshold.	Four levels of control depending on a symptom score (ACT*) and a	Step up by one, two or three treatment levels depending on	Step up by one, two or three treatment levels depending on	Seven treatment steps (including low dose theophylline)	Reduced exacerbations, increased ICS dose. No difference in primary outcome.

		Persistent or uncontrolled asthma if on long term preventer. Non-smoker.	series of FEV ₁ , cut offs (FEV ₁ ≥80, 70-79% or >70%)	symptoms score, FEV ₁ and F _E NO 0-20, 20.1-30, 30.1-40 or >40ppb. Step down if controlled on two consecutive assessments and F _E NO ≤20ppb.	symptoms score and FEV ₁ . Step down if controlled on two consecutive assessments		
Voorend-van Bergen <i>et al</i> 2010 ⁸ Netherlands	10.2 (3.0)	Aged 4-18 years. Sensitised to inhaled allergens. >9% bronchodilator response. Prescribed ICS for ≥3 months. Non-smoker. No history of multiple ITU admissions for asthma.	ACT score ≥20*	Step up if controlled and F _E NO ≥50ppb or uncontrolled and F _E NO ≥25ppb. Step down if controlled and F _E NO <25ppb. Otherwise no change	Step up if uncontrolled. Step down/no change if controlled	Seven treatment steps	Increased asthma control but not the primary outcome

103 SABA=short acting beta agonist. ICS=inhaled corticosteroids. LTRA=leukotriene receptor antagonist. LABA=long acting beta agonist. ppb=parts per billion.

104 ITU=intensive care unit

105 *ACT=Asthma Control Test, Schatz M, et al *J Allergy Clin Immunol* 2006;117:549–556.

106 †Santanello NC, et al. *Eur Respir J* 1997;10:646–651. ‡Verberne AA, et al *Am J Respir Crit Care Med* 1997;156:688–695.

107 ¥Wasserfallen JB, et al. *J Allergy Clin Immunol* 1997;100: 16–22.

108 **Data collected**

109 Covariates collected at baseline in all trials included: age, gender, height, weight, treatment arm,
110 dose of inhaled corticosteroid (ICS, as daily budesonide equivalent dose, BUD), prescribed long
111 acting beta agonist (LABA) or not, prescribed leukotriene receptor agonist (LTRA) or not, and an
112 asthma control score. Ethnicity was available in four cohorts[14,21-23]. Body Mass Index (BMI) was
113 derived and International Obesity Task Force weight categories created [24]; obesity is defined as
114 equivalent to adult BMI ≥ 30 kg/m². Percentage of predicted (%) Forced Expired Volume in one
115 second (FEV₁) was calculated according to the Global Lung Initiative standard [25] apart from
116 participants in two trials [21,22] where only % FEV₁ standardised to other references was available.
117 F_ENO was measured in all studies in accordance with the 2005 guideline [26]. At each follow up visit
118 an assessment of asthma control was made (see table 1) and history of any asthma attack since the
119 previous assessment was recorded (defined as receipt of oral corticosteroids for an asthma
120 exacerbation [16]). The trials used different symptom score methodology and loss of control was
121 defined as per trial protocol by reaching a pre-agreed symptom score.

122

123 **Analysis**

124 Asthma outcomes were compared between participants in the F_ENO guided and standard treatment
125 arms of RCTs for the following five subgroups defined at baseline and previously associated with
126 differences in F_ENO. The five subgroups were stratified by: dose of ICS (≤ 400 microg budesonide
127 equivalent or >400 microg)[10], use of LTRA [27], obesity [14], ethnicity (white versus other)[28] and
128 atopic (i.e. positive skin prick test or positive type-specific IgE) [14]. Any exacerbation during follow
129 up and time to first exacerbation and any loss of control and time to loss of control were calculated
130 (the latter restricted to those who were controlled at baseline). Time to first exacerbation or to loss
131 of control was determined using data collected at the scheduled study assessments, and table one in
132 the supplement describes the time in weeks between baseline and each follow up assessment in

133 each RCT. For example, if a participant experienced an exacerbation after their three-month
134 assessment but before the six month assessment, time was censored at six months. Logistic
135 regression was used to relate any exacerbation or any loss of control to an interaction term between
136 each baseline characteristic and treatment arm; a significant interaction term ($p < 0.05$) would
137 indicate that outcomes were different between F_ENO guided and standard treatment for a sub
138 group. Cox proportional hazards models were used to investigate time to first exacerbation or time
139 to first loss of control. Each subgroup was considered separately and all models included
140 adjustment for covariates associated with the outcome including: age, a variable for each RCT and
141 ICS dose at baseline (this was not included in the ICS dose subgroup model). Standard statistical
142 software was used (STATA version 14) and significance was assumed at 5%. All analyses were
143 exploratory, so no adjustment was made for multiple comparisons.

144

145 **RESULTS**

146 **Study subjects**

147 Data from seven RCTs were analysed [14,18-23], totalling 1112 participants. Characteristics of
148 participants at baseline have previously been described [16] and are presented in table 2. The
149 majority of participants (58%) were male and the mean age was 12.6 (standard deviation, SD 3.1)
150 years. Characteristics of participants in the five subgroups are presented in supplemental table 2,
151 i.e. LTRA treatment (yes/no), ICS dose ≤ 400 microg/ > 400 microg), obese (yes/no), atopic (yes/no)
152 and white versus other ethnic group.

153

154 Table 2. Characteristic of study participants at the baseline visit in each study.

	Fritsch[18]	Peirsman[19]	Petsky[20]	Pijnenburg[21]	Pike[22]	Szefler[14]	Voorend-van Bergen[23]	All populations combined	
Number of participants	47	99	63	86	90	546	181	1112	
%(number) male	60% (28)	67% (66)	49% (31)	65% (56)	57% (51)	53% (288)	68% (123)	58% (643)	
Mean age (SD)	11.5(3.1)	10.7 (2.1)	10.0 (3.2)	12.3 (2.8)	10.9 (2.6)	14.4 (2.1)	10.2 (3.0)	12.6 (3.1)	
Median F _E NO (IQR), ppb	34 (18.6, 58.6) n=46	31 (14, 69) n=49	26 (12.2, 47.5) n=61	32 (16.6, 52.5) n=86	26 (10, 48) n=90	20 (11.2, 40.6) n=546	18 (10.2, 30.4) n=179	22 (11.6, 43.0) n=1057	
Mean % predicted FEV ₁ (SD)	93.5 (15.7) n=47	91.4 (15.7) n=98	90.7 (15.6) n=54	97.5 (17.5) n=86	89.2 (14.3) n=90	90.9 (16.6) n=546	93.8 (13.0) n=157	93.5 (18.1) n=1078	
% atopic	100%	100%	38% (24/63)	100%	76% (68/90)	88% (467/531)	100%	89% (972/1097)	
% (number) obese	8% (4/47)	1% (1/99)	2% (1/58)	4% (4/85)	8% (7/89)	31% (165/526)	3% (5/181)	17% (187/1085)	
% (number) prescribed LTRA	28% (13/47)	60% (59/99)	10% (6/58)	0% (0/86)	51% (46/90)	15% (80/546)	13% (23/181)	21% (227/1107)	
% (number) prescribed LABA	38% (18/47)	32% (32/99)	67% (39/58)	38% (33/86)	76% (68/90)	66% (360/546)	46% (84/181)	57% (634/1107)	
Median dose of inhaled corticosteroids (IQR)	400 (0, 800)	320 (200, 400)	400 (250, 500)	800 (400,1000)	800 (400, 1000)	1000 (400, 2000)	400 (400, 800)	400 (400, 1000)	
% (number) > 400ug BUD	30% (14/47)	15% (15/99)	49% (31/63)	66% (57/86)	59% (53/90)	53% (287/546)	33% (59/181)	46% (516/1112)	
% White ethnic group	Not stated	82% (69/84)	Not stated	Not stated	92% (83/90)	0% (0/526)	89% (160/179)	35% (312/901)	
Control status	Controlled	49% (23/47)	75% (49/65)	72% (41/57)	57% (44/77)	97% (87/90)	80% (421/528)	67% (122/181)	75% (787/1045)
	Not Controlled	51% (24/47)	25% (16/65)	28% (16/57)	43% (33/77)	3% (3/90)	20% (107/528)	33% (59/181)	24% (258/1045)

155 SD=standard deviation, IQR=interquartile range, LTRA=leukotriene receptor antagonist, LABA=long acting beta agonist, BUD = budesonide equivalent ICS

156

157 **F_ENO intervention and asthma exacerbation outcomes**

158 *Any exacerbation.* Of the 1047 participants for whom exacerbation data were available, 296 (28%)
159 had at least one exacerbation with the first occurring after a median (interquartile range IQR) 22 (14,
160 38) weeks. Table 3 shows the effect of treatment group was different for the two LTRA subgroups
161 (interaction p-value = 0.039). Those not treated with LTRA, had lower odds for ≥ 1 exacerbation in
162 the F_ENO guided group compared to standard care (OR=0.68, 95%CI 0.49-0.94) but there was no
163 difference observed between F_ENO guided and control groups for those on LTRA, table 3. The
164 number needed to treat with F_ENO guided management to prevent one exacerbation among those
165 not treated with LTRA was 15. Interactions between treatment arm and other baseline
166 characteristics (ICS dose, obese, atopy and white ethnicity) were not significant when predicting
167 exacerbation, table 3.

168

169 *Time to first exacerbation.* Overall in the two treatment groups, the median time to first
170 exacerbation was 22 (IQR 14, 38) weeks in the standard arm and 22 (IQR 13, 34) in the F_ENO guided
171 arm. The interaction term between treatment arm and LTRA was of borderline significance for time
172 for first exacerbation (p=0.049), and among those not treated with LTRA at baseline, the time to first
173 asthma exacerbation was slightly longer for participants receiving F_ENO guided treatment compared
174 to standard care (HR=0.76, 0.57-0.99, p=0.048), table 4 and figure 1. Time to first exacerbation was
175 no different between treatment groups for those treated with LTRA. The interaction terms with
176 treatment arm were not significant for ICS dose, atopy, obesity or ethnicity, table 4.

177 Table 3. Proportion of individuals with any asthma exacerbation in F_ENO -guided and standard
 178 management arms of clinical trials with stratification for patient characteristics. ICS=inhaled
 179 corticosteroids, presented as ≤400 or >400 micrograms budesonide equivalent. Obesity was defined
 180 by International Obesity Task Force criteria.

Baseline characteristic		% with ≥1 exacerbation in each treatment arm		F _E NO vs standard		p value for interaction*
		F _E NO guided management	Standard management	OR	95% CI	
LTRA treatment	Yes	49/109 (45%)	40/104 (38%)	1.46	(0.76, 2.79)	0.039
	No	88/410 (21%)	119/419 (28%)	0.68	(0.49, 0.94)	
ICS dose	≤400 microg	48/289 (17%)	58/279 (21%)	0.72	(0.46, 1.11)	0.493
	>400 microg	89/232(38%)	101/247 (41%)	0.88	(0.60, 1.28)	
Obese	Yes	30/88 (34%)	36/81 (44%)	0.63	(0.33, 1.21)	0.342
	No	107/425 (25%)	119/433 (27%)	0.90	(0.65, 1.24)	
Atopic	Yes	113/458 (25%)	138/481 (29%)	0.83	(0.61, 1.13)	0.391
	No	14/47 (30%)	13/31 (42%)	0.53	(0.20, 1.41)	
Ethnic group	White	34/148 (23%)	31/164 (17%)	1.28	(0.70, 2.33)	0.177
	Non-white	86/270 (32%)	97/254 (38%)	0.78	(0.54, 1.14)	

181

182 *adjusted for RCT population, age and (except the analysis for higher versus lower ICS dose) dose of
 183 inhaled corticosteroid (budesonide equivalent).

184

185 Table 4. Results from Cox regression models analysing time to first exacerbation for subgroups of
 186 participants.

Sub group		Hazard Ratio for time to first exacerbation for participants where treatment was guided by F _E NO compared to standard care (95% CI)	Interaction p-value
LTRA	No	0.76 (0.57, 0.99) p= 0.048	0.049
	Yes	1.26 (0.82, 1.90) p= 0.292	
ICS	<=400 microg	0.76 (0.52, 1.12) p=0.166	0.393
	>400 microg	0.94 (0.71, 1.25) p=0.667	
Atopic	No	0.61 (0.29, 1.31) p=0.207	0.347
	Yes	0.90 (0.70, 1.16) p=0.412	
Obese	No	0.96 (0.74, 1.25) p=0.787	0.456
	Yes	0.78 (0.48, 1.27) p=0.321	
Ethnic group	White	1.24 (0.76, 2.02) p=0.391	0.268
	Non-White	0.90 (0.67, 1.20) p=0.469	

187

188 # These models are fitted as time = Subgroup+Treatment group + Subgroup*treatment+ Age +
 189 StudyID + baseline ICS. Baseline ICS was not included in the model where outcomes between ICS
 190 subgroups were analysed.

191

192 **FeNO intervention and asthma control outcomes**

193 *Any loss of asthma control.* There were 787 participants who were controlled at baseline; 336 (43%)
194 remaining controlled until completion of the trial, 344 (44%) lost control and 107 (14%) were lost to
195 follow up for this outcome. The median (IQR) time to loss of control in these 344 patients was 22
196 (13, 30) weeks. There was no difference in mean age between those who did and did not lose
197 control (12.8 (SD 3.0) and 12.6 (SD 2.9) years respectively) and no difference in baseline ICS dose
198 (median (IQR) 400 (400, 1000) for both those who did and did not lose control). The interaction
199 terms between treatment arm and the five baseline participant characteristics for loss of asthma
200 control were non-significant, supplemental table 3. However, there was an indication of reduced
201 odds of loss of control in the FeNO arm versus standard arm in those subgroups of participants who
202 were not on LTRA at baseline, and in those who were not obese at baseline (supplemental table 3).
203 The number of controlled participants needed to treat with FeNO guided management to prevent
204 one losing control among those not treated with LTRA was 11.

205

206 *Time to loss of control.* Within the subgroup who lost control (n=344) the median (IQR) time to loss
207 of control was 17 (13, 30) weeks with standard treatment and 22 (13, 34) weeks with FeNO guided
208 treatment. The interaction terms with treatment arm were not significant for ICS dose ≤ 400 microg
209 versus >400 microg, atopy, LTRA treatment, white versus other race or obese (yes or no), table 5.
210 There was borderline evidence of a longer time to first loss of control for FeNO guided compared to
211 standard treatment within subgroups who were not treated with LTRA (HR 0.77 [0.60, 0.99] figure
212 2), non-obese (HR 0.77 [95% CI 0.61, 0.99] figure 3) and atopic (HR 0.80 [95% CI 0.63, 1.00]
213 supplemental figure 1), table 5.

214

215 Table 5. Results from cox regression models analysing time to first loss of control for subgroups of
 216 participants all of whom were controlled at baseline.

		Hazard Ratio for time to first exacerbation for participants where treatment was guided by F _E NO compared to standard care (95% CI)	Interaction p value
LTRA	No	0.77 (0.60, 0.99) p=0.038	0.230
	Yes	1.05 (0.68, 1.64) p=0.822	
ICS	<=400	0.82 (0.62, 1.10) p=0.182	0.899
	>400	0.84 (0.62, 1.16) p=0.293	
Obese	No	0.77 (0.61, 0.99) p=0.042	0.130
	Yes	1.15 (0.73, 1.81) p=0.538	
Atopy	No	1.29 (0.54, 3.08) p=0.566	0.293
	Yes	0.80 (0.63, 1.00) p=0.050	
Ethnic group	White	0.85 (0.58, 1.24) p=0.396	0.970
	Non-White	0.85 (0.64, 1.14) p=0.289	

217 # These models are fitted as time = Subgroup+Treatment group + Subgroup*treatment+ Age +
 218 StudyID + baseline ICS . Baseline ICS was not included in the model where outcomes between ICS
 219 subgroups were analysed.

220

221 **DISCUSSION**

222 We analysed data collected in seven RCTs to test the hypothesis that there are subgroups of patients
223 where $F_{E}NO$ guided treatment is more effective in improving asthma outcomes compared to
224 standard treatment. The main finding was that within these RCTs, the odds for exacerbation and
225 loss of control for those not treated with LTRA were 32% and 30% lower in the $F_{E}NO$ -guided arm
226 compared to standard treatment. The significant interaction term for LTRA treatment and
227 treatment for exacerbation indicated that $F_{E}NO$ driven management may have reduced
228 exacerbations for those not treated with LTRA but not among those treated with LTRA. A second
229 finding was that outcomes were no different between groups stratified by ICS dose, and ethnic
230 group. Collectively these findings support the hypothesis that $F_{E}NO$ is more useful for guiding
231 treatment compared to standard practice in children with asthma not treated with LTRA.

232 A further finding was that in non-obese participants (but not in obese participants), $F_{E}NO$ -guided
233 treatment was associated with a 31% reduction in odds for loss of control compared to standard
234 treatment and when control was lost, time to loss of control was longer. Although the interaction
235 term for obesity and treatment for loss of control was not significant, we believe that the improved
236 outcomes for non-obese children merits further consideration. There was consistency in our results
237 (i.e. an association with any loss of control and time to loss of control) and also there is biological
238 plausibility whereby asthma associated with obesity may be a separate non-eosinophilic phenotype,
239 especially in females [29]. A recent systematic review found no evidence of increased or reduced
240 asthma control among children who were obese [30] and asthma guidelines do not recommend
241 different treatment approaches for obese patients with asthma [4-6]. Further research is required
242 to clarify whether $F_{E}NO$ -guided treatment is equally effective in obese and non-obese children.

243 Our observation that time to loss of control was longer among children who were atopic receiving
244 $F_{E}NO$ -guided treatment compared to standard treatment deserves careful consideration. . The
245 number of non-atopic participants included in our analysis was relatively small since atopy was an

246 inclusion criterion for four cohorts [18,19,21,23] and the atopic subgroup were no more or less likely
247 to have an exacerbation or to lose control within the trials. Since $F_{E}NO$ is considered to be a
248 surrogate for allergic or eosinophilic airway inflammation [31] it is biologically plausible that $F_{E}NO$ -
249 guided treatment algorithms are more likely to suppress airway inflammation and improve asthma
250 control. Further evidence of biological plausibility comes from an RCT whose data are included in
251 our analysis [14] which found fewer days with maximal symptoms among those with elevated IgE
252 and multiple positive skin prick tests. Although non-atopic asthma is less common than atopic
253 asthma, e.g. present in 18% of participants in the three trials which did not include only atopic
254 participants [14,20,22], asthma is a very common condition and there are approximately 150-
255 200,000 non-atopic asthmatic children in the UK [1]. There is a need to establish whether treatment
256 and monitoring for atopic and nonatopic children should be the same.

257 The magnitude of significantly reduced risk for exacerbations and loss of control in the intervention
258 compared to standard treatment was typically 25-30% and this difference is clinically meaningful
259 since it is consistent with the benefit seen from commonly-used asthma treatments such as LTRA
260 and ICS. Knorr et al [32] report a 23% reduced incidence of exacerbations in young children treated
261 with montelukast compared to placebo. The review by Calpin et al[33] reports a 32% reduced risk for
262 oral steroid treatment for exacerbations among children treated with ICS compared to placebo.

263 The RCTs included in our study applied different inclusion criteria, $F_{E}NO$ -guided treatment algorithms
264 and asthma control scores, and these methodological differences will weaken any relationship
265 between the intervention and asthma outcomes. The seven RCTs did apply a standard definition of
266 exacerbation and apparatus for measuring $F_{E}NO$. Despite the differences between RCTs, we still
267 observed differences in outcomes between some of the subgroups studied, and it is likely that the
268 magnitude of difference that we report in outcomes between the subgroups stratified by LTRA
269 treatment, obesity and atopy may be an underestimate of the true value.

270 Our study was not designed to determine why F_ENO guided treatment was associated with improved
271 asthma outcomes among those not treated with LTRA compared to participants receiving LTRA
272 treatment. Treatment with LTRA is known to reduce F_ENO by approximately 25% in children with
273 atopic asthma [27] and may plausibly confound F_ENO-guided treatment, especially since the RCT
274 treatment algorithms did not consider the effect of LTRA on F_ENO. There is an alternative
275 explanation for the differences in exacerbation outcomes associated with LTRA treatment in
276 different RCT arms; those treated with LTRA were younger and had more severe asthma (including
277 higher ICS dose, needing LABA treatment and almost twice the exacerbation prevalence) and F_ENO-
278 guided asthma treatment may be less effective in more severe asthma rather than in children
279 receiving LTRA treatment *per se*. Given that LTRA are commonly used in asthma treatment, there is
280 a need to study the impact of LTRA treatment on F_ENO-guided asthma treatment.

281 We observed that when data from the RCTs were combined, F_ENO-guided asthma treatment was
282 associated with reduced risk for loss of control and time to loss of control among non-obese
283 children. This contrasts with the findings of an RCT whose data are included in the present analysis
284 [14] which reported fewer symptoms among obese participants (i.e. with BMI>30kg/m²) receiving
285 F_ENO -guided treatment. This apparent inconsistency may be due to several factors. First the
286 outcome in the paper by Szeffler *et al* [14] was days of maximal symptoms, but this variable was not
287 available in all the RCTs included in the present paper and therefore loss of control was the outcome
288 analysed here. Second, participants were all of African American or Hispanic ethnic origin, on higher
289 ICS dose and had a considerably higher obesity prevalence[14], and some or all of these difference
290 characteristics could explain different outcomes compared to the remaining six RCT participants. In
291 our study, the reduced odds for loss of control and time to loss of control for non-obese children
292 receiving F_ENO -guided treatment compared to standard treatment is likely to be underestimated
293 due to inclusion of F_ENO and asthma control data from the RCT of Szeffler *et al* [14].

294 There are some limitations to our study. First, the time to loss of control or first exacerbation was
295 restricted to the predetermined assessment periods and this lack of precision will weaken the
296 reported differences in these outcomes between sub groups. Secondly, the RCTs had different study
297 designs with different step-up/step-down criteria and management regimes. Third, ethnicity data
298 was only available for four of the seven RCTs and was therefore not included as a covariate in the
299 models, but ideally we would have included ethnicity in our model since ethnicity was associated
300 with differences between the other subgroups analysed(supplemental table 2) . Fourth, ideally we
301 would have performed a sensitivity analysis by excluding participants in the RCT by Szeffler *et al* [14]
302 since their characteristics were different to the remaining RCTs for age, ethnicity and obesity, but
303 this would have resulted in a 50% smaller sample size and the analysis would have been
304 underpowered. A final limitation is that self-reported ICS adherence was available in only three RCTs
305 included in our study [14,22,23] we were not able to compare outcomes between treatment arms
306 between adherent and non-adherent participants. Future research could test the hypothesis that
307 asthma outcomes are improved by F_ENO-guided treatment in adherent compared to non-adherent
308 patients.

309

310 In summary, we have used data from more than 1000 asthmatic children and report that F_ENO-
311 guided treatment lead to better asthma outcomes among those not treated with LTRA.

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410

411 **FIGURE LEGEND**

412 Figure 1. Kaplan Meier curves showing time to first exacerbation for patients whose asthma
413 treatment was guided by either fractional exhaled nitric oxide (“FENO”) or by symptoms only
414 (“standard”) and stratified by leukotriene receptor antagonist (LTRA) treatment. The difference
415 between treatment arms was significant for those not treated with LTRA ($p=0.048$) but not for the
416 patients treated with LTRA ($p=0.292$).

417

418 Figure 2. Kaplan Meier curves showing time to loss of control for patients who were initially
419 controlled and whose asthma treatment was guided by either fractional exhaled nitric oxide
420 (“FENO”) or by symptoms only (“standard”) and stratified by leukotriene receptor antagonist (LTRA)
421 treatment. The difference between treatment arms was significant for those not treated with LTRA
422 ($p=0.038$) but not for the patients treated with LTRA ($p=0.822$).

423

424 Figure 3. Kaplan Meier curves showing time to loss of control for patients who were initially
425 controlled and whose asthma treatment was guided by either fractional exhaled nitric oxide
426 (“FENO”) or by symptoms only (“standard”) and stratified by obese status. The difference between
427 treatment arms was significant for those who were not obese ($p=0.042$) but not for the patients who
428 were obese ($p=0.538$).

429

430

431