

Antenatal origins of reduced lung function - but not asthma?

Journal:	<i>Respirology</i>
Manuscript ID	Draft
Manuscript Type:	Editorial
Date Submitted by the Author:	n/a
Complete List of Authors:	Turner, Stephen; University of Aberdeen, Child Health
Subject Category – Select <i>up to 3 subject categories</i> that best match your manuscript and list them <i>in order of preference</i> .:	Asthma & Allergy
Keywords - Select up to 5 keywords:	Paediatric asthma

SCHOLARONE™
Manuscripts

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Antenatal origins of reduced lung function - but not asthma?

Steve Turner MD, University of Aberdeen

Contact details:

Dr Steve Turner, Child Health, Royal Aberdeen Children’s Hospital, Aberdeen, UK, AB25 2ZG

Tel +44 1224 438470, s.w.turner@abdn.ac.uk

Key words: Asthma, Fetus, Growth and Development, Infant, Respiratory Function Tests

CONFIDENTIAL for peer review only

1
2
3 Asthma is characterised by recurrent symptoms and abnormal respiratory physiology, but it has only
4
5 been over the last 20 years that there has been an answer to the chicken-and-egg question “what
6
7 comes first, the symptoms or the abnormal physiology?” Clinical experience tells us that the onset
8
9 of asthma symptoms is often during the first two years of life and to untangle the relationship
10
11 between symptoms and physiology, lung function measurements have been made during early
12
13 infancy and before the onset of symptoms. The first cohort to report on the relationship between
14
15 infant lung function and later asthma symptoms (the Tucson Children’s Study) observed that
16
17 abnormal respiratory physiology at two months of age preceded wheeze at one year and three years
18
19 but not wheeze persisting beyond three years¹. These results were generalised and it was
20
21 understood that abnormal respiratory physiology in early life was associated transient wheeze but
22
23 not asthma. However, birth cohorts from countries including Australia², Denmark³ and Norway⁴
24
25 subsequently reported that abnormal respiratory physiology in infancy was associated with
26
27 diagnosed asthma up to 18 years of age. The Tucson⁵ and Perth² cohorts have demonstrated that
28
29 reduced lung function persists from infancy through to early adulthood. The overwhelming evidence
30
31 is that abnormal physiology is present in early infancy and precedes symptoms, however the
32
33 relationship between abnormal physiology and symptoms is inconsistent.
34
35
36

37
38 The very early origins of abnormal respiratory physiology and asthma are consistent with the
39
40 concept of developmental origins of health and disease (DOHaD). The concept of DOHaD was
41
42 pioneered by an international collaboration of researchers who reflected on links between reduced
43
44 birth weight and increased risk for non-communicable disorders (NCDs) including coronary artery
45
46 disease, insulin resistance and asthma. The “fetal origins” and “thrifty phenotype” hypotheses in the
47
48 early 1990s were the initial proposals under the DOHaD “umbrella” and, to paraphrase, proposed
49
50 that fetal growth failure lead to physiological changes which enable survival to term at the expense
51
52 of later life-limiting NCDs. Observations that both high and low birth weight were associated with
53
54 increased risk for NCDs lead to a refining of the initial hypotheses into the paradigms of predictive
55
56 adaptive responses, developmental programming and developmental plasticity, which propose that
57
58
59
60

1
2
3 fetal growth depends on antenatal cues which indicate whether the postnatal environment will be
4
5 “hostile” or “favourable”; the individual is disadvantaged (i.e too big or small) when there is a
6
7 mismatch between antenatal cues and the postnatal environment. A small number of groups have
8
9 established cohorts to test the DOHaD by measuring antenatal and postnatal growth and relating
10
11 this to NCDs, which in children are limited to asthma and relatively few other conditions⁶.

12
13
14 The exact relationship between very early (antenatal) growth and respiratory outcomes in childhood
15
16 is not clear from the small number of publications currently available but a study in this issue of
17
18 *Respirology* brings some clarity⁷. The work by Sonnenschein-van der Voort *et al*⁷ reports
19
20 associations between antenatal and postnatal growth, asthma symptoms and pulmonary function at
21
22 six years of age. The authors used data from the Generation R study from Rotterdam, Netherlands,
23
24 which is currently the largest prospective cohort study designed to explore the DOHaD. The main
25
26 findings were that reduced antenatal weight and length (between 20 weeks and term) were
27
28 associated with abnormal respiratory physiology but not increased symptoms whereas increased
29
30 postnatal weight (at three months of age) was associated with increased risk for wheeze at six years
31
32 but not abnormal respiratory physiology. The analyses adjusted for previous length or weight, and
33
34 therefore these associations do not simply reflect antenatal growth failure being followed by post-
35
36 natal “catch up” growth.
37
38

39
40
41 So how do these findings fit into what we already know? We can be certain that excessive weight
42
43 gain in infancy is associated with increased risk for asthma⁸, whether this relationship is causal or by
44
45 confounding remains unknown. Consistent with the latest Generation R paper⁷, another study has
46
47 also reported associations between reduced fetal size and reduced lung function in childhood⁹. The
48
49 Southampton Women Survey¹⁰ and a third study⁹ have reported associations between changing
50
51 antenatal size and increased risk for asthma which is not apparent in the Generation R cohort⁷; if you
52
53 assume that fetal size is an index of lung function what we see in these studies^{7,9,10} is exactly what
54
55
56
57
58
59
60

1
2
3 has been described in the studies linking infant lung function to later symptoms, i.e. an inconsistent
4
5 relationship between abnormal respiratory physiology and symptoms.
6
7

8 Pulling it all together, these latest findings demonstrate that there are early origins of asthma
9
10 symptoms and even earlier origins of reduced lung function. Although the level of life-long lung
11
12 function seems to be determined at birth (premodelling), postnatal factors which probably include
13
14 atopy³ further refine the level of lung function (remodelling). It is increasingly clear that reduced
15
16 lung function predisposes to but does not cause respiratory symptoms; asthma symptoms are not
17
18 congenital and, by definition, are reversible so factors other than abnormal respiratory physiology
19
20 are at play. Consistent with the concept of multiple hits, it looks very much like the translation of
21
22 abnormal physiology into symptoms is dependent up on the individual's early and current
23
24 environment. Critical determinants of life-long health are active during the one thousand days
25
26 between conception and the second birthday, and interventions aimed at preventing asthma and
27
28 other non-communicable diseases seem most likely to be effective when delivered during this life-
29
30 shaping window of opportunity and before we stop toddling around in our nappies.
31
32
33
34
35

36 REFERENCES

- 37
38
39
40 1. Martinez FD, Wright AL, Taussig LM, Holberg CJ, Halonen M, Morgan WJ. Asthma and wheezing
41
42 in the first six years of life. The Group Health Medical Associates. *N Engl J Med* 1995; **332**(3): 133-8.
43
44
45
46 2. Turner S, Fielding S, Mullane D, Cox D, Goldblatt J, Landau LI, le Souef PN. A longitudinal study
47
48 of lung function from 1 month to 18 years of age. *Thorax* 2014; **69**: 1015.
49
50
51
52 3. Bisgaard H, Jensen SM, Bonnelykke K. Interaction between asthma and lung function growth in
53
54 early life. *American Journal of Respiratory & Critical Care Medicine* 2012; **185**(11): 1183-9.
55
56
57
58
59
60

- 1
2
3 4. Haland G, Carlsen KC, Sandvik L, et al. Reduced lung function at birth and the risk of asthma at
4
5 10 years of age. *N Engl J Med* 2006; **355**(16): 1682-9.
6
7
8 5. Stern DA, Morgan WJ, Wright AL, Guerra S, Martinez FD. Poor airway function in early infancy
9
10 and lung function by age 22 years: a non-selective longitudinal cohort study. *Lancet* 2007; **370**(9589):
11
12 758-64.
13
14
15 6. Alkandari F, Ellahi A, Aucott L, Devereux G, Turner S. Fetal ultrasound measurements and
16
17 associations with postnatal outcomes in infancy and childhood: a systematic review of an emerging
18
19 literature. *J Epidemiol Comm Health* 2015; **69**: 41-48.
20
21
22 7. Sonnenschein-van der Voort AM, Gaillard R, de Jongste JC, Hofman A, Jaddoe VW, Duijts L. Fetal
23
24 and infant growth patterns, airway resistance and school-age asthma. *Respirology* 2016; .
25
26
27 8. Sonnenschein-van der Voort AM, Arends LR, de Jongste JC, et al. Preterm birth, infant weight
28
29 gain, and childhood asthma risk: a meta-analysis of 147,000 European children. *Journal of Allergy &*
30
31 *Clinical Immunology* 2014; **133**(5): 1317-29.
32
33
34 9. Turner S, Prabhu N, Danielan P, et al. First- and second-trimester fetal size and asthma
35
36 outcomes at age 10 years. *American Journal of Respiratory & Critical Care Medicine* 2011; **184**(4):
37
38 407-13.
39
40
41 10. Pike KC, Crozier SR, Lucas JS, et al. Patterns of fetal and infant growth are related to atopy and
42
43 wheezing disorders at age 3 years. *Thorax* 2010; **65**(12): 1099-106.
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60