

1 **Perceptions of Practitioners: Managing Marine Protected Areas for Climate** 2 **Change Resilience**

3

4 **Abstract**

5 Climate change is impacting upon global marine ecosystems and ocean wide changes in
6 ecosystem properties are expected to continue. Marine Protected Areas (MPAs) have
7 been implemented as a conservation tool throughout the world, primarily as a measure
8 to reduce local impacts, but their usefulness and effectiveness is strongly related to
9 climate change. MPAs may have a role in mitigation through effects on carbon
10 sequestration, affect interactions between climatic effects and other drivers and be
11 affected themselves as the distributions of protected species change over time. However,
12 to date, few MPA programmes have directly considered climate change in the design,
13 management or monitoring of an MPA network. This paper presents a series of
14 international case studies from four locations: British Columbia, Canada; central
15 California, USA; the Great Barrier Reef, Australia and the Hauraki Gulf, New Zealand; to
16 review perceptions of how climate change has been considered in the design,
17 implementation, management and monitoring of MPAs. The results indicate that some
18 MPA processes have already incorporated design criteria or principles for adaptive
19 management, which address some of the potential impacts of climate change on MPAs.
20 Key lessons include: i) Strictly protected marine reserves are considered essential for
21 climate change resilience and will be necessary as scientific reference sites to understand
22 climate change effects ii) Adaptive management of MPA networks is important but hard
23 to implement iii) Strictly protected reserves managed as ecosystems are the best option
24 for an uncertain future. Although the case studies addressed aspects of considering
25 climate change within MPA networks and provided key lessons for the practical inclusion
26 of these considerations, there are some significant challenges remaining. This paper
27 provides new insights into the policy and practical challenges MPA managers face under
28 climate change scenarios.

29 Key Words: adaptive management, climate change, conservation, marine protected
30 areas, resilience

31 **1. Introduction**

32 Climate change in the marine environment is having a substantial impact on marine
33 ecosystems, and there is an extensive body of literature evaluating these impacts (see
34 Harley et al., 2006; Hoegh-guldberg, 2010; Pörtner et al., 2014). Climate change as a
35 stressor on the marine environment operates at a global scale and therefore cannot be
36 removed locally (Micheli et al., 2012). Marine Protected Areas (MPAs) as spatially explicit
37 conservation tools cannot directly influence all impacts of climate change affecting
38 species and habitat traits, however, MPAs are still a useful tool in climate change
39 adaptation and mitigation (Côté and Darling, 2010; McLeod et al., 2009).

40

41 The predicted climate change impacts on marine ecosystems: temperature increases,
42 rising sea levels, ocean acidification, changing circulation patterns, changes in weather
43 conditions and dissolved oxygen levels (Hoegh-guldberg, 2010; Pörtner et al., 2014), can
44 directly and indirectly affect species distributions and abundances, community
45 composition, habitat quality, and changes in population dynamics (Cheung et al., 2009;
46 Harley et al., 2006; Lawler, 2009). The cumulative effects of climate change and
47 anthropogenic drivers, (e.g. fishing) can lead to complex patterns of change and result in
48 enhanced vulnerability of natural and human systems (Halpern et al., 2008; Pörtner et al.,
49 2014). At an ecosystem level, interactions between climate change impacts and fishing
50 can enhance diversity loss in benthic communities (Griffith et al., 2011) and promote a
51 change in ecosystem structure (Kirby et al., 2009). Additionally, the truncating effect of
52 fishing on age and size structure of populations can lower population recruitment
53 variability and reduce their ability to buffer environmental fluctuations (Perry et al.,
54 2010).

55

56 Protection of marine biodiversity from local stressors, such as fishing, can enhance the
57 resilience of species and habitats to climate change impacts (Micheli et al., 2012).
58 Mitigation of global climate change may also be enhanced by protecting habitat areas
59 that contribute to carbon sequestration, including mangroves, seagrasses, and salt
60 marshes (Crooks et al., 2011). However, the low predictability and variability of
61 ecosystems to climate change may undermine the effectiveness of conservation
62 measures (Pörtner et al., 2014). As a result, there have been numerous calls to consider

63 climate change in the establishment of MPAs to ensure marine biodiversity is protected
64 effectively under future climatic scenarios (McLeod et al., 2009; Salm et al., 2006).

65

66 MPAs have historically been implemented on an individual basis to address local
67 stressors, more recently, MPA networks have been planned to achieve larger scale
68 conservation by protecting wider ecosystems and being strategically placed (IUCN-WCPA,
69 2008). An MPA network is intended to operate more effectively and comprehensively
70 than individual MPA sites alone and over various spatial scales (IUCN-WCPA, 2008),
71 however, there is little evidence of MPA sites within a network performing synergistically
72 (Grorud-Colvert et al., 2014). An additional concern is that MPA networks have not been
73 designed with climate change in mind (Gaines et al., 2010), and therefore, are not
74 optimising potential benefits.

75

76 Conflict exists between local and national initiatives with differing priorities and differing
77 capacities to implement MPAs or MPA networks. International and regional agreements
78 require a network approach to MPA designation, yet these agreements rely on member
79 states to implement the recommendations (e.g. The Convention for the Protection of the
80 Marine Environment of the North-East Atlantic (OSPAR)). Even where legal sanctions are
81 available, there is no clear definition of a “network”, against which MPAs could be tested.

82

83 Understanding the perceptions of those involved in resource management and
84 conservation is important for understanding the policy process and the success of
85 management action. Yet most research has focused on using the perceptions of end users
86 to inform and improve resource management; a lack of research surrounding perceptions
87 of environmental managers has been identified (Cvitanovic et al., 2014). Exploring the
88 perceptions and opinions of those involved in MPA processes informs of operational and
89 political realities that may not be published the academic literature. The aim of this study
90 was to explore perceptions and experiences in four different case study locations of how
91 climate change is considered in MPA processes and networks. Three key objectives of this
92 study were: i) identify how climate change considerations have been successfully included
93 in these MPA processes thus far ii) explore the perceived barriers to including
94 considerations of climate change in these MPA processes iii) provide insights into best
95 practice advice for climate change resilient MPAs.

96

97

98 **2. Materials and Methods**

99 **2.1 Case Study Selection**

100 Four case study locations were selected for inclusion in this study: British Columbia,
101 Canada; Central California, USA; Great Barrier Reef; Australia and Hauraki Gulf, New
102 Zealand. All had liberal democratic governments with functioning law enforcement
103 systems, free press, market capitalist economies and well-developed expertise in marine
104 science and conservation. The ecosystems considered varied from coral reefs to cold
105 temperate coasts and coastal to offshore systems (see Table 1).

106

Table 1 Background on case studies.

Case Study	Planning region extent	Governance	Composition of MPA "network"	Climate change context	Ecological context	Key References
British Columbia, Canada	450,000km ² internal and offshore waters; 185 MPAs covering 28% coastline and 2.8% EEZ	First Nations Government, local, provincial and federal government responsible for proposing MPAs	MPAs designated under provincial or federal designations. Varying levels of protection from no-take areas to fisheries management areas	Recognition of climate change impacts in the marine environment in the academic and grey literature. Links between MPA network design and climate change.	Diverse and productive system; planning region incorporates inshore coastal areas and offshore seamounts.	(Ban et al., 2014; Burt et al., 2014; Government of Canada, 2014)
Central Coast California, USA	2,964km ² of state waters: ocean, estuary, and offshore waters from Pigeon Point south to Point Conception; 29 MPAs covering 18% coastline or 535km ²	CDFW ¹ responsible for MPA management, work with MPA Monitoring Enterprise (a programme of California Ocean Science Trust), California Ocean Protection Council and California Sea Grant	MPA classifications from strictly protected State Marine Reserves (SMRs) to areas where select recreational take activities are permitted.	Baseline data from the MPA network monitoring programme intended to be used to inform future climate change adaptation. Clear recognition in policy documents, grey and academic literature.	Temperate, biologically productive, dynamic oceanographic conditions, shallow estuarine habitat to deep sea habitat.	(California Ocean Science Trust and California Department of Fish and Wildlife, 2013; Fox et al., 2013; Saarman and Carr, 2013)
Great Barrier Reef, Australia	344,400km ² Great Barrier Reef Marine Park	GBRMPA ² , Federal Government Agency, is responsible for managing the GBR, in addition to the Queensland Government, and numerous advisory groups and stakeholder committees.	Multi-use MPA network, zoning plans set out areas where different types of fishing are allowed. Zones vary in protection from Preservation zones ("no-go" areas; no extractive activities) to General Use Zones (provide opportunities for use)	Climate change identified as one of the greatest threats to the long term health of the GBR. Clear recognition in policy documents, grey and academic literature.	Complex and diverse coral reef system; variety of marine habitats extending over shallow estuarine areas to deep oceanic waters.	(Day and Dobbs, 2013; Great Barrier Reef Marine Park Authority, 2014)
Hauraki Gulf, New Zealand	1.2 million hectares Hauraki Gulf Marine Park, 6 marine reserves	Regional Council, New Zealand Government	Two categories of MPA: Marine Reserves with the purpose of preserving marine life for scientific study and other MPAs established using other management tools and have a broad definition e.g. benthic protection areas	Recognition of climate change impacts in the marine environment in the academic and grey literature. No clear link between MPAs and climate change.	Gulf area extends from deep ocean to bays, inlets. Temperate, diverse and productive system.	(Ministry of Fisheries and Department of Conservation, 2008); (Ballantine, 2014)

¹CDFW: California Department of Fish and Wildlife²GBRMPA: Great Barrier Reef Marine Park Authority.

112 In British Columbia, Canada, MPAs have so far been implemented on an ad-hoc, site by
113 site basis with little overall co-ordination of protected sites and jurisdictional
114 uncertainties (Ban et al., 2014). Yet there has been progress towards the design of MPA
115 networks (Ban et al., 2014) with some discussion of climate change resilient MPA network
116 design (Burt et al., 2014).

117

118 The Marine Life Protection Act (MLPA) (California State Law, enacted 1999) mandated a
119 redesign of California's existing MPAs to create a state-wide MPA network (Fox et al.,
120 2013) and the successful implementation of California's MPA network is often used as an
121 exemplary case for stakeholder involvement in MPA design and planning. The MLPA
122 requires each MPA to have goals and objectives, whilst collectively the MPA network
123 should achieve the overall goals and guidelines of the Act (MLPA, 1999). A clear
124 monitoring framework to evaluate MPA effectiveness was developed and the central
125 California coast was the first region in the state wide network to report on the monitoring
126 results after five years of the network being implemented (see California Ocean Science
127 Trust and California Department of Fish and Wildlife, 2013).

128

129 The world's largest coral reef system, the Great Barrier Reef, Australia is managed by the
130 Great Barrier Reef Marine Park Authority (GBRMPA) and is designed as a multiple use
131 park regulating through a zoning plan. There is a clear recognition of climate change in
132 monitoring and management of the Great Barrier Reef Marine Park as demonstrated by
133 the development of a climate change adaptation strategy (see Great Barrier Reef Marine
134 Park Authority, 2012) and the long term sustainability plan (Commonwealth of Australia,
135 2015) . It is also important to note the highly sensitive political nature of the GBRMP, with
136 recent debates over the UNESCO World Heritage status and the threats posed by
137 continued activities on and around the reef.

138

139 New Zealand has a long history of implementing marine reserves, with the first marine
140 reserve, Cape Rodney-Okakari Point, in the Hauraki Gulf, established in 1975 under the
141 Marine Reserves Act, 1971. However, these marine reserves were primarily designated
142 for local protection and were established individually and independently, not considering
143 larger scale processes or wider biodiversity (Thomas and Shears, 2013).

144

145 **2.2 Data Collection**

146 In-depth interviews were used to explore the range of opinions and experiences
147 surrounding climate change and MPAs. The advantage of in depth interviews in
148 untangling complex topics and exploring experiences and perceptions made this a
149 particularly good method for this study (Qu and Dumay, 2011). Interviews were
150 conducted with MPA managers, academics with experience of climate change and marine
151 conservation interventions, NGO employees with a direct link to MPA processes in each
152 case study region and governmental staff.

153

154 Interviewees were identified from a review of the academic literature and grey literature
155 including government and NGO reports. Further participants were identified through
156 snowball sampling. The interviews were conducted using a semi structured format which
157 allowed for an open, flexible question order and discussion format (Bryman, 2008; Rubin
158 and Rubin, 2012). The semi-structured format allowed the researcher to narrow the
159 discussion topics, but the interviewees' responses determined the information produced
160 about those topics and the relative importance of each of the topics (Green and
161 Thorogood, 2014). After reviewing the literature regarding MPAs and climate change, five
162 key topics were defined: i) MPA network design ii) policy structure iii) management of
163 MPAs/networks iv) stakeholder considerations v) barriers to including considerations of
164 climate change.

165

166 **2.3 Data Analysis**

167 Each interview was fully transcribed using QSR International NVivo software (QSR
168 International Pty Ltd, 2010), which facilitated organisation, coding and retrieval of the
169 data (Bazeley and Jackson, 2013). Coding is the process of data naming or labelling (Miles
170 and Huberman, 1994). An inductive grounded theory approach to coding was chosen (as
171 demonstrated in Alexander et al., (2013) to ensure that the codes generated remained
172 "grounded" in the data (Corbin and Strauss, 2015). However, as this study did not aim to
173 create theory, rather as an exploratory study it aimed to explore the key issues
174 surrounding MPAs in the context of climate change in the four case studies, the grounded
175 theory method was only used as a coding strategy (as demonstrated in Alexander et al.,
176 (2013)). The first step is to intensely code the data through a line-by-line analysis(Corbin
177 and Strauss, 2015; Green and Thorogood, 2014) generating open codes or conceptual

178 labels. These “open codes” were then grouped into focused codes by gathering those that
 179 appeared to relate to similar phenomena. The third step, more selective coding, builds
 180 relationships between categories from which the core categories or themes emerge
 181 (Figure 1.). Analytical memos were written throughout the analysis, which allowed the
 182 researcher to document emerging relationships between the codes and categories (Green
 183 and Thorogood, 2014).

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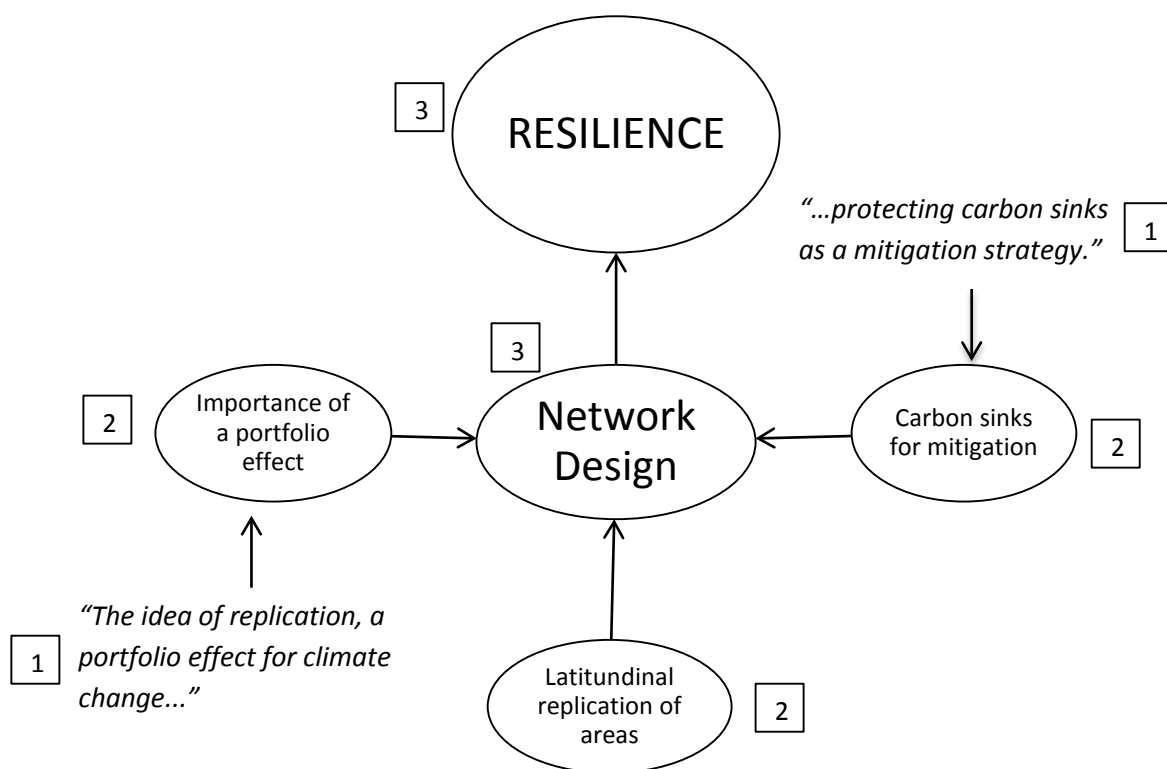
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197 Figure 1 Diagram representing the coding process: (1) line by line analysis given a conceptual label or “open
 198 code”; (2) grouping “open codes” into focused codes; (3) linking focused codes into core categories and
 199 themes. Modified from Alexander et al. (2013).
 200

201 3. Results

202 Twenty in depth exploratory interviews were conducted between February and April
 203 2013, either face-to-face or using Skype software. Interviews were conducted with a mix
 204 of MPA managers, academics, NGO employees and governmental staff in each of the case
 205 study locations (Table 3). The type of participants in each location is indicative of those
 206 involved directly in the MPA process or having expert knowledge of climate change in the
 207 marine environment with reference to MPAs, The results are presented as follows: a
 208 description of the key themes identified in each case study with illustrative quotes

209 followed by a cross-case study comparison for which conceptually-clustered matrices (as
 210 described in Miles and Huberman (1994) have been produced.

211

212 Table 2 Characteristics of interview participants
 213

Interviewee	Job Role*	Case Study Location	Identification Method
Interviewee 1	NGO Employee	British Columbia	Grey literature
Interviewee 2	Academic	British Columbia	Academic literature, referral
Interviewee 3	Academic	British Columbia	Academic literature, referral
Interviewee 4	NGO Employee	British Columbia	Grey literature
Interviewee 5	NGO Employee	British Columbia	Grey literature
Interviewee 6	NGO Employee	British Columbia	Grey literature, referral
Interviewee 7	MPA Planner ¹	Central California	Academic literature
Interviewee 8	MPA Manager ²	Central California	Grey literature
Interviewee 9	Governmental Staff	Central California	Referral
Interviewee 10	NGO Employee	Central California	Referral
Interviewee 11	MPA Manager	Great Barrier Reef	Referral
Interviewee 12	MPA Manager	Great Barrier Reef	Referral
Interviewee 13	Academic	Great Barrier Reef	Academic literature
Interviewee 14	Governmental Staff	Hauraki Gulf	Referral
Interviewee 15	NGO Employee	Hauraki Gulf	Referral
Interviewee 16	Academic	Hauraki Gulf	Academic literature, referral
Interviewee 17	Academic	Hauraki Gulf	Academic literature, referral
Interviewee 18	Academic	Hauraki Gulf	Referral
Interviewee 19	Academic	Hauraki Gulf	Academic literature
Interviewee 20	Academic	Hauraki Gulf	Academic literature, referral

214 *This refers to the job role category held at the time of the interview

215 ¹ MPA planner: Active role in planning stage of MPA development

216 ² MPA manager: Role in current management (at the time of interview) of MPA/MPA network

217

218 **3.1 British Columbia, Canada**

219 ***3.1.1 Future conservation values***

220 How the marine environment is perceived and how marine services or biodiversity are
 221 valued under climate change scenarios was mentioned by several participants. One
 222 participant suggested that in current MPA processes, there is a need to consider how
 223 marine biodiversity will change in the future.

224 *“I think another barrier probably is that we haven’t yet had clear conversations about*
 225 *what values we want to see into the future... But those are the types of conversation that*
 226 *need to happen for us not only to adequately manage the current suite of values that we*
 227 *have, but to understand what is the value or the service that we desire so that we can*

228 *successfully manage a transition where a transition may be starting to occur.” NGO*

229 **Employee 6**

230 This relates to the setting of clear objectives and how these objectives might change in
231 the future depending on how we view the marine environment and services we expect
232 MPAs to deliver under climate change scenarios. There was recognition that as species
233 and habitats change within MPAs, there will need to be a rethink about how we view
234 biodiversity.

235 *“So you might get different species there, some species might go extinct, other species,
236 we don’t call them invasive anymore, you have to call them climate refugees” NGO*

237 **Employee 1**

238 By viewing species and habitat shifts due to climate change as part of an inevitable
239 process, this could change the management of MPAs as fixed sites, with fixed species or
240 habitat assemblages.

241

242 **3.1.2 Design criteria for climate change resilience**

243 A large amount of discussion was in reference to the scientific and ecological principles
244 for good MPA network design. Some interviewees suggested that potential design criteria
245 could ensure marine biodiversity was protected under scenarios of climate change.

246 *“The idea of replication, a portfolio effect for climate change, we don’t really know what’s
247 going to happen but if we have representivity and replication then that’s our way of
248 safeguarding against climate change.” NGO Employee 5*

249 Specific ideas were proposed, such as selecting sites that have a direct link to climate
250 change impacts. There was general agreement for protecting areas that will perhaps be
251 more resilient to climate change, ones that are biodiversity rich, areas of high productivity
252 or specific habitats that can act as climate change mitigation.

253 *“I think the best thing that I’ve seen so far, which is climate change specific, is the idea of
254 protecting carbon sinks as a mitigation strategy. Most of the carbon sinks are critical
255 habitats anyway, so there’s overlap there with the regular ecological principles.” NGO*

256 **Employee 5**

257 *“So I think one way to resolve that would be to set up bigger MPAs than previously and*
258 *actually encapture the area that would potentially be changing or affected under climate*
259 *change.” Academic 3*

260 There was some uncertainty regarding how the impacts of climate change would affect
261 MPAs and therefore, incorporating good ecological principles was considered important.
262 Some of strategies such as moveable MPAs were considered scientifically appropriate but
263 politically unfeasible.

264

265 **3.1.3 The slow process of implementation**

266 The majority of respondents commented on the slow process in British Columbia of
267 implementing marine protected areas. This was closely related to suggestions that
268 incorporating climate change into network design is practically very difficult because the
269 capacity or political will to do so is limited.

270 *“To think about designing MPAs and thinking about how things might change and how*
271 *that is incorporated into the network design is going to be a huge challenge...how*
272 *[governments] are going to deal with something that’s going to be dynamic and changing,*
273 *we just don’t seem to have things set up in a way that will make that easy to do.” NGO*
274 **Employee 4**

275 Concerns were raised that the slow pace and jurisdictional complexity of the MPA process
276 was generating confusion and that incorporating considerations of climate change would
277 add to a general feeling of process exhaustion. Several participants emphasised the close
278 relationship between Canadian NGOs and the establishment of MPAs. It was explained
279 that the various NGOs have different roles; some have an important role in providing and
280 coordinating scientific advice for the establishment of MPAs and others have a strong
281 lobbying role. It was viewed by some participants that NGOs and the First Nations
282 Government were a driving force for implementation of MPAs along the BC coast.

283

284 **3.2 Central California, US**

285 **3.2.1 Clear objectives**

286 There was a consensus that clear objectives were needed in order to evaluate whether an
287 MPA was successful. Several respondents mentioned the difference between site level,
288 MPA objectives that often relate to stakeholder views of success, objectives that can
289 inform monitoring effort and the overall goal of the Californian MPA network to protect
290 marine biodiversity.

291 *“In more recent years there’s been more emphasis on the value of PAs, not just for*
292 *productivity increases, but for resilience. They do harbour greater biodiversity and that is*
293 *an important hedge against climate change impacts. Biodiversity and protecting the*
294 *functions of ecosystems is one of the primary goals of the MLPA, so indirectly, there’s a*
295 *goal that related very strongly to climate change.” NGO Employee 10*

296 Monitoring objectives for climate change were thought to be needed although there was
297 recognition that climate change specific monitoring objectives had not been explicitly
298 stated, instead objectives for protecting functioning whole ecosystems were acting as a
299 proxy for resilience.

300

301 **3.2.2 Strong monitoring framework**

302 The connection between setting clear objectives in order to be able to evaluate the
303 success of an MPA network and a strong monitoring framework was discussed. There was
304 an acknowledgement that resources for monitoring are often limited, which therefore
305 made the setting of very clear objectives that were measurable and realistic, a priority.
306 Respondents discussed the value of citizen science for monitoring in relation to
307 maximising resources and the huge task of monitoring, not only to ascertain success, but
308 to also monitor for climate change impacts.

309 One participant suggested that monitoring would need to be adaptive; there may be
310 other stressors or issues to monitor for in the future that will need to be incorporated
311 into a monitoring framework.

312 *“One of the things that we recognised early on is that if we’re thinking about monitoring*
313 *towards broad goals like those in the MLPA, that talk about protecting ecosystems, surely*

314 *we should be able to have some pieces that we can add onto the core monitoring*
315 *framework that address other issues whether it's fisheries or invasive species or climate."*

316 **NGO Employee 8**

317 There was also the recognition that in terms of climate change impacts, monitoring will
318 have to be coordinated across the state, such that monitoring of individual MPAs should
319 feed into broader scale monitoring of large-scale impacts. One participant mentioned that
320 there is one entity for managing the network state wide, therefore the capacity for
321 monitoring impacts should be in place.

322

323 **3.2.3 An adaptive approach**

324 The importance of having an adaptive approach to the overall management of an MPA
325 network was emphasised in the context of climate change, yet more work to understand
326 how adaptive management would work in an MPA context was needed.

327 *"I think the major knowledge gap is how do we manage these things and then how do we*
328 *monitor them with good questions and good metrics and answer the right questions and*
329 *then based on that monitoring, how do we know how to change the network how it needs*
330 *to be changed. I think that is a major area that we really need to think about more and it's*
331 *going to be really tough and it's going to be critical to the network's success."* **MPA**

332 **Planner 7**

333 Adaptive management was discussed in relation to monitoring and how monitoring
334 should look at *what* elements are changing, but also should be attempting to answer *why*
335 things are changing.

336

337 **3.3 Great Barrier Reef, Australia**

338 **3.3.1 Clear recognition of climate change**

339 There was a clear recognition that to manage the GBR, climate change must be
340 recognised and be at the forefront of management and monitoring.

341 *"...really up front recognition of climate change right from the start in as many places as*
342 *possible. As in all the aspects of the planning. It's not the only consideration but it has an*
343 *influence of so many aspects of what marine park management and design is all about. If*

344 *it's one of the things that's on the table at the start, it will just naturally be part of the*
345 *conversations and the decisions and it's not something that has to be overlaid later."*

346 **MPA Manager 11**

347 Two respondents noted that climate change was specifically addressed in reporting on
348 the state of the network and also is recognised in relation to business and users along the
349 GBR. Respondents also gave specific examples of adaptive management and highlighted
350 the importance of such approaches in the face of climate change. One respondent noted
351 the possible need for an "interventionist approach".

352

353 **3.3.2 Multiuse MPA network**

354 There was some discussion of the zoning approach to the GBR, particularly in relation to
355 the importance of preservation "pink" zones as scientific baselines; one participant
356 suggested that there should be more of these areas. Also, that for "green" no-take areas
357 to be effective long term they would need to be integrated into broader scale
358 management.

359 *"I'm really worried when I talk to people around the world about MPAs that there seems*
360 *to be a real focus on just the no-take part of it. And what I've seen is people setting up*
361 *these really small no-take areas, which are really resource intensive and are set within a*
362 *sea of unmanaged, overfished and polluted, and these aren't going to be viable in the long*
363 *term."* **MPA Manager 12**

364 It was suggested that there should be an allowance for users in an MPA network, but
365 there should be a core of strict protection that integrates into other management. There
366 was a sense that users should be "stewards of the reef" and large-scale impacts such as
367 climate change would require collaborative management.

368

369 **3.3.3 Managing for climate change impacts**

370 One participant related managing for climate change impacts to providing refugia from
371 disturbance events, and protection of recolonisation sources to minimise the chances of
372 losing a whole system or MPA through a single disturbance event.

373

374 *“Thinking about risk based approaches, that is something we’re starting to do a lot of in*
375 *the way we think and some of the projects looking at cumulative impacts and multiple*
376 *scale, geographically and otherwise of multiple impacts and accumulations of impacts.”*

377 **MPA Manager 11**

378 There was an emphasis on cumulative impacts and minimising these through integrated
379 management on land and sea. However, one respondent stated that although work had
380 begun to understand cumulative impacts, there was still a knowledge gap in terms of how
381 impacts may interact synergistically.

382

383 **3.4 Hauraki Gulf, New Zealand**

384 **3.4.1 Marine reserves**

385 Strong opinions were given in reference to the importance of strictly protected marine
386 reserves (as compared to multi-use MPAs where some extractive activities are still
387 permitted). It was suggested by the majority of respondents that marine reserves are
388 important for climate change resilience.

389 *“I guess one of the big things about marine reserves in relation to climate change is it’s*
390 *been shown that marine reserves are more resilient to change, and perturbations of*
391 *various sorts. If there is a problem they tend to recover quicker than fished areas.”*

392 **Academic 18**

393 In addition to the importance of marine reserves for resilience, the importance of marine
394 reserves as reference areas was also discussed in relation to climate change.

395 *“The other thing is that by having [marine reserves], you also provide for monitoring, so*
396 *that you can actually monitor the response of ecosystems and the populations of species*
397 *to a changing climate and ocean acidification in the absence of confounding factors such*
398 *as human impacts.”* **NGO employee 15**

399 Several participants commented on the importance of being able to monitor in
400 undisturbed areas, free from extractive activities in order to understand changes without
401 confounding effects.

402

403 **3.4.2 Importance of monitoring**

404 Several participants mentioned the importance of monitoring in order to understand
405 whether the management action is effective. There was some discussion that in the
406 context of long-established marine reserves, monitoring objectives have changed over
407 time, and this should be recognised as part of an adaptive monitoring approach. Newly
408 established reserves were monitored for initial changes resulting from protection,
409 however, now they can form part of a long term monitoring programme to identify
410 climate change impacts across a network. Several issues relating to the lack of monitoring
411 and the resulting problems were raised by respondents.

412 *“The concern is that the monitoring that’s been done, isn’t been done well enough; with*
413 *the right methods, the right experimental design, the right replication to detect an effect,*
414 *to really know if there is an effect. And also, without information prior, it’s quite hard to*
415 *know how effective an MPA has been”.* **Academic 17**

416 A concern, however, was that there are always limited resources, and therefore the
417 monitoring task for a large scale network is huge, and incorporating more factors
418 (including climate change) adds to this large monitoring load.

419

420 **3.4.3 Limitations of the process**

421 The majority of respondents reported on the limitations of the Marine Reserves Act for
422 establishing MPAs for any other purpose than for scientific research. Respondents
423 considered that for an MPA network to be effective into the future, New Zealand should
424 build on the foundation of marine reserves and include conservation of biodiversity as an
425 objective for new MPAs, in line with international policy.

426 *“It’s interesting because in New Zealand, you’ve got the history of setting up reserves*
427 *under scientific use and most countries now, have moved to the idea of biodiversity*
428 *conservation for their MPAs.”* **Academic 16**

429 There was criticism of the MPA process in New Zealand, which most respondents felt was
430 politically stalled with no momentum to drive forward the implementation of a
431 functioning network of MPAs. One respondent commented that there was no “strategic
432 oversight” for an MPA network to be created, and another respondent commented that
433 any policy documents produced were vague and scientifically lacking.

434

435 **3.5 Cross Case Study Comparison**

436 Comparisons between case studies yielded emergent themes of characteristics of MPAs
437 for climate change resilience (Table 4) and the perceived barriers to including
438 considerations of climate change in MPA processes (Table 5). Through the cross-case
439 study analysis four key issues emerged and were identified which are presented in the
440 Discussion.

442 Table 3 Conceptually clustered matrix: characteristics for climate change resilient MPA networks. The characteristics in italics are discussed further in the text.

Characteristics (Based on participant responses)	British Columbia, Canada	Central California, US	Great Barrier Reef, Australia	Hauraki Gulf, New Zealand
Design				
<i>Effective protection/Marine reserves</i>	X	Y	Y/X– consensus for the need of them but debate around their effective inclusion	Y/X– consensus for the need of them but debate around their effective inclusion
<i>Moveable MPAs</i>	X			X
<i>Adequate size</i>	Y	Y	Y	
<i>Forecasting resilient sites</i>	X		-	
Buffer zones	X			-
Mitigation sites (e.g. carbon sinks)	Y	Y	Y	X
Replication/Portfolio Effect	Y	Y	Y	-
Representative	Y	Y	Y	-
Connectivity	Y	Y	Y	X
<i>Clear, measurable objectives</i>	X	Y	Y	-
Protecting ecosystem functions		Y	Y	-
<i>Specific recognition of climate change in design</i>	Y- discussions in the NGO community	X	Y	-
Coherent network		Y		X
Monitoring				
Climate change indicators	X	Y	Y	Y
Citizen science	Y	Y	Y	
Baseline data	X	Y	Y	Y
Long term monitoring	X	Y	Y	Y
Strong framework	X	Y	Y	
Monitoring coordinated as a network	X	Y	Y	
<i>Reference sites for monitoring</i>		Y	Y	Y
Management				
<i>Adaptive approach</i>	X	Y	Y	X
Incorporating updating scientific information	Y	Y	Y	-
Long term commitments		Y	Y	-
Co-operation between agencies	X	Y	Y	-
Enforcement	Y	Y	Y	-
Flexible activities management	Y	Y		

Proactive versus reactive	X	Y		
Additional management measures	X	Y	Y	-
Leadership			Y	X
Integrated planning land and sea		-	Y	-
Other				
Reviewing gaps in protection	X	Y	Y	-
Considering future values for biodiversity	Y-discussions in the NGO community			
Communication with users/stakeholders	Y		Y	-
Public engagement		Y	Y	X
Facilitating policy environment	X	Y	Y/X- consensus for the need of but debate around effective inclusion	X
Independent scientific advice	X	Y	Y	Y
Long term vision		Y	Y	-
Vulnerability assessment			Y	
<i>Recognition of climate change in all aspects of the process</i>		X	Y	X

443

Y- Characteristic referred to by respondents and considered to be included (or intended to be) in the MPA process

444

X- Characteristic referred to by respondents, but not considered to be included in the MPA process/not explicitly referred to in the process

445

- Discussed by respondents but no reference to the specific case study MPA network/process

446

447

448 Table 4 Conceptually clustered matrix: analytical codes concerning perceived barriers to including considerations of climate change in MPA process. The barriers in italics are
 449 discussed further in the text.

Characteristics (Based on participant responses)	British Columbia, Canada	Central California, US	Great Barrier Reef, Australia	Hauraki Gulf, New Zealand
Design				
<i>Ability to adapt the network design over time</i>	X		Y	X
Understanding ecosystem connectivity			Y	X
Counterproductive targets		Y		
Lack of scientific guidelines				X
Lack of effective protection	X		Y/X	Y/X
Different objectives for or perceptions of a successful MPA				X
Monitoring				
<i>No clear questions for monitoring</i>		Y		-
Resources			Y	
Need for long term monitoring				-
Management				
How climate change affects the activities being managed		Y	Y	
Bad relationships with network users		Positive relationships described	Y	-
Decision making for changing the network			Y	
<i>Understanding cumulative impacts</i>			Y	
Communicating scientific advice to managers			Y	
Lack of resources	X	Y		X
<i>Lack of adaptability</i>	X		Y	X
Other				
Scientific understanding of impacts		Y	Y	
Inflexible policy environment	X		X	X
Understanding socioeconomic impacts		Y		
Lack of communication/public engagement			Y	X
Shifting baselines			Y	
No political will			Y/X	X
<i>Slow process</i>	Y/X		Y	X

Understanding how to engage stakeholders	X	-
Conflict between policy departments		X

- 450 X perceived as a barrier by respondents
- 451 Y perceived as a barrier but also recognise there is capacity to overcome the barrier
- 452 Y/X perceived as a barrier but some debate from respondents as to the capacity to overcome the barrier
- 453 - Discussed by respondents but no reference to the specific case study MPA network/process
- 454
- 455

456 **4. Discussion**

457 Four key issues for incorporating climate change considerations into MPA processes
458 emerged through in-case study analysis and cross-case comparisons and are presented
459 below. The aim of this study was to document specific perceptions and opinions in the
460 context of each case study location, as such, the results presented are not intended to be
461 generalised. Indeed, the success and effectiveness of MPA processes is highly context
462 dependent. However, the key issues that emerged were comparable across case studies and
463 are in agreement with the wider literature concerning MPAs and climate change.

464

465 **4.1 Effective protection is needed for climate change resilience**

466 Discussions of how MPAs could still be effective in the face of climate change centred on the
467 concept of marine reserves; protected areas of strict protection with no extractive activities.
468 Nearly all respondents proposed that reduction of other anthropogenic stressors (e.g.
469 fishing pressure) through the use of marine reserves, may contribute to reducing the
470 impacts of such a major climatic disturbance by enhancing local resilience of populations
471 and ecosystems.

472

473 Studies suggest the most resilient populations and communities to climatic change are
474 those that are stable and intact and protection of such areas may reduce the risk of
475 biodiversity loss (Harley et al., 2006; Hughes et al., 2003). Known spatial and temporal
476 refuges may act as buffers against climate-related stress (Harley et al., 2006; Keller et al.,
477 2009) and protected, less degraded coral reefs have been shown to return to their original
478 state more rapidly after perturbations (e.g. bleaching) when compared to unprotected,
479 damaged or degraded reefs (Côté and Darling, 2010; Halpern and Warner, 2002). However,
480 some studies argue this may be fundamentally incorrect and such resilience-focused
481 management may in certain cases result in greater vulnerability to climate change impacts.
482 For example, Graham et al., (2008) demonstrated little difference between no-take zones
483 (NTZs) and fished areas in coral cover declines following a bleaching event; indicating
484 isolated, small scale marine reserves surrounded by exploited areas are not effective for
485 climate change resilience.

486

487 Not only was the need for strictly protected reserves discussed in relation to increasing
488 resilience, but it was also suggested that reserves were needed as an integral part of MPA
489 networks to function as reference sites. In New Zealand, the original purpose of many of the
490 marine reserves was to allow scientific research to proceed in the absence of factors such as
491 fishing or other types of extraction. In the face of climate change, these reference sites will
492 be critical for monitoring broad scale climatic impacts in the absence (or near absence) of
493 human impacts.

494

495 Most interviewees stated the importance of strictly protected areas in safeguarding
496 biodiversity under climate change scenarios and that marine reserves should be the
497 “backbone” of an MPA network surrounded by buffer zones of management fully integrated
498 into marine spatial planning and other conservation interventions. Yet, there are criticisms
499 of processes that establish no take areas as in Australia (see Devillers et al., 2014), or
500 “benthic protection zones” as in New Zealand, which are already in areas where
501 anthropogenic impacts are minimal to non-existent. These areas add little if any extra
502 protection for biodiversity, and therefore little in the way of climate change resilience;
503 unexploited areas also tend to be different ecosystems (Devillers et al., 2014). Additionally,
504 the use of these areas for reference sites is limited if the goal is to understand how an area
505 can recover from extractive activities or to disentangle the effects of fishing and climate
506 change if they are different from fished areas in other ways.

507

508 **4.2 Why monitoring for effectiveness is key**

509 Realistic and achievable objectives for an MPA and the measurement of their achievement
510 are a crucial aspect of long-term management (Syms and Carr, 2001). Whilst some
511 respondents saw the setting of climate change specific objectives as important, others
512 suggested that it adds a level of uncertainty or complexity that would be difficult to
513 measure. Studies have highlighted that where the vision for an MPA network or objectives
514 are not clear or apparent, the MPA process is ineffective (Guénette and Alder, 2007).
515 Several concerns were raised regarding the setting of clear objectives for individual
516 MPAs/MPA network and many saw unclear objectives as a potential barrier to assessing

517 whether an MPA was successful in the face of climate change. However, these objectives
518 should recognise that biodiversity values under climate change may change, for example, if
519 an MPA is designated for a particular species, which undergoes a range shift and is no longer
520 present within the MPA, the MPA may be seen as ineffective. Participants suggested that
521 discussions are needed as to how marine biodiversity is valued, either in terms of services,
522 or species and habitats and whether these will be preserved under climate change.

523

524 The challenge is to develop targets and evaluation protocols that are robust to the many
525 sources of uncertainty inherent in managing natural systems. Effectiveness targets must be
526 established with the understanding that the natural world is variable, and there is a degree
527 of uncertainty at every level of inquiry and management action (Syms and Carr, 2001). A
528 structured approach can incorporate variability into setting targets and evaluating
529 performance, which can in turn be explicitly incorporated into management plans (Syms and
530 Carr, 2001). Stakeholders may also hold very different views to management as to what
531 constitutes success (Himes, 2005). Indeed the results of this and other studies suggest that
532 there may be a mismatch between different stakeholder and MPA practitioner groups as to
533 what contributes success at the level of the individual MPA and at a network scale, which
534 must be addressed.

535

536 **4.3 An adaptive approach**

537 Respondents noted the need for adaptive management in the face of climate change, which
538 corresponds to other studies of MPA managers (e.g. Cvitanovic et al., (2014)) that suggest
539 adaptation would allow decision makers to develop proactive management measures.
540 However, the results of this study suggest that there is a perception of a need for MPA
541 processes to be adaptive, whilst in reality few can demonstrate current adaptive
542 management or the legal or scientific capability to carry it out in the future.

543

544 New Zealand has a long history of implementing marine reserves, yet the ad hoc approach
545 to designation of small scale reserves has not resulted in an ecologically coherent network
546 (Thomas and Shears, 2013), which could leave isolated marine reserves vulnerable to the
547 impacts of climate change (Cicin-Sain and Belfiore, 2005). Incorporating these reserves into

548 a connected and functional network has been a priority for New Zealand for some time, yet
549 the process is stalled and at present the singular reserves could be left vulnerable. A lack of
550 political will or foresight in MPA management is a barrier for an adaptive approach.

551

552 Cvitanovic et al., (2014) found that Australian MPA managers considered adaptive
553 management critically important in a climate change context, yet felt they did not have
554 enough knowledge regarding adaption to make informed assessments. This is line with
555 suggestions made in this study by respondents in California, proposing a possible barrier in
556 implementing adaptation was a lack of understanding of how adaptation would work in
557 practice. A resistance to adaptation by governments (Cvitanovic et al., 2014) and also by
558 stakeholders (Mills et al., 2015) is another barrier. The slow process to establish an MPA,
559 and a policy structure that would require any changes to boundaries or specific
560 management measures, to go through an application process for a new MPA in Canada,
561 would result in a long and complex process to make slight alterations. Adaptation is
562 recognised in the management of the Californian MPAs, but respondents also stated that
563 the whole concept of adaptive management would need to be more clearly defined if it was
564 to be successful.

565

566 Tracking changing conditions through the use of moveable MPAs was suggested as an
567 adaptive approach and the concept has had some attention in other studies (see (Game et
568 al., 2009; Pressey et al., 2007). However, tracking rapidly shifting species ranges may not be
569 appropriate; MPAs designated for single species may also be deemed ineffective if a species
570 moves beyond the protected boundaries. Most respondents in this study suggested that
571 although moveable MPAs was scientifically feasible, it would be politically impractical.

572

573 **4.4 When to incorporate climate change considerations?**

574 Throughout this study MPA practitioners suggested considerations of climate change should
575 be included in the early design stage of the MPA process. Perceptions of what design criteria
576 would be important in a climate change context closely resemble the guidelines developed
577 for climate change resilient MPA networks (see Brock et al., 2012; Burt et al., 2014) and are
578 based on general ecological principles for MPA network design (see McLeod et al. (2009),

579 Foley et al. (2010), Fernandes et al. (2012)). Key points raised in this study for climate
580 change resilience were: ensure key ecological principles for good MPA network design are
581 followed; the inclusion of strictly protected reserves is critical for resilience; and the
582 inclusion of areas already showing signs of climate perturbation or areas having a mitigation
583 role e.g. blue carbon stores. Several issues were raised relating to “selling” MPAs to
584 stakeholders on the basis of requiring them for climate change resilience and whether
585 stakeholders would understand or consider this an important reason for their designation.
586 However, by addressing climate change resilience in terms of protecting the full suite of
587 biodiversity and ensuring ecological principles are met, it was thought that this conflict
588 could be avoided.

589
590 Although it was wholly considered important to address climate change in the design phase,
591 some MPA network processes are now moving past initial designs, therefore it will be
592 important to assess if climate change considerations can be included retroactively. Gaines et
593 al., (2010) recommended considering whether networks designed under prevailing
594 environmental conditions will be effective under projected spatial and temporal variation in
595 climate impacts. Potentially, networks could be designed using forecasting methods
596 selecting areas for protection that would safeguard biodiversity into the future (Johnson
597 and Holbrook, 2014). The difficulty in this approach is the inherent uncertainty; forecasting
598 suitable areas would not work for a species-based approach where the presence of a
599 species is required now, not at some point in the future (e.g. Scotland’s MPA process).
600 Therefore, it is likely that MPA networks will need to be adaptively managed (McCook et al.,
601 2010)

602
603 Key principles and design criteria for good network design and management can still be
604 incorporated through an adaptive approach. Reviewing an MPA network will allow MPA
605 managers to fill-in the gaps in protection for climate change vulnerable habitats. However,
606 in the context of British Columbia, there was strong recognition for good design, yet the
607 process to establish new MPAs was extremely long and complex. Therefore, the capacity for
608 reviewing and including new information at a network scale needs to be increased.

609

610 MPA processes should not be seen as reaching a static endpoint; adaptive management is
611 the ability to continually incorporate new knowledge through a process of monitoring,
612 review and redesign (Day, 2008). As the scientific knowledge regarding climate change
613 impacts, resilience and adaptation/mitigation improves, it will be imperative for the success
614 of MPA networks that new scientific information actively informs the MPA process. Studies
615 have shown that some MPA managers may be unaware of the breadth of scientific
616 information, which could inform decision making (Cvitanovic et al., 2014), and participants
617 in this study reported policy documents in New Zealand to be scientifically lacking.
618 Therefore it will be important to improve the uptake of MPA and climate change science
619 into policy.

620

621 There is a strong theoretical basis for including climate change considerations within current
622 MPA networks, whether from a design starting point or retroactively adding in design or
623 management considerations through network review or including climate change related
624 criteria in a monitoring programme. However, most respondents in this study suggested
625 there is only limited evidence of these lessons actively being implemented. A unifying idea
626 here is that MPAs are seldom designed like experiments with fair controls, so evaluating their
627 success or failure (or whether trends within them are caused by climate change) is inherently very
628 difficult.

629

630 **5. Conclusions**

631 The respondents in the four areas studied considered strictly protected marine reserves
632 essential when considering climate change in MPA networks, given that complete and
633 healthy ecosystems are thought to be more resilient to climate change. Reference areas will
634 be critical to understand climate change impacts and effects supported by monitoring over
635 medium to long term timescales. Adaptive management of MPAs is an idea that is good in
636 theory, but difficult to implement due to legal or political barriers and realities. Further
637 exploration of how adaptive MPA management occurs in different contexts is warranted.
638 MPAs should be designed and implemented as a network using an ecosystem based
639 approach; single species may move with climate change meaning MPAs sites designated
640 under a single-species approach may be ineffective in the future. By following an

641 ecosystem-based approach, you may not need to move MPAs, but more strictly protected
642 ones may be required. The less strictly protected the MPAs are, the more monitoring data
643 will be required to ensure the MPAs are effective (depending on their criteria for success)
644 and the more management would need to be adaptive. Therefore, given the uncertainty
645 under climate change scenarios, the difficulties of adapting MPA networks once they are in
646 place, limited resources for monitoring and for reiterating the policy cycle, the key question
647 is that to protect biodiversity, do reserves with strict protection make sense?

648

649 Understanding perceptions of how climate change knowledge has been included in MPA
650 network processes will help inform best practice advice for decision makers in the future
651 design, monitoring and management of MPA networks. Resolutions over how marine
652 biodiversity is to be valued in the future and an understanding of how MPAs will contribute
653 to these future values is needed. Finally, a restating of clear hierarchical objectives, which
654 include climate change relevant objectives, and integration of these into a strong
655 monitoring framework should be of importance. Critically these ideas need to be actively
656 implemented through active and adaptive policy design not passively acknowledged.

657

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664

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