

1 **Safety and efficacy of tau aggregation inhibitor therapy in mild or moderate Alzheimer’s disease: a**  
2 **Phase 3 randomised controlled trial of leuco-methylthioninium bis(hydromethanesulfonate)**  
3 **(LMTM)**

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**29 Abstract****30 Background**

31 LMTM acts as a selective tau aggregation inhibitor (TAI) *in vitro* and in transgenic mouse models. It is  
32 a stabilised reduced form of the methylthionium (MT) moiety previously found to have potential  
33 efficacy in Alzheimer's disease (AD).

**34 Methods**

35 This 15-month randomised controlled parallel arm trial in mild or moderate AD tested doses of 75  
36 mg and 125 mg given twice daily (b.i.d.) compared with a control dose of 4 mg b.i.d. to maintain  
37 blinding with respect to urine/faecal discolouration (NCT01689246, EudraCT 2012-002866-11). 891  
38 patients were randomised to either active dose or control in a 3:3:4 ratio, and stratified by severity,  
39 global region and AD-labelled co-medication status. Progression on the ADAS-cog and ADCS-ADL  
40 scales were co-primary outcomes, with reduction in brain lateral ventricular volume (LVV) as a key  
41 secondary outcome.

**42 Findings**

43 The prespecified primary analyses failed to demonstrate treatment benefit at either of the doses  
44 tested, but showed significant benefits for LMTM monotherapy relative to both controls and LMTM  
45 add-on therapy (ADAS-cog,  $p < 0.0001$ ; ADCS-ADL,  $p = 0.0174$ ). Prespecified analyses confirmed  
46 monotherapy treatment benefits for 150 mg/day (ADAS-cog -6.3 units, CI -8.9 – -3.6,  $p < 0.0001$ ;  
47 ADCS-ADL 6.5 units, CI 2.9 – 10.1,  $p = 0.0013$ ; LVV -2.7 cm<sup>3</sup>, CI -4.0 – -1.4,  $p = 0.0002$ ) and 250 mg/day  
48 (ADAS-cog -5.8 units, CI -8.5 – -3.1,  $p < 0.0001$ ; ADCS-ADL 6.9 units, CI 3.3 – 10.6,  $p = 0.0007$ ; LVV -2.4  
49 cm<sup>3</sup>, CI -3.6 – -1.1,  $p = 0.0012$ ). The decline in patients taking LMTM as add-on therapy was  
50 indistinguishable from control. Gastrointestinal and urinary effects were the most common adverse  
51 events and causes for discontinuation, with non-clinically-significant dose-dependent reduction in  
52 haemoglobin the most common laboratory abnormality. Amyloid related imaging abnormalities  
53 were seen in fewer than 1% (8/885).

**54 Interpretation**

55 The results suggest that LMTM as monotherapy may be an efficacious and safe treatment for mild to  
56 moderate AD, but there is an unexplained attenuation of the effect when used as add-on to  
57 available approved treatments.

**58 Funding**

59 The study was financed by TauRx Therapeutics Ltd.

60

**61 Research in context****62 Evidence before this study**

63 Current approved treatments for AD offer symptomatic benefit without impacting on the underlying  
64 disease pathology. Disease modifying therapies have focussed for many years on the amyloid  
65 pathology without success so far. Pathological aggregation of tau protein to form the neurofibrillary  
66 tangles discovered by Alzheimer is highly correlated with clinical impairment in AD and begins 20  
67 years before clinical symptoms appear. Targeting this process with tau aggregation inhibitor (TAI)  
68 therapy provides a rational approach both to treatment and prevention.

69 The publications identified in a PubMed search on 29 June 2016 were reviewed for randomised  
70 placebo-controlled studies in AD published since 1990, using the search terms “Alzheimer”, “trial”,  
71 and “tau” in any field. There are reports of two phase 2 studies in which progressive supranuclear  
72 palsy (PSP), a neurodegenerative disease also associated with prominent tau aggregation pathology,  
73 was treated with drugs aiming to inhibit tau phosphorylation. Tideglusib (NCT01049399; 12mo; 146  
74 subjects) and davunetide (NCT01110720; 18mo; 313 subjects) both failed to show significant benefit  
75 in PSP. A phase 2 trial with methylthioninium chloride in mild to moderate AD (NCT00515333) has  
76 been the only trial of a TAI. Methylthioninium (MT) has TAI activity in vitro and in transgenic tau  
77 mouse models and demonstrated clinical benefit at 138 mg/day, but not at 218 mg/day, in a phase 2  
78 trial in which the oxidised form of MT was dosed as monotherapy in mild or moderate AD.

**79 Added value of this study**

80 The present phase 3 study evaluates a larger study population over 15 months of treatment using a  
81 novel chemical entity to provide the MT moiety in a stable reduced form permitting higher doses to  
82 be absorbed in an efficacious form. Doses of 75 mg and 125 mg b.i.d. given as monotherapy  
83 demonstrated statistically significant efficacy on clinical and functional co-primary endpoints, as well  
84 as reduction in the rate of progression of brain atrophy, with a clinically acceptable safety profile.  
85 Doses that were effective as monotherapy failed to produce any benefit in patients taking LMTM as  
86 an add-on to approved symptomatic treatments.

**87 Implications of all the available evidence**

88 Findings confirming the present study in a soon to be completed 18-month trial in mild AD would  
89 support addition of TAI monotherapy to the treatment options currently available for mild or  
90 moderate AD.

## 91 Introduction

92 Current approved treatments for Alzheimer's disease, including the acetylcholinesterase inhibitors  
93 (AChEIs) and the N-methyl-D-aspartate receptor antagonist memantine, offer symptomatic benefit  
94 without impacting on the underlying disease pathology. Despite the urgent clinical need,<sup>1-2</sup> disease  
95 modifying therapies have been elusive thus far, with candidates targeting the amyloid aspect of  
96 Alzheimer's disease (AD) pathology proving unsuccessful across late stage clinical trials to date.<sup>3</sup>

97 Neurofibrillary tangles, the pathology discovered by Alois Alzheimer, are made up of paired helical  
98 filaments (PHFs), composed predominantly of a 12-kDa repeat-domain fragment of the microtubule-  
99 associated protein tau.<sup>4-6</sup> Numerous studies have confirmed a quantitative link for the spread of  
100 aggregated tau pathology with both the extent of clinical dementia and functional molecular imaging  
101 deficits in AD.<sup>7-9</sup> Since the process begins at least 20 years prior to any of the clinical  
102 manifestations,<sup>10</sup> targeting tau aggregation offers a rational approach to both treatment and  
103 prevention of AD.<sup>9</sup> Methylthionium (MT), a diaminophenothiazine, acts as a tau aggregation  
104 inhibitor (TAI) *in vitro*,<sup>12,13</sup> dissolving PHFs isolated from human AD brain tissue *in vitro*,<sup>13</sup> and  
105 reducing tau pathology and associated behavioural deficits in transgenic mouse tau models at brain  
106 concentrations consistent with human oral dosing.<sup>14,15</sup>

107 Methylthionium chloride (MTC, commonly known as methylene blue, the chloride salt of the  
108 oxidised form of MT (MT<sup>+</sup>)), was tested clinically in a phase 2 study.<sup>16</sup> The minimum safe and  
109 effective dose was identified as 138 mg/day, but dose-dependent absorption limitations restricted  
110 utility at a higher dose of 218 mg/day. We have developed a stable reduced form of the MT moiety  
111 (leuco-methylthionium dihydromesylate, LMTM) as a distinct novel chemical entity which retains  
112 TAI activity *in vitro* and *in vivo*,<sup>13,15</sup> has superior pharmaceutical properties in terms of solubility and  
113 pKa, and is not subject to the absorption limitations of the MT<sup>+</sup> form.<sup>14</sup>

114 We report here the results of a 15-month duration phase 3 randomised controlled double blind  
115 parallel group study in mild to moderate AD. The objective was to determine whether treatment  
116 with LMTM at doses of 75 mg and 125 mg given twice daily (b.i.d.) was safe and effective in  
117 modifying disease progression in AD. These doses were compared with a control dose of 4 mg b.i.d  
118 to maintain the blind with respect to urine/faecal discolouration. Patients were permitted to enter  
119 the trial whether or not they were taking currently approved AD medications, as it was considered  
120 infeasible for these drugs to be restricted given their extensive use. There were co-primary efficacy  
121 outcomes including the 11-item Alzheimer's Disease Assessment Scale – cognitive subscale (ADAS-  
122 cog) and the 23-item Alzheimer's Disease Cooperative Study Activities of Daily Living (ADCS-ADL).  
123 Magnetic resonance imaging (MRI) volumetry was selected as the key secondary outcome to  
124 evaluate a potential therapeutic effect on the rate of brain atrophy.

125

## 126 Methods

### 127 Patients

128 Patients were recruited at 115 sites across 16 countries in EU, North America, Asia and Russia  
129 between 29 January 2013 and 26 June 2014, and last patient visit was on 30 November 2015.

130 *Inclusion criteria.* Patients aged <90 years with a diagnosis of mild to moderate probable AD  
131 according to National Institute of Aging (NIA) and Alzheimer's Association (AA) criteria were included  
132 with Mini-Mental State Examination (MMSE) score of 14–26 inclusive and with a Clinical Dementia  
133 Rating (CDR) total score of 1 or 2. Concomitant use of AChEIs and/or memantine at a stable dose for

134 at least 18 weeks prior to screening was permitted. Concomitant use of serotonergic antidepressant,  
135 antipsychotic (except clozapine or olanzapine) and sedative medications was permitted at stable  
136 doses where clinically feasible. Drugs with methaemoglobinaemia warnings or cautions were  
137 excluded. Each patient had one or more adult informants participate with them in this trial.

138 *Exclusion criteria.* Patients were excluded from the study if they had a significant central nervous  
139 system cause for dementia other than AD. Because MT<sup>+</sup> in high doses can induce  
140 methaemoglobinaemia, patients at risk were excluded. A more detailed list of inclusion/exclusion  
141 criteria is provided in the protocol in Supplementary Materials.

142 *Changes to protocol or Statistical Analysis Plan (SAP) after trial commencement.* All amendments are  
143 listed in the Protocol provided in Supplementary Materials. In summary, a protocol amendment in  
144 August 2013 increased the study duration from 12 to 15 months in light of the placebo decline rates  
145 reported in external studies<sup>17,18</sup> which were lower than our initial estimates. The target recruitment  
146 was also adjusted to include two-thirds moderate patients to better reflect the expected distribution  
147 of tau pathology<sup>9</sup> across both AD studies being conducted. RUD-lite and collection of cerebrospinal  
148 (CSF) fluid markers were added as exploratory endpoints. A further amendment in June 2015  
149 changed from the co-primary endpoint from ADCS-CGIC to ADCS-ADL in light of data from external  
150 studies<sup>17,18</sup> making relevant placebo decline estimates possible and to conform with  
151 recommendations received from the European Medicines Agency. Other amendments entailed  
152 primarily clarifications arising from site and/or monitor queries. Substitution of LVV for WBV as the  
153 key secondary outcome and addition of TPV were based on advice from the Scientific Advisory Board  
154 (SAB) prior to finalisation of the SAP and were not reflected in a protocol amendment.

155

#### 156 ***Randomisation and masking***

157 Patients were randomised at baseline to LMTM 75 mg b.i.d. or 125 mg b.i.d. (expressed as MT base  
158 equivalent) or control in a 3:3:4 ratio using an Interactive Web Response System (IWRS) managed by  
159 BioClinica. The randomisation was stratified according to geographical region (3 levels: North  
160 America, Europe, rest of world), use of AD-labelled co-medications (2 levels, using or not using),  
161 severity (2 levels, mild MMSE 20 – 26 and moderate MMSE 14 – 19 inclusive) and site PET capability  
162 (2 levels, yes/no).

163 A total of 600 blocks of length 10 with 3:3:4 treatment allocations were generated by BioClinica  
164 using a Java 1.6 api class random number generator that uses a 48-bit seed based on the time the list  
165 is generated. The subject randomisation file consisted of the trial randomisation number, treatment  
166 group code/description and block number. This file was provided to the manufacturer of the  
167 Investigational Medicinal Product (IMP) and a drug kit number list was generated and subsequently  
168 uploaded into the IWRS. The randomisation file and IMP kit list were unavailable to personnel  
169 involved in study conduct and analysis, but was available to the unblinded statistician providing  
170 analyses exclusively for the Data Safety Monitoring Board (DSMB).

171

172

173 Study participants, their informant, and all assessors remained blinded to treatment assignment  
174 throughout the study, and safety assessors were not permitted to be involved in the primary efficacy  
175 assessments. As LMTM is associated with both urinary<sup>19</sup> and faecal discolouration, the low dose of 4  
176 mg b.i.d. was selected as the control based on repeat dose phase 1 studies, being the minimum that  
177 would allow the blind to be maintained and well below the 69 mg/day dose of MTC that was

178 previously reported to lack clinical efficacy.<sup>16</sup> Clinical study drug supplies were identical in  
179 appearance for all three treatment arms.

180

### 181 ***Ethical conduct of the study***

182 All patients provided written informed consent prior to enrolling in the study; legal representatives  
183 provided consent on behalf of patients with reduced decision-making capacity. Informants for the  
184 participants also provided consent for involvement. The study was conducted in accordance with the  
185 Declaration of Helsinki and the International Conference on Harmonisation Guidelines for Good  
186 Clinical Practice, and approval of the study protocol and all related documents was obtained from  
187 the appropriate Independent Ethics Committees and Institutional Review Boards for all study sites.  
188 An independent Data and Safety Monitoring Board was established for oversight of accruing safety  
189 information. The trial is registered at [www.clinicaltrials.gov](http://www.clinicaltrials.gov) (NCT01689246) and the European Union  
190 Clinical Trials Registry (2012-002866-11).

191

### 192 ***Outcome measures: clinical and imaging assessments***

193 ADAS-cog and ADCS-ADL assessments were performed at baseline and every 13 weeks thereafter  
194 with the final on-treatment visit at Week 65. These were repeated at the final off-treatment safety  
195 visit at Week 69.

196 Secondary efficacy measures included Clinical Global Impression of Change (ADCS-CGIC,  
197 administered by an independent rater at the same visits as the co-primary endpoints) and MMSE  
198 (administered on screening and at Weeks 26, 52, 65 and 69). Cranial MRI scans were performed at  
199 baseline/screening and every 13 weeks using a standardized protocol at prequalified sites. MRI data  
200 were collected centrally by an imaging corelab (Bioclinica) and reviewed centrally by RadMD for  
201 eligibility and safety (Amyloid Related Imaging Abnormalities, or ARIA monitoring). Volumetric data  
202 were used to measure change in lateral ventricular volume (LVV) as the key secondary outcome  
203 measure. Temporoparietal volume (TPV), whole brain volume (WBV) and hippocampal volume (HV,  
204 estimated as the mean of left and right) were included as exploratory endpoints, as was <sup>18</sup>F-  
205 fluorodeoxyglucose positron emission tomography (FDG-PET) performed during screening and at  
206 Weeks 39 and 65 in a subset of patients in sites with this imaging capability, and determination of  
207 change in CSF total tau, phospho-tau and amyloid- $\beta_{1-42}$  between baseline and Week 65 in a  
208 subsample of those consenting to lumbar puncture.

209 Patients were monitored throughout for adverse events (AEs) and clinical laboratory testing, physical  
210 and neurological examinations and 12-lead electrocardiograms were performed at all clinic visits  
211 (screening, baseline and Weeks 2, 6, 13, 26, 39, 52, 65 and 69). Patients were also assessed at all  
212 visits for suicidal ideation and intent using the Columbia-Suicide Severity Rating Scale (C-SSRS),<sup>20</sup> and  
213 were systematically monitored for potential serotonin syndrome using a rating scale derived from 4  
214 published diagnostic criteria<sup>21</sup> due to a theoretical potential for serotonin syndrome.<sup>22</sup>

215

### 216 ***Statistical methods***

217 *Sample size.* Enrolment of 833 patients was targeted (with 891 patients actually recruited) in order  
218 to obtain data on approximately 500 patients completing the study, assuming a 30–40% drop-out  
219 rate. This sample size was estimated to provide at least 90% power for detecting a treatment  
220 differences of 2.40 units on the ADAS-cog scale and 3.80 units on the ADCS-ADL scale at a two-sided  
221 alpha of 0.05 after correction for multiple comparisons, under the assumption that both doses have

222 an effect size corresponding to a 50% reduction in the expected rate of decline assumed to be  $4.76 \pm$   
223  $8.85$  (mean  $\pm$  sd) units and  $-7.52 \pm 14.06$  units respectively over 15 months.

224 *Analysis plan:* The last version of the SAP was finalised on 9 February 2016 prior to database lock on  
225 10 February 2016 and unblinding on 11 February 2016. The primary efficacy analyses of change from  
226 baseline in ADAS-cog and ADCS-ADL scores to week 65 (week 52 if the withdrawal rate exceeded  
227 40%) were conducted in the modified intent-to-treat (mITT) population (all randomised patients who  
228 took at least one dose of study treatment and had both a baseline and at least one post-baseline  
229 efficacy assessment). The primary analysis was specified as a mixed model repeated-measures  
230 (MMRM) analysis with an unstructured covariance matrix and no imputation for missing data. The  
231 model included visit (5 levels corresponding to assessments at weeks 13, 26, 39, 52 and 65),  
232 treatment (3 levels corresponding to control, 75 mg b.i.d. and 125 mg b.i.d.), treatment-by-visit  
233 interaction, the stratification variables as additive terms, and baseline ADAS-cog or ADCS-ADL as a  
234 covariate. A similar exploratory analysis was specified in the SAP with the covariate for taking or not  
235 taking AD-labelled medications as an interaction term with treatment and as an interaction term  
236 with visit in the model. The same methodology was used for all secondary analyses. Westfall's  
237 method for multiple comparison correction was used in each step to ensure control of the  
238 familywise error with alpha 0.05.<sup>23</sup>  
239

#### 240 ***Role of the funding source***

241 The study was financed entirely by TauRx Therapeutics Ltd. TauRx took the lead in study design and  
242 conduct, data interpretation, and report preparation. The decision to submit the paper was taken  
243 jointly by SAB members (SG, HHF, LSS, GKW, GFB, and CMW).

244

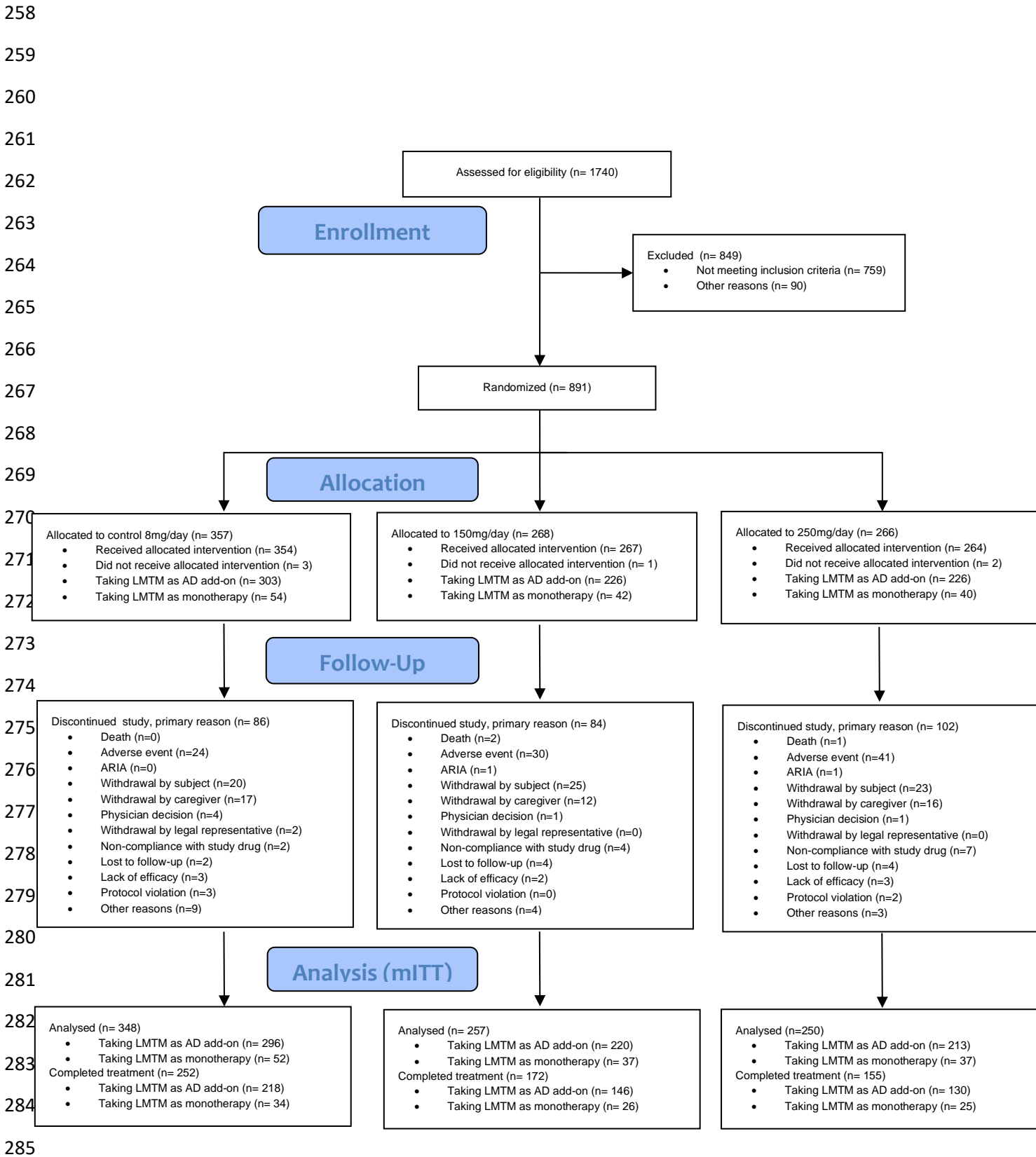
#### 245 **Results**

##### 246 ***Patients***

247 The patient disposition and trial design is shown in Figure 1. Of 891 patients randomised, 885  
248 received at least one dose of study drug and comprised the safety and mITT populations. The  
249 baseline demographics and clinical characteristics of the safety population are shown in Table 1.  
250 Although 7 patients had a CDR score of 0.5 they were not required to discontinue if already  
251 randomised. There were 618 patients completing the study to 65 weeks (with 579 remaining on  
252 treatment), for an overall study withdrawal rate of 31%. MRI scans from all scheduled visits were  
253 available from 880 patients pre-treatment and 554 at 65 weeks. FDG-PET data were available from  
254 101 patients at 65 weeks, of whom 6 were not taking AD-labelled treatments. Lumbar puncture data  
255 were available from 38 patients at baseline, of whom 5 were not taking AD treatments.

256

257 **Figure 1.** Screening and randomised populations.





286 **Table 1.** Patient baseline demographics and clinical characteristics (safety population)

Characteristic	Control LMTM 4 mg b.i.d.	LMTM 75 mg b.i.d.	LMTM 125 mg b.i.d.	Total
	n=354	n=267	n=264	n=885
<b>Age (years)</b>				
Mean (SD)	70.7 (8.5)	71.0 (9.3)	70.1 (9.3)	70.6 (9.0)
Median (min; max)	72.0 (40; 89)	72.0 (39; 88)	71.0 (32; 89)	72.0 (32; 89)
<b>Sex</b>				
Male, n (%)	134 (38)	93 (35)	113 (43)	340 (38)
Female, n (%)	220 (62)	174 (65)	151 (57)	545 (62)
<b>Race</b>				
American Indian or Alaska Native, n (%)	2 (0.6)	3 (1.1)	2 (0.8)	7 (0.8)
Asian, n (%)	41 (11.6)	32 (12.0)	30 (11.4)	103 (11.6)
Black or African American, n (%)	3 (0.8)	3 (1.1)	4 (1.5)	10 (1.1)
White, n (%)	307 (86.7)	226 (84.6)	225 (85.2)	758 (85.6)
Other, n (%)	1 (0.3)	0	2 (0.8)	3 (0.3)
Multiple Race, n (%)	0	3 (1.1)	1 (0.4)	4 (0.5)
<b>Years since diagnosis</b>				
Mean (SD)	2.8 (2.4)	2.9 (2.3)	2.8 (2.2)	2.8 (2.3)
<b>Dementia severity</b>				
CDR 0.5, n (%)	4 (1.1)	1 (0.4)	2 (0.8)	7 (0.8)
CDR 1, n (%)	261 (73.7)	209 (78.3)	192 (72.7)	662 (74.8)
CDR 2, n (%)	89 (25.1)	57 (21.3)	70 (26.5)	216 (24.4)
<b>MMSE</b>				
Mean (SD)	18.6 (3.45)	18.8 (3.44)	18.5 (3.40)	18.6 (3.43)
Median (min; max)	18.0 (14; 26)	19.0 (14; 26)	18.0 (14; 26)	18.0 (14; 26)
<b>MMSE severity</b>				
MMSE $\geq 20$ , n (%)	134 (38)	105 (39)	98 (37)	337 (38)
MMSE $< 20$ , n (%)	220 (62)	162 (61)	166 (63)	548 (62)
<b>ADAS-Cog:</b>				
Mean (SD)	27.2 (10.1)	26.5 (9.4)	26.7 (9.7)	26.9 (9.8)
Median (min; max)	26.3 (7; 57)	26.3 (8; 54)	26.3 (8; 56)	26.3 (7; 57)
<b>ADCS-ADL:</b>				
Mean (SD)	55.9 (12.7)	58.0 (11.1)	57.5 (12.7)	57.0 (12.3)
Median (min; max)	58.0 (17; 78)	58.5 (16; 78)	60.0 (13; 78)	59.0 (13; 78)
<b>Whole brain volume (cm<sup>3</sup>)</b>				
Mean (SD)	927 (108)	922 (115)	939(101)	929 (108)
Median (min; max)	917 (681; 1,233)	922 (602; 1,207)	934 (682; 1,264)	925 (602; 1,264)
<b>Lateral ventricular volume (cm<sup>3</sup>)</b>				
Mean (SD)	52 (23)	52 (26)	51 (23)	52 (24)
Median (min; max)	49 (15; 154)	44 (12; 160)	47 (15; 138)	47 (12; 160)
<b>Hippocampal volume (mm<sup>3</sup>)</b>				
Mean (SD)	2.3 (0.6)	2.7 (0.6)	2.9 (0.6)	2.8 (0.6)
Median (min; max)	2.7 (1.4; 4.5)	2.7 (1.4; 4.4)	2.8 (1.5; 5.0)	2.7 (1.4; 5.0)
<b>AD-approved co-medications</b>				
AChEI only, n (%)	183 (52)	151 (57)	150 (57)	484 (55)
Memantine only, n (%)	32 (9)	16 (6)	15 (6)	63 (7)
AChEI and memantine, n (%)	93 (26)	60 (23)	61 (23)	214 (24)
<b>CSF biomarkers (ng/L)</b>				
Total tau, mean (SD) [n]	143.9 (68.4) [19]	156.4 (72.5) [15]	113.2 (54.7) [5]	144.8 (68.2) [39]
Phospho-tau, mean (SD) [n]	59.2 (25.3) [20]	61.2 (20.3) [15]	58.1 (12.8) [5]	59.8 (21.9) [40]
A $\beta$ 1-42, mean (SD) [n]	264.7 (96.6) [20]	276.0 (85.9) [15]	235.8 (62.1) [5]	265.3 (88.0) [40]
<b>APOE genotype</b>				
$\epsilon 4$ allele present, n (%)	144 (47.5)	91 (41.9)	114 (52.5)	349 (47.4)
$\epsilon 4$ allele absent, n (%)	159 (52.5)	126 (58.1)	103 (47.5)	388 (52.6)

288 **Efficacy analyses of primary and secondary outcomes**

289 Table 2 reports baseline values, change from baseline in the control arm and treatment effects  
 290 shown as differences with respect to the control arm for the primary and secondary outcomes. None  
 291 of the treatment effects was significant in the primary or secondary analyses. This is shown in Figure  
 292 2 (A1, B1, C1, D1, E1). Table 2 also shows the main effects for the covariates included in the primary  
 293 analysis model. Patients taking LMTM as monotherapy experienced a lower rate of overall clinical  
 294 decline than patients in the control arm or patients taking the test doses of LMTM as add-on to  
 295 existing AD treatments. This difference remained statistically significant after correction for multiple  
 296 comparisons. Mild patients also had a lower overall rate of progression. There was no effect of  
 297 geographic region.

298

299 **Table 2.** Efficacy analyses for primary and secondary outcomes using primary analysis with the  
 300 stratification covariates as additive terms in the model. Treatment effects are shown as differences  
 301 with respect to control change from baseline at 65 weeks. Estimates for the covariates severity and  
 302 usage of AD-labelled treatments are shown. Population weights are used for all covariates in the  
 303 mixed model repeated measures analysis, except for the AD treatment term where the contrast was  
 304 set to “taking approved AD treatments”. The effect for geographic regions is not shown as it was not  
 305 significant. All p values have been adjusted for multiple comparisons using the Westfall procedure.

		Baseline	Control (4 mg b.i.d.) change from baseline  n = 348	Treatment effects		Covariate effects	
				75 mg b.i.d.  n = 257	125 mg b.i.d.  n = 250	Severity (mild)	Taking LMTM as monotherapy
ADAS-cog	Mean	27.15	6.32	-0.02	-0.43	-1.03	-2.30
	95% CI	26.09, 28.21	5.31, 7.34	-1.60, 1.56	-2.06, 1.20	-1.57, -0.49	-3.35, -1.25
	p value			0.9834	0.9323	0.0009	< 0.0001
ADCS-ADL	Mean	55.91	-8.22	-0.93	-0.34	1.62	2.00
	95% CI	54.58, 57.24	-9.63, -6.82	-3.12, 1.26	-2.61, 1.93	1.02, 2.23	0.65, 3.35
	p value			0.8659	0.9479	< 0.0001	0.0174
LVV (cm <sup>3</sup> )	Mean	52.40	7.18	-0.60	-0.58	-0.12	-0.13
	95% CI	49.93, 54.87	6.63, 7.74	-1.47, 0.27	-1.46, 0.31	-0.25, 0.01	-0.42, -0.16
	p value			0.6049	0.6049	0.3490	0.6158
CGIC	Mean		-1.03	-0.06	0.01	0.16	0.42
	95% CI		-1.16, -0.90	-0.27, 0.14	-0.21, 0.22	0.09, 0.23	0.27, 0.57
	p value			0.7866	0.9504	< 0.0001	< 0.0001
MMSE	Mean	18.60	-3.73	0.06	0.50	0.03	1.95
	95% CI	18.24, 18.96	-4.23, -3.23	-0.71, 0.84	-0.29, 1.30	-0.51, 0.56	1.24, 2.66
	p value			0.9997	0.6888	0.9997	< 0.0001

306

307

308

309 **Efficacy analyses of primary and secondary outcomes with AD co-medication status as an**  
 310 **interaction term in the analysis model**

311 Since taking LMTM as monotherapy showed significant benefit in the primary analysis model, a  
 312 further analysis pre-specified in the SAP was undertaken which included it as an interaction term  
 313 with LMTM treatment and as an interaction term with visit in the model. As can be seen in Table 3  
 314 and Figure 2, in patients taking LMTM as monotherapy the differences with respect to control as  
 315 randomised were significant after correction for multiple comparisons on all treatment outcomes. In  
 316 patients taking the same doses of LMTM as add-on to approved AD treatments the decline was  
 317 indistinguishable from controls.

318

319 **Table 3.** Efficacy analyses for primary and secondary outcomes using prespecified analysis with the  
 320 covariate for LMTM as monotherapy or add-on as an interaction term with treatment and an  
 321 interaction term with visit in the model. Baseline values are shown according to add-on treatment  
 322 status. Treatment effects are shown as differences with respect to change from baseline in the  
 323 control arm as randomised at 65 weeks. All p values have been adjusted for multiple comparisons  
 324 using the Westfall procedure.

		Control (4 mg b.i.d.) change from baseline	Baseline and treatment effect for LMTM as add-on therapy			Baseline and treatment effect for LMTM as monotherapy		
			Baseline	75 mg b.i.d.	125 mg b.i.d.	Baseline	75 mg b.i.d.	125 mg b.i.d.
		n = 348		n = 220	n = 213		n = 37	n = 37
ADAS-cog	Mean	5.98	26.75	1.02	0.50	26.18	-6.25	-5.79
	95% CI	4.99, 6.98	26.05, 27.45	-0.58, 2.61	-1.15, 2.14	24.42, 27.94	-8.92, -3.59	-8.47, -3.11
	p value			0.3622	0.5555		< 0.0001	< 0.0001
ADCS-ADL	Mean	-7.92	57.73	-2.16	-1.62	54.73	6.48	6.93
	95% CI	-9.29, -6.55	56.86, 58.60	-4.37, 0.05	-3.91, 0.68	52.39, 57.07	2.87, 10.09	3.29, 10.57
	p value			0.1027	0.1674		0.0013	0.0007
LVV (cm <sup>3</sup> )	Mean	7.19	52.75	-0.27	-0.31	45.72	-2.71	-2.35
	95% CI	6.64, 7.73	50.99, 54.51	-1.14, 0.60	-1.19, 0.58	41.03, 50.41	-4.00, -1.42	-3.64, -1.05
	p value			0.7334	0.7334		0.0002	0.0011
CGIC	Mean	-0.97		-0.22	-0.10		0.90	0.59
	95% CI	-1.10, -0.84		-0.43, -0.01	-0.312, 0.12		0.54, 1.26	0.23, 0.95
	p value			0.0738	0.3891		< 0.0001	0.0037
MMSE	Mean	-3.47	18.58	-0.25	0.11	19.30	1.92	2.82
	95% CI	-3.95, -2.98	18.33, 18.83	-1.04, 0.55	-0.71, 0.93	18.69, 19.91	0.46, 3.39	1.36, 4.27
	p value			0.7756	0.7885		0.0287	0.0006

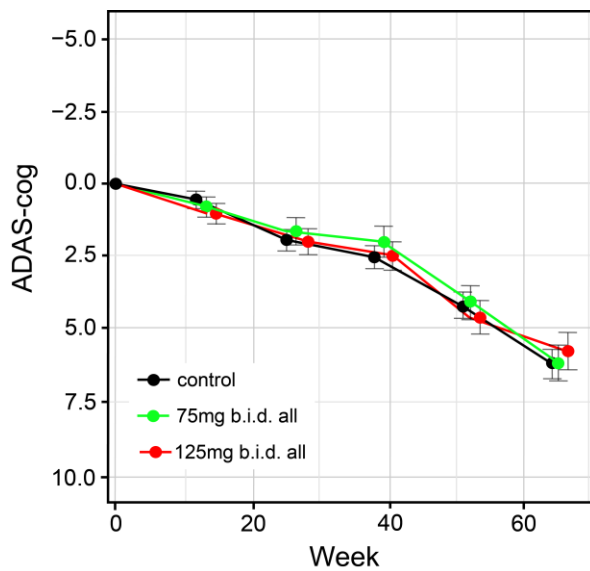
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326

327 **Figure 2.** Least squares estimates of mean change from baseline in ADAS-cog (A), ADCS-ADL (B), LVV  
 328 (C), ADCS-CGIC (D, treated as numerical value) and MMSE (E) using either primary analysis model  
 329 with AD co-medication status as an additive term in the model (A1, B1, C1, D1, E1), or prespecified  
 330 repeat of primary analysis with AD-co-medication status as an interaction term in the model showing  
 331 effect of LMTM treatment as either monotherapy or as add-on to existing AD treatments (A2, B2, C2,  
 332 D2, E2). In both analysis pairs, the control arm is as randomised. Numbers of subjects analysed in  
 333 each of the study arms are shown in Tables 2 and 3, and numbers completing treatment are shown  
 334 in Figure 1.

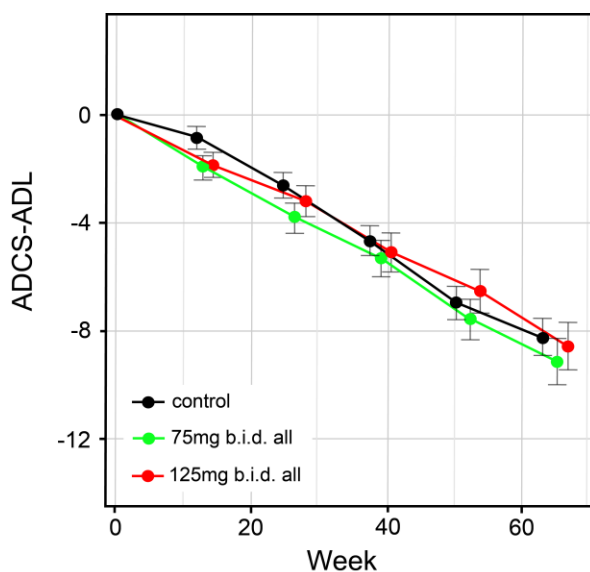
335

336 **A1**



337

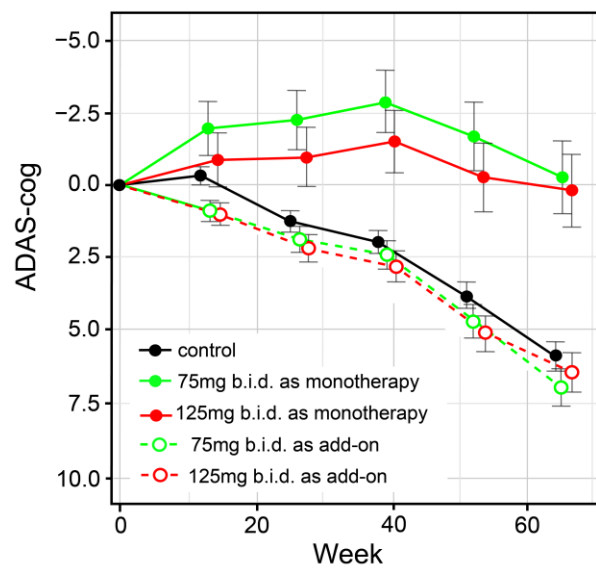
338 **B1**



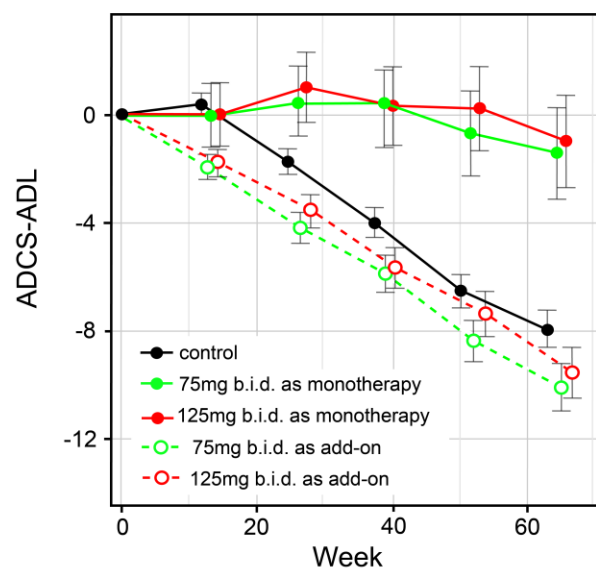
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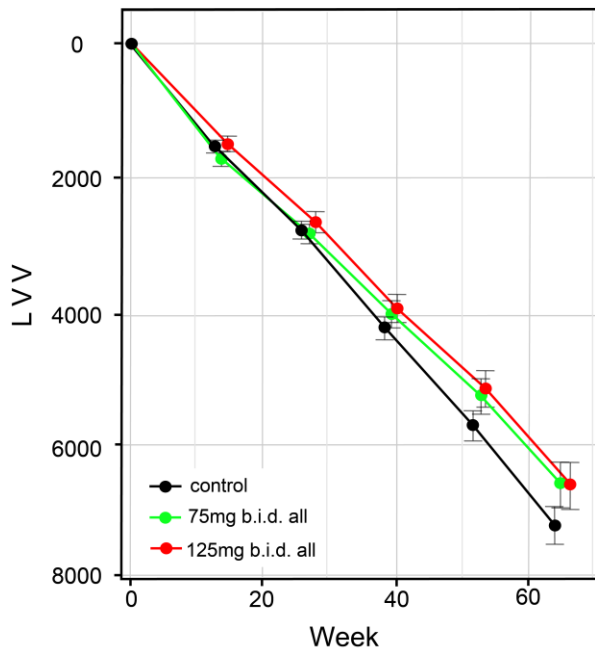
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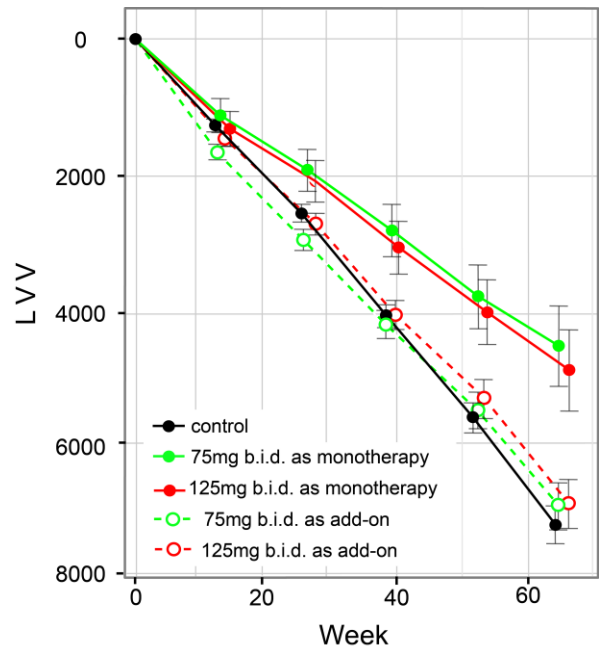
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341 C1

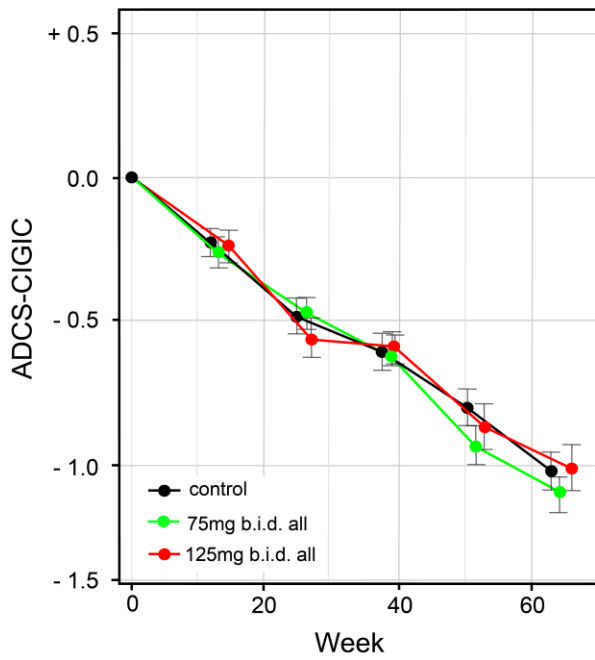


C2

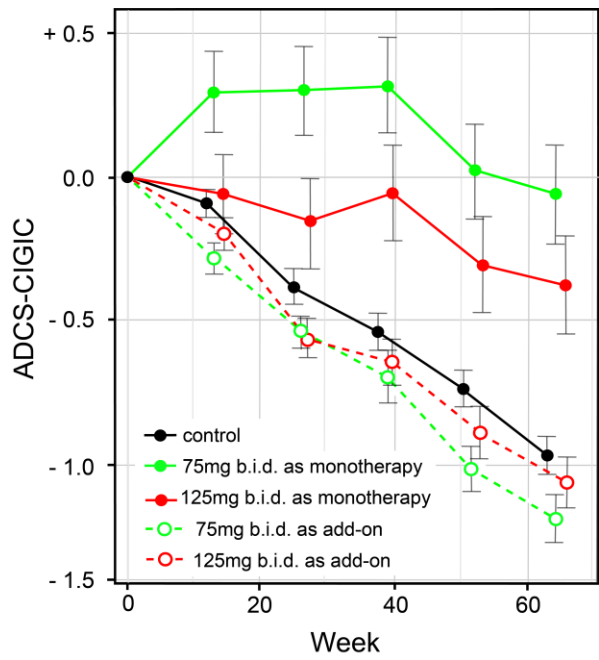


342

343 D1



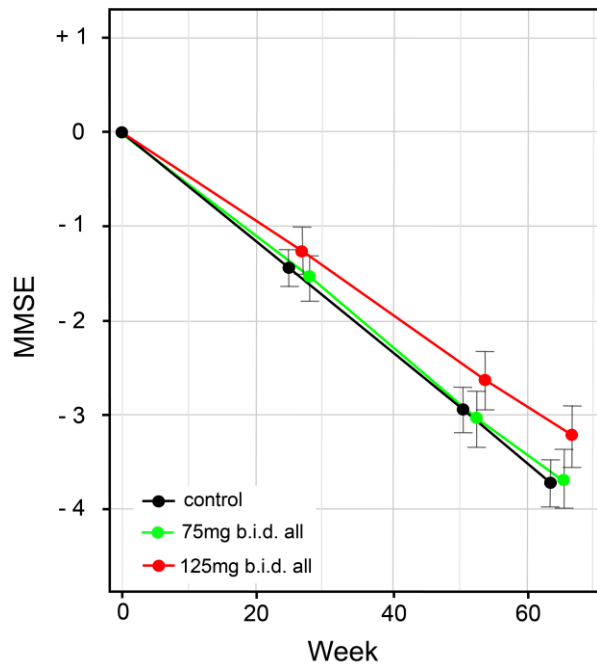
D2



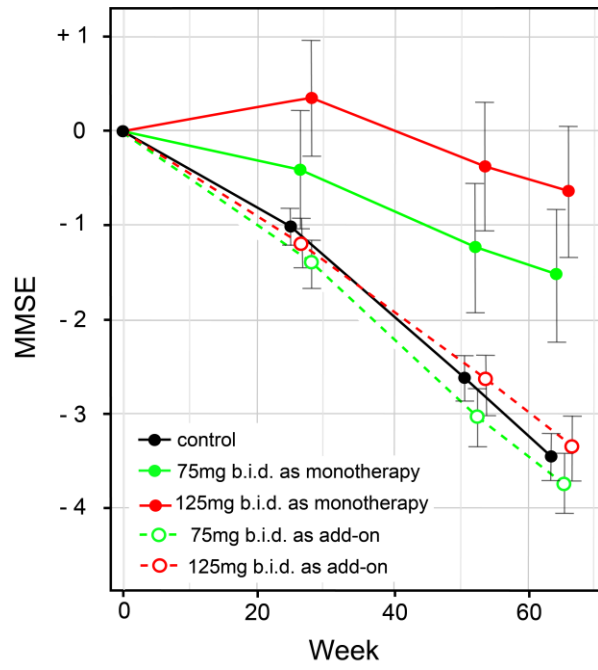
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345

346 E1



E2



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350

351 **Additional analyses**

352 *Mild and moderate subjects.* The same analyses were repeated for mild and moderate patients as  
353 separate subgroups (prespecified in the SAP). As can be seen from Supplementary Table 1, efficacy  
354 on all outcomes was again restricted to patients taking LMTM as monotherapy, with treatment  
355 benefits being more consistent in mild than in moderate patients.

356

357 *Comparison at baseline of patients taking or not-taking AD-labelled medications.* The baseline  
358 characteristics of patients taking LMTM as monotherapy or add-on were compared in *post hoc*  
359 analyses (Supplementary Table 2). No difference was found in age or sex distribution. There was no  
360 difference in baseline ADAS-cog or MMSE. Mild (but not moderate) patients not taking these  
361 medications were marginally worse on the ADCS-ADL scale, had a slightly larger HV and smaller LVV  
362 on baseline MRI, with no difference in WBV, TPV or in extent of vascular pathology burden as  
363 indicated by Fazekas score at baseline.<sup>24</sup> No differences were found for baseline bilirubin or  
364 creatinine clearance which might suggest differences in metabolism or excretion of LMTM. There  
365 were no differences in *APOE4* frequency. Mild (but not moderate) patients taking LMTM as  
366 monotherapy were significantly over-represented in sites located predominantly in Russia, Eastern  
367 Europe (Poland and Croatia) and Malaysia. There was a trend for moderate (but not mild) patients to  
368 have left education at an earlier age. Pooled mild/moderate analyses showed the same results.

369 Analyses of TPV, HV and WBV are shown in Supplementary Table 3. For patients taking the 75 mg  
370 b.i.d. and 125 mg b.i.d. doses as monotherapy. TPV and WBV benefits were restricted to patients  
371 taking LMTM as monotherapy and were seen in both mild and moderate patients. Benefit on rate of  
372 hippocampal atrophy was seen only in mild patients at the highest dose. FDG-PET data were not  
373 analysed further as there were only 6 patients in centres with this capability receiving LMTM as  
374 monotherapy.

375 Similarly, the small number of patients precluded further analysis of CSF data. RUD-lite, although  
376 included as an exploratory outcome, will be analysed in conjunction with the recently completed  
377 study in mild AD.

378

379

380

381 **Safety outcomes**

382 The gastrointestinal and urinary tracts were the body systems most commonly affected by adverse  
 383 events (AEs), and related AEs were also the most common reasons for discontinuing high dose  
 384 LMTM (9%, 48/531) compared with 2% (6/354) in the control arm. The incidence of targeted  
 385 gastrointestinal AEs was two-fold higher in patients receiving LMTM as add-on therapy (241/761,  
 386 32%) compared with those receiving LMTM alone (22/124, 18%). The treatment emergent AEs  
 387 occurring in  $\geq 5\%$  on high dose LMTM and greater than in the control arm are shown in Table 4.

388

389 **Table 4.** Most common treatment emergent adverse events occurring in  $\geq 5\%$  on 75 mg b.i.d. or 125  
 390 mg b.i.d. LMTM and greater than in control arm.

MedDRA System Organ Class / Preferred term	Control	High dose LMTM	
	4 mg b.i.d. (n = 354)	75 mg b.i.d. (n = 267)	125 mg b.i.d. (n = 264)
At least one TEAE	296 (83.6%)	224 (83.9%)	229 (86.7%)
<b>Blood and lymphatic system disorders</b>	17 (4.8%)	29 (10.9%)	25 (9.5%)
Anemia	10 (2.8%)	22 (8.2%)	15 (5.7%)
<b>Gastrointestinal disorders</b>	87 (24.6%)	105 (39.3%)	111 (42.0%)
Diarrhea	33 (9.3%)	63 (23.6%)	67 (25.4%)
Nausea	14 (4.0%)	22 (8.2%)	19 (7.2%)
Vomiting	2 (0.6%)	25 (9.4%)	18 (6.8%)
<b>Infections and infestations</b>	88 (24.9%)	83 (31.1%)	76 (28.8%)
Urinary tract infection	29 (8.2%)	29 (10.9%)	26 (9.8%)
<b>Investigations</b>	80 (22.6%)	87 (32.6%)	80 (30.3%)
Blood folate decreased	21 (5.9%)	18 (6.7%)	19 (7.2%)
<b>Renal and urinary disorders</b>	29 (8.2%)	61 (22.8%)	65 (24.6%)
Dysuria	3 (0.8%)	7 (2.6%)	27 (10.2%)
Pollakiuria	6 (1.7%)	15 (5.6%)	18 (6.8%)
Urinary incontinence	9 (2.5%)	18 (6.7%)	12 (4.5%)
<b>Respiratory, thoracic and mediastinal disorders</b>	28 (7.9%)	32 (12.0%)	22 (8.3%)
Cough	12 (3.4%)	14 (5.2%)	11 (4.2%)



391

392 Adverse events of special interest included haemolytic anaemia, serotonin syndrome and ARIA.  
393 There was no case of clinically significant haemolytic anaemia. The incidence of MedDRA terms for  
394 anaemia-related events was 22% (115/531) in patients receiving high dose LMTM, compared to 16%  
395 (58/354) in controls. Dose-related mean decreases in haemoglobin were maximal at 6 weeks (-0.66  
396 and -1.08 g/dL for the 75 mg and 125 mg b.i.d. arms respectively), with no change in the control arm  
397 (-0.01 g/dL). Although 22% (196/885) of patients entered the study taking a selective serotonin  
398 reuptake inhibitor (SSRI), only two had transient symptoms consistent with serotonergic excess. The  
399 temporal course and presentation were not consistent with serotonin syndrome in either case. In  
400 total, 8/885 (<1%) patients developed ARIA (6 ARIA-H and 2 ARIA-E) during the study, with no dose  
401 relationship. There was no indication of increase in suicidality at higher doses relative to control.

402

403 With respect to other significant events, 9 patients who participated in the study died, 3 in each  
404 treatment arm; none was judged by the investigator as related to treatment. The most common  
405 reasons were progression of AD (1 randomised to LMTM 125 mg b.i.d. and 2 to control) or cancer (1  
406 in each treatment group); 1 subject randomised to LMTM 75 mg b.i.d. had a myocardial infarction  
407 and there was no etiology in the remaining 2 patients. By protocol, ARIA, serotonin toxicity, and  
408 suicidality, discussed above, were to be reported as serious adverse events (SAEs). An additional 96  
409 patients had one or more other non-fatal SAEs, in a frequency that was evenly balanced between  
410 the 3 treatment arms. The overall number of SAEs and incidence by body system most commonly  
411 affected is presented in Supplementary Table 4, and were judged by the investigator as possibly  
412 related to treatment in only 14% (20/139) of the cases, the most common being convulsion (all 4  
413 occurring in the control arm).

414

415

416 **Discussion**

417

418 The study results failed to demonstrate a treatment benefit on either of the co-primary outcomes at  
419 either 75 mg b.i.d. or 125 mg b.i.d. doses in the prespecified analysis. However, the primary analysis  
420 model showed that patients taking LMTM as monotherapy had significantly lower decline than  
421 control patients or those taking LMTM as an add-on to existing AD treatments. Given the significant  
422 interaction of LMTM treatment with AD co-medication status, an analysis prespecified in the SAP  
423 with this covariate as an interaction term in the model was undertaken as the first supporting  
424 analysis. This confirmed a significant treatment benefit on both cognition and activities of daily living  
425 for patients taking LMTM as monotherapy at both of the doses tested compared with controls as  
426 randomised, and also confirmed that there was an unexplained attenuating effect of existing AD  
427 treatments. The higher dose of 125 mg b.i.d. resulted in similar efficacy to that seen at the 75 mg  
428 b.i.d. dose. The same pattern of monotherapy efficacy was found for the secondary clinical  
429 outcomes (ADCS-CGIC and MMSE) and reduction in LVV, and all remained statistically significant  
430 after correction for multiple comparisons. The reduction in LVV was confirmed by corresponding  
431 increases in TPV and WBV. This is the first report of a treatment intervention in AD showing  
432 concordance between reduction in rate of clinical decline and reduction in rate of progression of  
433 brain atrophy.

434 The rates of decline seen in the control arm are consistent with those reported in recent studies or  
435 randomised controlled trials.<sup>23,24</sup> The same was found to be true for the rate of progression of brain  
436 atrophy in the mild AD group measured by change of LVV in comparison with data available from the  
437 ADNI program.<sup>25,26</sup> Further analyses of the potential effect of the 4 mg b.i.d. dose taken alone in  
438 patients randomised to the control arm in this and the recently completed study in mild AD are in  
439 progress. The similarity in the decline seen in the control arm as randomised relative to recent  
440 studies supports the face validity of the present trial as being representative of currently available  
441 trial populations in mild or moderate AD.

442 The overall safety of LMTM as monotherapy is consistent with prior experience with MTC.<sup>16</sup> Adverse  
443 events affecting the gastrointestinal and urinary tracts were the most common and, similarly, were  
444 the most common reason for discontinuing high dose LMTM. Reporting of reductions in red cell  
445 indices was greater in patients receiving higher doses of LMTM, consistent with effects previously  
446 described for MTC.<sup>14</sup> Although 22% (196/885) of patients were taking SSRIs, only two had transient  
447 symptoms meeting any of the criteria for serotonin toxicity, although neither was taking an SSRI (or  
448 any other serotonergic drug). None of the 9 deaths that occurred during the study was judged as  
449 being related to treatment. Eight patients developed ARIA during the study and there was no dose  
450 relationship. This frequency is consistent with the placebo rates reported in recent trials.<sup>17-18</sup>

451 The reason for the loss of benefit when LMTM is combined with symptomatic AD treatments  
452 remains to be explained. To date, an interference with TAI activity *in vitro* has been ruled out (<sup>13</sup> and  
453 unpublished data), as has an effect of oral LMTM on cholinergic efficacy of donepezil in the  
454 scopolamine mouse model (unpublished data). Likewise absorption effects have been ruled out in  
455 preliminary analyses of plasma data from a subset of patients (unpublished data). Avenues currently  
456 being explored include further blood analyses, the potential effect of cholinergic pathology on  
457 cognition and brain atrophy<sup>27</sup> in tau transgenic mouse models, the interaction between  
458 cholinesterase and amyloid pathology<sup>28</sup> and whether induction of transporters by chronic

459 administration of AD symptomatic treatments<sup>29,30</sup> might lower the concentration of MT at the site of  
460 action. Although the cognitive efficacy seen in the present study is similar to that reported in the  
461 earlier MTC monotherapy study,<sup>16</sup> the failure as yet to provide an explanation for the unexpected  
462 pharmacological interaction we have documented remains an important of weakness of the present  
463 report.

464

465 A further limitation is that this trial was not designed to test the efficacy of LMTM as monotherapy  
466 versus add-on to existing symptomatic treatments. The findings are therefore open to the criticism  
467 that the groups taking or not taking AD-labelled treatments in addition to LMTM may not have been  
468 comparable. We have excluded a number of obvious confounding factors, including age, sex, clinical  
469 severity at baseline, extent of coexisting vascular pathology and biological factors that could  
470 potentially affect metabolism or excretion of MT. The over-representation of patients from countries  
471 with more limited access to AD symptomatic treatments and younger age at completion of  
472 education point to socio-economic factors determining treatment access, rather than patient-  
473 specific confounding factors.

474 The relatively small number of patients taking LMTM as monotherapy raises the possibility that the  
475 benefit seen in this group is a chance finding. However, the treatment effect was seen in two  
476 different arms of the trial and was of such a magnitude as to remain statistically significant in the  
477 primary analysis of the whole population after correction for multiple comparisons, and remained so  
478 in the first prespecified supporting analysis similarly corrected. The primary efficacy analysis of the  
479 similarly designed and recently completed independent study in mild AD (NCT01689233, EudraCT  
480 2012-002847-28) was modified prior to unblinding in the light of the results reported here to take  
481 account of the previously unsuspected effect of AD comedication status. Preliminary efficacy results,  
482 which will be reported in due course, show statistically significant benefit for LMTM on the same co-  
483 primary and secondary outcomes as the present study in a larger monotherapy population.  
484 Notwithstanding the limitations of the present study, its results argue in support of LMTM  
485 monotherapy being an efficacious and safe treatment for mild to moderate AD with potentially  
486 larger effect size than currently available treatments.

#### 487 **Contributors**

488 JH, PB, KAK, DJW, BS, CSD, RTS, LB, KS, JMDS, CRH, and CW were all involved in study design and data  
489 interpretation. SG, HHF, LSS, GKW, GBF, JH, PB, KAK, DJW, BS, CSD, RTS, LB, KS, JMDS, CRH, and CW were all  
490 involved in the data analysis. All authors critically revised the report, commented on drafts of the manuscript  
491 and approved the final report.

492

#### 493 **Conflicts of interest**

494 SG has received clinical trial support from Lilly and Roche in DIAN-TU, TauRx Therapeutics Ltd (TauRx), and  
495 Lundbeck; has been a DSMB member of ADCS, ATRI, API, and Eisai; has been a scientific advisor to Affiris,  
496 Boehringer-Ingelheim, Lilly, Roche, Servier, Sanofi, Schwabe, Takeda, and TauRx. HHF has received clinical trial  
497 support from TauRx, Lilly, and Roche; he has served as DSMB member for Eisai and DMC member for  
498 Genentech/Banner Health; he has served as member of scientific advisory board for TauRx, and Tau  
499 Consortium and has been consultant to Arena and Merck Pharmaceuticals. LSS has received grant and  
500 research support from Baxter, Genentech, Johnson & Johnson, Eli Lilly, Lundbeck, Novartis, Pfizer, Roche,  
501 TauRx, and NIH. Within 3 years of the beginning of the work he has served as a consultant for, and received  
502 consulting fees from, Abbvie, AC Immune, Allon, AstraZeneca, Baxter, Biogen Idec, Biotie, Bristol-Myers  
503 Squibb, Cerespir, Chiesi, Cognition, Elan, Eli Lilly, Forum (EnVivo), GlaxoSmithKline, Johnson & Johnson,

504 Lundbeck, MedAvante, Merck, Novartis, Piramal, Pfizer, Roche, Servier, Takeda, TauRx, Toyama (FujiFilm), and  
 505 Zinfandel. GW has been a scientific advisor to Cytos Ltd, GSK Research and Development Ltd, Nutricia  
 506 Limited, Red and Yellow Memory Services Ltd, Roche Products Ltd, Shire Pharmaceutical Development, and  
 507 TauRx. GBF has served in advisory boards for Lilly, BMS, Bayer, Lundbeck, Elan, Astra Zeneca, Pfizer, TauRx,  
 508 Wyeth, GE, and Baxter; he is a member of the editorial board of *Lancet Neurology*; he has received grants from  
 509 Wyeth Int.l, Lilly Int.l, Lundbeck Italia, GE Int.l, Avid/Lilly, Roche, Piramal, and the Alzheimer's Association; he  
 510 has received lecture fees when speaking at the invitation of Lundbeck, Piramal, and GE. JH, JMDS, CRH, and  
 511 CMW are officers of, and hold beneficial interests in TauRx. PB, KAK, DJW, BS, CSD, RTS, LB and KS are paid  
 512 consultants to TauRx. JMDS, CRH, and CMW are inventors on patents relating to LMTM and tau aggregation  
 513 inhibitors that are owned by WisTa Laboratories Ltd, an affiliate of TauRx.

514

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518

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